

Public Document Pack



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PUBLIC

To: Members of Cabinet

Wednesday, 1 September 2021

Dear Councillor,

Please attend a meeting of the **Cabinet** to be held at **2.00 pm** on **Thursday, 9 September 2021** in the Members Room, County Hall, Matlock, the agenda for which is set out below.

Yours faithfully

A handwritten signature in black ink that reads 'Helen E. Barrington'.

Helen Barrington
Director of Legal and Democratic Services

A G E N D A

PART I - NON-EXEMPT ITEMS

1. To receive apologies for absence
2. To receive declarations of interest (if any)
3. To consider Minority Group Leader questions (if any)

Minority Group Leaders in attendance at the meeting are able to ask

questions on agenda items. Any questions should be provided in writing no later than 12 noon on the day before the meeting.

4. To confirm the minutes of the meeting of Cabinet held on 29 July 2021 (Pages 1 - 16)
5. To receive non-exempt minutes of Cabinet Member Meetings as follows:
 - 5 (a) Highways Assets & Transport - 15 July & 12 August 2021 (Pages 17 - 22)
 - 5 (b) Clean Growth & Regeneration - 21 July 2021 (Pages 23 - 24)
 - 5 (c) Health & Communities - 22 July 2021 (Pages 25 - 30)
 - 5 (d) Corporate Services & Budget - 29 July 2021 (Pages 31 - 32)
 - 5 (e) Education - 3 August 2021 (Pages 33 - 36)
 - 5 (f) Adult Care - 25 August 2021 (Pages 37 - 38)

To consider the following reports:

- 6 (a) Vision Derbyshire - Phase 4 Implementation Proposals (Pages 39 - 84)
- 6 (b) Performance Monitoring and Budget Monitoring/Forecast Outturn 2021-22 as at Quarter 1 (30 June 2021) (Pages 85 - 200)
- 6 (c) Capital Budget Monitoring and Forecast as at Quarter 1 2021-22 (Pages 201 - 212)
- 6 (d) Financial Strategy (Pages 213 - 250)
- 6 (e) Preparation of Budget 2022-23 (Pages 251 - 260)
- 6 (f) Corporate Risk Management Strategy 2021-2025 (Pages 261 - 294)
- 6 (g) The Forward Plan (Pages 295 - 308)
- 6 (h) Director of Public Health Annual Report (Pages 309 - 312)
- 6 (i) Award of Grant Funding to Derby County FC Community Trust and Chesterfield FC Community Trust for the provision of Tier 2 Adult Weight Management Services (Pages 313 - 318)
- 6 (j) Prevent and Channel duties and proposed amendments to the Constitution (Pages 319 - 326)

- 6 (k) Urgent Decision taken by the Managing Executive Director and approval for participation in the Afghan Local Employed Staff (LES) re-location scheme (Pages 327 - 340)
- 6 (l) Covid Local Support Grant (CLSG) - Summer (Pages 341 - 354)
- 6 (m) Gigabit Voucher 'Top Up' Scheme (Pages 355 - 362)
- 6 (n) Memorial Planting Scheme at Grassmoor Country Park (Pages 363 - 370)
- 6 (o) Music Education Hubs 2021-22 (Pages 371 - 380)
- 6 (p) Children's Services Capital Programme 2020-21 Urgent Decision taken by the Executive Director Children's Services - Breadsall Primary School (Pages 381 - 392)
- 6 (q) Children's Services Capital Programme 2021-22 (Pages 393 - 408)
- 6 (r) Children's Services Capital Programme 2021-22 - Expansion Project for John Port Academy (Pages 409 - 414)
- 6 (s) Children's Services Capital Programme 2021-22 S106 Allocations (Pages 415 - 424)

7. Exclusion of the Public

To move "That under Regulation 4 (2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them."

PART II - EXEMPT ITEMS

- 8. To receive declarations of interest (if any)
- 9. To consider Minority Group Leader questions (if any)

Minority Group Leaders in attendance at the meeting are able to ask questions on agenda items. Any questions should be provided in writing no later than 12 noon on the day before the meeting.
- 10. To confirm the exempt minutes of the meeting of Cabinet held on 29 July 2021 (Pages 425 - 428)
- 11. To receive the exempt minutes of Cabinet Member Meetings as follows:

11 (a) Highways Assets & Transport - 15 July 2021 (Pages 429 - 430)

11 (b) Corporate Services & Budget - 29 July 2021 (Pages 431 - 432)

To consider the following exempt reports:

12 (a) Urgent Officer Decision - Change to the timing of funding due from Community Sports Clubs (Pages 433 - 446)

12 (b) Use of ESPO Estates Management Professional Services Framework (Pages 447 - 454)

PUBLIC

MINUTES of a meeting of **CABINET** held on 29 July 2021 at County Hall, Matlock.

PRESENT

Councillor B Lewis (in the Chair)

Councillors K S Athwal, A Dale, N Hoy, T King, C Renwick and S A Spencer.

Apologies for Absence were submitted on behalf of Councillors C A Hart and J Patten.

Declarations of Interest

Councillor N Hoy reported that she was no longer the outside body representative for Bolsover Woodland Enterprise and therefore this would not affect her participation with respect to minute reference 124/21.

119/21 MINORITY GROUP LEADERS' QUESTIONS

The following questions had been submitted on behalf of Councillor J Dixon:

AGENDA ITEM 4 – Minutes of Cabinet held on 8 July 2021

Minute 99/21 – Impact of the National Bus Strategy on the future development of bus services in Derbyshire.

1. Why does the current Bus Partnership consultation not request views on the adequacy of bus services, which have reduced even further during the last 18 months, and what are the Council's plans to improve services in line with the wishes and needs of Derbyshire residents?

2. Why was the current bus consultation produced in a format not accessible to so many of the older generation who use buses, but who are digitally excluded, and what is the Council doing to ensure that the consultation can be accessed by those who use buses currently, or who don't use them but would like to do so?

3. If the bus consultation does not receive a reasonable level of response from the demographic who are most reliant on bus services, what will the council do to ensure their views are heard on these issues that affect them directly?

AGENDA ITEM 6 (d) – Walk Derbyshire Public Health Investing Funding.

Whilst welcoming the Walk Derbyshire paper, what is the Council doing to ensure that current footpaths are open and accessible to residents, so they can walk when needed, including the 20 footpaths across High Peak which are currently closed – many of them for months or years - with officers citing lack of staff resource to enable those broken to be mended?

Community Renewal Fund

What bids has the Council made to the Community Renewal Fund, and how are local members and communities being informed and involved in the development and delivery of any such bids?

The Chairman confirmed that it was not normal procedure to answer questions in relation to the minutes of the previous meeting or items not included on the agenda and therefore a written response would be sent in response to the question raised in relation to Agenda item 6(d) – Walk Derbyshire Public Health Investing Funding question only.

120/21 **MINUTES RESOLVED** that the non-exempt minutes of the meeting of Cabinet held on 8 July 2021 be confirmed as a correct record.

121/21 **CABINET MEMBER MEETINGS - MINUTES RESOLVED** to receive the non-exempt minutes of Cabinet Member meetings as follows:

- (a) Adult Care – 24 June and 22 July 2021
- (b) Children’s Services and Safeguarding – 6 July 2021
- (c) Education – 6 July 2021
- (d) Corporate Services and Budget – 8 July 2021
- (e) Infrastructure and Environment – 15 July 2021

122/21 **PERFORMANCE MONITORING AND REVENUE**

OUTTURN 2020/2021 (Corporate Services and Budget) The Director of Finance and ICT presented a report which provided Cabinet with a report on Council Plan performance and that set out the Council’s final revenue outturn position for 2020-21, identified significant variations from the final net budget and commitments already agreed against the underspend, together with proposals for the further use of underspends. It also identified the impact of the 2020-21 outturn on future years and detailed the proposed action. The report also set out the Council’s Earmarked Reserves position.

The report presented both Council Plan performance and financial budget monitoring and outturn data. The Performance Summary set out the progress the Council was making on delivering the Council Plan with a focus on the achievement of the Council Plan priorities.

The Revenue Outturn Position and Financial Summary provided an overview of the Council's overall budget position and outturn for the year ended 31 March 2021.

Appendices to the report summarised progress on Council Plan deliverables and the controllable outturn position by Cabinet Member Portfolio for 2020-21. The Appendices also included a summary of the impacts the Covid-19 pandemic. Further reports would be considered at Audit Committee and Council in accordance with the Budget Monitoring Policy and Financial Regulations.

To summarise there was an overall Council underspend of £27.630m, which was duly noted. Extensive details in relation to the different elements of the underspend were included in the reports Appendices.

The report also referred to Earmarked Reserves and the current position in relation to the General Reserve fund.

The report included 17 Appendices which included the relevant information as detailed below:

- Appendix 1 – Implications
- Appendix 2 – Key to Performance Ratings
- Appendix 3 – Performance Report 2020-21 Council Overview
- Appendix 4 – Adult Care - Portfolio Summary
- Appendix 5 – Clean Growth and Regeneration - Portfolio Summary
- Appendix 6 – Corporate Services - Portfolio Summary
- Appendix 7 – Health and Communities - Portfolio Summary
- Appendix 8 – Highways, Transport and Infrastructure - Portfolio Summary
- Appendix 9 – Strategic Leadership, Culture and Tourism - Portfolio Summary
- Appendix 10 – Young People - Portfolio Summary
- Appendix 11 – Dedicated Schools Grant (DSG)
- Appendix 12 – Public Health Grant
- Appendix 13 – Summary of Underspends
- Appendix 14 – Earmarked Reserves
- Appendix 15 – Budget Savings Monitoring 2020-21
- Appendix 16 – Aged Debt
- Appendix 17 – Covid-19 Financial Impacts and Funding

The report referred to alternative options considered and relevant implications that had been taken into account in its preparation.

RESOLVED to (1) note the Council Plan performance and the revenue

outturn position for 2020-21;

(2) consider whether there are any further actions that should be undertaken to improve performance where it has not met the desired level;

(3) note the position on General and Earmarked Reserves;

(4) approve the allocation of underspend amounts and commitments to Portfolios; and

(5) approve the allocation from underspends of £9.000m to the Budget Management Earmarked Reserve and £14.000m to a newly established reserve as a contingency against potential funding losses during the Covid-19 recovery period.

123/21 **FORWARD PLAN** (Corporate Services and Budget)
Cabinet gave consideration to a report which presented the Executive's Forward Plan for the period 1 July – 31 October and provided an opportunity for Cabinet to comment on future decisions for inclusion in the forthcoming Forward Plan.

An independent review of the Council's Scrutiny function had been undertaken in 2020 by the Centre for Governance and Scrutiny. The report had commented upon several areas, including the proposal for a Forward Plan which looks to the future, for a period longer than the statutory requirement.

It was believed that such a Forward Plan would enable the four Improvement and Scrutiny Committees to prepare better informed work programmes. This would equally apply to the work programme of the new Improvement and Scrutiny Committee – Climate Change, Biodiversity and Carbon Reduction.

It has been agreed that a four-month rolling Forward Plan should be considered by CMT and then by Cabinet on a monthly basis. This approach should improve planning and horizon-scanning.

In the interests of effective coordination and public transparency, the Forward Plan included any item that was likely to require an Executive decision of Cabinet or Cabinet Member whether a key decision or not. The Forward Plan covered the forthcoming 4 months and would be updated on a rolling monthly basis. All items had been discussed and approved by the Corporate Management Team.

The Forward Plan was ultimately the responsibility of the Leader and Cabinet as it contained executive business due for decision. A copy of the

Forward Plan covering the period 1 July – 31 October was attached to the report at Appendix 2. This document had been originally published on 9 June to comply with the requirements to give 28 days' notice of key decisions to be considered at the Cabinet meeting originally scheduled to take place on 8 July, but had subsequently been updated to include decision items to be considered at the Cabinet meeting schedule for 29 July.

The Plan was presented to this meeting to give Cabinet the opportunity to discuss, amend or delete any item that was listed to be considered in the future and comment on any items to be included in the forthcoming Forward Plan.

The report referred to the relevant consultation that had been undertaken, alternative options that had been considered and relevant implications that had been taken into account in its preparation.

RESOLVED to (1) note the contents of the Forward Plan attached to the report at Appendix 2.

124/21 **VOLUNTARY AND COMMUNITY SECTOR REVIEW**

(Health and Communities) The Executive Director for Adult Social Care and Health submitted a report which updated Cabinet on the progress of the Voluntary and Community Sector (VCS) Grant Funding Review and sought approval to extend recurrent payments to VCS organisations for a period of six months from 1 October 2021 to 31 March 2022.

On 28 February 2019, Cabinet had approved proposals to undertake a council wide review of VCS grants. It had been agreed that the review would:

- Consider all grants provided by the Council to VCS organisations in Derbyshire and subsequently develop a coordinated and consistent council wide approach to allocate future resources; and
- Consider the support provided by the Council to the VCS infrastructure organisations across Derbyshire, in collaboration with partners, and develop a new model for allocating funding moving forward which supported the Council's ambitions and priorities.

A number of reports outlining progress on the Review had been presented to Cabinet. The most recent report to Cabinet in July 2020 had approved proposals for the future funding of voluntary and community sector infrastructure support and had extended recurrent grant payments to VCS organisations for a period of twelve months from 1 October 2020 to 30 September 2021. The report recognised that the voluntary and community sector, and the review process, had been significantly impacted by the

pandemic and acknowledged that learning would need to be gathered during this period and built into proposals moving forward.

In July 2020, following an 18-month review and co-design of VCS infrastructure support, Cabinet had committed to a new shared approach for working with VCS infrastructure providers. This approach was underpinned by the following essential principles which providers were asked to support:

- There should be more transparency in terms of the funding providers receive, how it is spent and what is being achieved;
- Organisations should be committed to delivering core VCS support through a shared Outcomes Framework;
- Funding would be allocated at a district level and in districts where there were multiple providers, they would be required to work together; and
- Support for the VCS across the county should be fairly distributed.

In return, the Council had agreed the following commitments to support interim arrangements in place up to September 2021:

- No reduction in funding to those infrastructure organisations funded by the Council between 1 October 2020 – 30 September 2021;
- An increase in funding to a number of providers through the Council's commitment to redistribute funding to ensure a greater equity of provision;
- A commitment to look at ways the Council could make longer-term funding arrangements with providers; and
- Continuation of work with infrastructure providers to make the necessary changes to delivery arrangements, including understanding and applying learning from Covid-19.

Since July 2020, work had taken place with all 13 infrastructure providers through a series of individual and joint meetings to discuss proposals and develop the new Service Level Agreements (SLA) on an organisation/district basis. The Council had co-designed a comprehensive list of shared Key Performance Indicators with providers and had recently undertaken initial monitoring meetings with them to understand the activity that had been taking place and the progress that had been made in the move towards the new approach.

To maintain active and frequent engagement with providers and ensure an understanding of the challenges for the VCS and infrastructure providers relating to the Covid 19 response and recovery, officers had also been attending the Local Resilience Forum (LRF) Communities Cell and Stronger Communities sub-group. Officers had supported providers to facilitate a 'Beyond Covid' engagement event on 18 March 2021, which had attracted approximately 100 participants who had discussed the opportunities and

challenges faced by the sector over the previous year and their hopes and fears for the future. Officers would continue to monitor the state of the sector, supporting infrastructure organisations to analyse, evaluate and learn from the Beyond Covid work. This would ensure a comprehensive and multi-dimensional understanding of the impact of Covid and would inform future planning and infrastructure support to the sector moving forward.

Further details of the current grant arrangements were included in the report at Appendix 2. Given the ongoing nature of the pandemic and the sector's role in providing a vital community response, it was recommended that all current grant allocations were maintained at their current levels for an additional six-month period. Work would need to take place over forthcoming months to develop options which moved towards a greater equity of provision from March 2022.

Public Health had recently been awarded funding to support residents in Derbyshire who had been identified as Clinically Extremely Vulnerable during the pandemic and had been asked to shield. As noted in previous reports, VCS infrastructure providers across the county had been a vital source of support in mobilising volunteers and the wider sector to assist with response and recovery efforts and support residents, many of whom were classified as CEV throughout the Covid pandemic. Due to the ongoing nature of the response and the Government roadmap for the easing of restrictions, the Council was mindful that infrastructure organisations would continue to support response efforts alongside the VCS whilst re-establishing their local activities. It was anticipated that there might be a considerable period of time when, due to the possible emergence of new variants and local lockdowns, current support would continue with many residents being asked again to shield.

To ensure that there was adequate VCS infrastructure in place to provide ongoing support to response, recovery and resurgence, it was recommended that a payment should be made available, per district area in Derbyshire, to ensure adequate infrastructure capacity to support those identified as CEV whilst providers pivoted back towards their core infrastructure. It was recommended that a one-off payment of £30,000 per district should be allocated to the appropriate provider for this purpose, at a total cost of £250,000 for twelve months with a review after the initial six-month period. In doing so we would need to be clear that this funding was being awarded because of the government grant attached to the shielding condition, such that expectations of future funding beyond any grant period were managed.

The current VCS infrastructure outcomes framework had a specialist support element and it was recommended that this was used to support the

development of support and activities to meet the following additional objectives, specifically for the CEV cohort:

- Understand the needs of the cohort and identify gaps in local provision
- Maintain a local offer for CEVs and others who are vulnerable to be supported with their emotional and physical needs, signposting to local services where appropriate
- Promote volunteering and support the brokerage of volunteers to projects and activities which support CEVs
- Represent the sector within strategic meetings and forums and support VCS and the public sector to understand the impact of policies and practices on the cohort.

Using the outcomes framework would allow infrastructure providers to develop provision which met the local needs. Officers would work with those infrastructure providers that had been directly supporting vulnerable residents to adapt current funding specifications and Key Performance Indicators and ensure that they were reflected in SLAs from September 2021.

The VCS Grants Review report presented to Cabinet in July 2020 had approved the extension of grant funding to a number of identified VCS organisations until 30 September 2021, whilst a review of existing arrangements took place. Following the establishment of a new VCS infrastructure offer in July 2020, work had taken place to progress the review of all existing 'recurring' grants.

Since July 2020 work on the development of a shared process for the review had taken place and to progress the approach, engagement with key stakeholders was now both important and necessary. All current grant recipients were aware of the Council's intention to review individual grants, however engaging with those currently in receipt of grants would be critical over the next period to ensure there was a shared understanding of the review process moving forward. Ensuring other key stakeholders were fully informed of plans and decision-making timetables would also be essential during this period of time.

The approach proposed for the review was that the Council should adopt the principle that it would no longer support the award of grant funding to any organisation without a fixed or scheduled end date in the future. This approach would not result in the blanket cessation of funding from the Council to organisations for specified activities. Grants which provided vital services or support the Council's strategic aims, would continue to be secured through the commissioning and contracting of such activity. Adopting this principle however would, in effect, mean all current grant funded organisations would experience at least some degree of change to align to this new approach moving forward.

It was essential that the recurring grants review was completed in the context of the wider review of grant funding, recognising that there were other elements of the approach which would be key to its success.

The availability of one-off grant funding to the sector, aligned to the Council's priorities, would also provide alternative funding for groups if appropriate and desired.

It was recommended that proposals and the outcome of the review process should be brought to Cabinet from September 2021 onwards.

External consultation would be undertaken on the approach alongside the outcomes of the review process for all grants. It would last for 12 weeks and would need to be completed by the end of the calendar year to ensure that any grants extended beyond March 2022 were done so with an identified end date to the funding alongside. It was anticipated that agreed end-dates for recurring grants would be approved by Cabinet by the end of January 2022.

The report included the timetable for the Review and specific points in relation to the Extension of the General VCS Grants.

The report included details of alternative options considered and all of the relevant implications that had been considered in its preparation.

RESOLVED to (1) note progress on the review of the Council's voluntary and community sector grants and the challenges and opportunities that have continued throughout the ongoing Covid-19 pandemic;

(2) approve proposals to extend funding to voluntary and community sector infrastructure organisations totalling £226,114 until 31 March 2022, as set out in the report;

(3) approve proposals to allocate additional one-off funding totalling £250,000 to infrastructure support organisations to support CEV residents as set out in the report for a period of twelve months;

(4) approve proposals to extend grant funding to VCS organisations totalling £378,992 for six months until 31 March 2022; and

(5) approve proposals to extend grant funding to Bolsover Woodland Enterprise totalling £316,620 for two years until 30 September 2023 as set out in the report.

125/21 **WALK DERBYSHIRE PUBLIC HEALTH INVESTMENT FUNDING** (Health and Communities) Cabinet gave consideration to a report prepared by the Director of Public Health that sought Cabinet approval for investment to develop a Countywide Approach to increase walking opportunities and develop a 'Walk Derbyshire' programme. This would encourage healthy lifestyles and champion local communities across Derbyshire.

This included

- Cabinet approval to fund a 'Walk Derbyshire' programme to create new opportunities to walk locally, every day for everyone in Derbyshire, with a specific focus on targeting inactive people in areas of high health inequalities; and
- Cabinet approval to fund an 'Active Neighbourhoods Pilot Programme' across four targeted communities in Derbyshire where 'Walk Derbyshire' would work more intensively with partners and communities to create environments more conducive to walking.

Derbyshire had traditionally delivered a successful and long-standing Walking for Health scheme of 'led walks' that had been targeted at inactive people and those with long term conditions. This had been part funded by Public Health in conjunction with local district and borough council partners. Live Life Better Derbyshire worked closely with the existing walk programme using it as a signpost service and exit strategy. There was significant potential to link the new Walk Derbyshire programme both with Live Life Better Derbyshire and the Healthy Workplaces team to include as part of the wider offer to increase physical activity levels.

The impact of COVID-19 had shown that walking could have a significant impact on people's physical and mental wellbeing and was accessible to all. It had also shown that a wide range of new partners and organisations were currently involved or would like to be involved in promoting walking and to use walking to engage with the wider community.

Working with a range of existing as well as new partners, it was proposed to develop a 'Walk Derbyshire Whole System Approach' to walking across the County. The systems approach would build on existing exemplary work that districts and boroughs had previously done through delivering Walking for Health and would also significantly increase the opportunity provided through COVID-19 to better promote and develop everyday walking and help to increase levels of physical activity particularly in disadvantaged communities. Walking was the most accessible physical activity and addressed many of the reported barriers to being more active, such as time, money, poor health and physical limitations. It was also accessible to people

from groups who could most benefit from being active such as older people and those on low incomes Therefore helping to reduce health inequalities.

Walk Derbyshire's aim was to make every day walking the norm for all residents of Derbyshire, with a specific focus on the inactive population. Creating a culture of walking, as part of everyday life, would help improve both physical and mental wellbeing. The aim was to create a social movement in which Derbyshire becomes a Walking County where people could easily find routes and access walks right from their doorstep. These routes would also appeal to visitors to Derbyshire and could enhance the tourism offer in the area.

As part of developing new and broader-reaching opportunities, DCC Public Health physical activity team had been working with the Active Partners Trust (Active Derbyshire), districts and boroughs to develop a model that encourages a social movement around walking across the whole of Derbyshire. This had involved engaging a consultant to lead system mapping.

A stakeholder event had taken place with over 60 people from a range of different organisations including many new local partners such as the voluntary sector, health colleagues, social prescribers, districts and other partners. Thus, demonstrating the enthusiasm and desire to increase opportunities to walk and to help change the methods of engagement.

Some of the key findings from this work were detailed in the report along with evidence to support the additional investment in walking. I also contained information in relation to what the funding would deliver, the delivery plan, and evaluation process.

RESOLVED to (1) approve the grant of funding of £0.640m to Active Partners Trust to manage and deliver the Walk Derbyshire project from September 2021 to August 2023; and

(2) note that the proposals for the development of Walk Derbyshire will sit alongside and be in line with the proposed new commissioning model for physical activity and population nutrition interventions.

126/21 **DELEGATION OF ENFORCEMENT OF THE ENERGY PERFORMANCE OF BUILDINGS (ENGLAND AND WALES) REGULATIONS 2012 TO DISTRICT BOROUGH COUNCILS** (Health and Communities) The Executive Director for Place submitted a report, the purpose of which was to highlight partnership working between Derbyshire County Council Trading Standards and Derbyshire Districts/Boroughs to improve the standards of housing in the private rented sector. It had been identified that it would be operationally effective for Districts and Boroughs to

enforce the requirement to provide energy performance certificates (EPCs) in private rented properties.

Cabinet was asked to agree to implement the delegation of enforcement of Enforcement Performance of Building (England and Wales) Regulations 2012 with respect to private rented properties to the eight District/Borough Councils within Derbyshire.

It was proposed that changes to the delegation arrangements were made that would ensure that local housing teams within District and Borough Councils could enforce the requirements to produce EPCs in the rented sector. EPCs were a requirement of the Energy Performance of Buildings (England and Wales) Regulations 2012 (as amended) and the enforcement responsibilities currently sat solely with Derbyshire County Council Trading Standards.

The cost of an EPC was approximately £100 but failing to produce an EPC could have serious consequences for landlords, as well as tenants. It was understood that a significant proportion of no-fault evictions failed because the landlord was unable to present an EPC. This was a waste of time and money for the landlord and the court and was entirely preventable.

Across Derbyshire the private rented sector had grown, but consistently had some of the poorest quality housing with tenants having to put up with cold and hard to heat homes. The Districts/Boroughs, as Housing authorities, were all seeking to improve standards and consistently found that the inability to heat a home was the biggest source of complaint. The EPC should be used by the tenant to inform their decision about the cost of keeping the home warm and was informative when considering their future housing options.

The Government had introduced regulations to increase the energy efficiency of homes; The Energy Efficiency (Private Rented Property) (England and Wales) Regulations 2015 had come in to force in 2018. Further amendments had been introduced since to consolidate the requirements across the housing sector. The Regulations had created a new minimum standard for EPCs and made it an offence to let out a property that did not reach the minimum rating of E, thus making it an offence to rent out a property rated as F and or G for new tenancies from 1 April 2018 (subject to specified exemptions e.g. listed buildings). This did fall within the enforcement remit of the Housing authorities.

Due to the inability of the Housing authorities to enforce the EPC requirement, any landlord had a potentially perverse incentive if they had an inefficient home, by initially failing to provide an EPC they would be less likely to fall foul of the new legislation relating to minimum standards. The penalty

for failing to provide a certificate was lower than that for the failure to meet the minimum EPC standard.

Providing delegation to District/Borough Councils would enable them to absorb enforcement of EPC legislation in private rented properties as part of their routine duties, given that as already stated, the ability of tenants to keep warm was a key part of the existing workload. This delegation was not intended to relate to house sales or business properties, only to the renting of domestic properties. As the County Council would also retain the enforcement powers, officers would ensure that intelligence was shared to prevent duplication.

Failing to provide an EPC could result in a fixed penalty notice. Anecdotal evidence suggests that this would not be a significant source of income but would act as a deterrent. It was not anticipated that the delegation would substantially increase the workloads of housing enforcement teams but would enhance their ability to tackle the poorest standards.

The report detailed consultation undertaken, referred to the relevant financial implications involved, alternative options that had been considered and other relevant implications that had been taken into account in its preparation.

RESOLVED to approve the delegation of enforcement of Enforcement Performance of Building (England and Wales) Regulations 2012 with respect to private rented properties to the eight District/Borough Councils within Derbyshire.

127/21 **AMENDMENTS TO OFF-STREET PARKING PLACES ORDER – PEAK DISTRICT NATIONAL PARK AUTHORITY** (Highways, Assets and Transport) The Executive Director for Place presented a report that sought approval for the County Council to make amendments to the existing Off-Street Parking Places Order on behalf of the Peak District National Park Authority (PDNPA) for car parks that were located in Derbyshire.

A request had been received from the PDNPA for the County Council to make an amendment to their existing Off-Street Parking Places Order, to allow for a number of additional car parks to be included for charging and enforcement, as well as the introduction of an overall increase in their tariffs.

The County Council assisted the PDNPA in the management and enforcement of a number of its car parks by creating an Off-Street Parking Places Order in 2018; utilising powers contained in Section 33(4) (b) of the Road Traffic Regulation Act 1984 and using the Traffic Management Act 2004 Part 6.

Approval to consult on and subsequently make and introduce this Order had been obtained from Cabinet at its meetings on 16 November 2017 and 8 November 2018.

Following the introduction of the Order, the County Council commenced enforcement of the included car parks through its own enforcement contractor, over an agreed number of monthly patrol hours. It had now been requested by the PDNPA that a number of additional car parks should be included, by an amendment to the Order, so that charging and enforcement could be carried out on the following nine car parks:

Car Park Name	Location
Barber Booth	Edale
Dennis Knoll	Hathersage
Derwent Overlook	Fairholmes
Friden	Newhaven
Hooks Carr	Hathersage
Minninglow	Pikehall
Moor Lane	Youlgrave
Narlows Lane	Thorpe
Thorpe Station	Thorpe

The PDNPA had also requested that the following six of its free car parks be included in the amended Order, in the event that it decides, at a later date, to make these charging and enforceable car parks:

Car Park Name	Location
Crowden	Longdendale
Derbyshire Bridge	Goyt Valley
Hurst Clough	Fairholmes
Ravensdale	near Cressbrook
The Street	Goyt Valley
Upperdale	Monsal Dale

At the same time as advertising the proposed inclusion of the above car parks, the PDNPA also wished to advertise proposed increases to its existing tariff structure and charges, as follows:

Tariff structure	Existing Charge (£)	Proposed Charge (£)
Up to 1 hr	1.50	£1.75
Up to 2 hr	2.50	£2.90
Up to 4hr	4.00	£4.65
All day	4.75	£5.50

Coaches (where permitted) 2hr	4.00	Increase to 3hrs £5.10
Horse boxes/lorries up to 2 hr	4.00	Increase to 4hrs £5.10
Horse boxes/lorries all day	7:00	£8.10
Motorcycles all day	1.50	£2.10
Blue badge holders	Free	Free
Cycles	Free	Free
Permits		
Visitors Permit (annual)	40.00	£66.00
Holiday permit (week)	15.00	£17.50

The report detailed consultation undertaken, referred to the relevant financial implications involved, alternative options that had been considered and other relevant implications that had been taken into account in its preparation.

RESOLVED to approve that consultation and other procedures for making an amendment to the Off-Street Parking Order, on behalf of the Peak District National Park Authority, as set out in the report, be commenced.

128/21 EXCLUSION OF THE PUBLIC FROM THE MEETING

RESOLVED that under Regulation 4(2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC HAD BEEN EXCLUDED FROM THE MEETING

1. To confirm the Exempt Minutes of the meeting of Cabinet held on 8 July 2021.
2. To confirm the Exempt Minutes of the following Cabinet Member Meetings:
 - (a) Corporate Services and Budget – 8 July 2021
 - (b) Adult Care – 22 July 2021
3. To consider the following exempt report:
 - (a) Buxton Crescent Hotel – Director of Finance and ICT Executive Director Place (Strategic Leadership, Culture,

Tourism and Climate Change and Corporate Services and Budget) (contains information relating to the financial or business affairs of any particular person (including the Authority holding that information))

PUBLIC

MINUTES of a meeting of the **CABINET MEMBER FOR HIGHWAYS ASSETS AND TRANSPORT** held on 15 July 2021**PRESENT**

Cabinet Member - Councillor K S Athwal

Also in attendance – Councillors C Cupit

10/21 **MINUTES** **RESOLVED** that the Minutes of the meeting of the Cabinet Member for Highways Assets and Transport held on 17 June 2021 be confirmed as a correct record.

11/21 **PERFORMANCE AND REVENUE OUTTURN 2020-21 – QUARTER 4**

The Cabinet Member was updated on the Council Plan performance and the revenue outturn position of the former Highways, Transport and Infrastructure portfolio for 2020-21 and contained some elements under different portfolios following the council Annual General meeting.

Progress was "good" for 2 out of the 5 Council Plan deliverables, however, the deliverables "Roads and highways infrastructure", "Derbyshire infrastructure plan" and "Highway and Transport Services" have been flagged as "requiring review" After the use of additional Covid-19 funding, the outturn position for 2020-21 is an overspend of £0.137m. It was calculated that £2.346m of savings have been achieved by the year end. This compared to target savings of £6.660m and the value of savings initiatives, which have been identified for implementation in the current year, of £2.976m.

The key areas of success were the Completion of Contract 2 for fibre enabled broadband essentially achieved in December 2020, which has resulted in 21,000 additional properties being connected. BT has connected an additional 90,000 premises as part of commercial roll out (Fibre to Premise). Connection will continue to 64 communities from Quarter 4 onwards as part of completing the work with BT on Contract 2.

Key areas for consideration were the percentage of highway defects completed within the year of 71% compared with 77.2% for the previous year, however additional resources have been applied to bring the situation back to a satisfactory position. A significant amount of the £40m programme of highway improvements schemes would be targeted at repairs resulting from the floods that hit the County in late 2019 and early 2020. A programme to tackle drainage and surface deterioration and prevent potholes was in progress.

The net controllable budget for the Highways, Transport and Infrastructure portfolio was £80.632m. The Revenue Outturn Statement for 2020-21 indicated that there was a year-end overspend of £0.137m.

The key variances included Waste Management (underspend £2.795m), Public and Community Transport (underspend £0.625m), Winter Maintenance (overspend £2.139m), Highway Management and Land Reclamation (underspend £0.773m), Council Fleet Services (underspend £0.536m), Planning and Development (underspend £0.518m) and Unallocated Savings (overspend £3.684m). The figures included all additional costs incurred due to Covid-19 and the funding provided to cover those costs.

Budget reduction targets totalling £1.426m were allocated for the year, with a brought forward figure from previous years of £5.234m, resulting in total reduction targets to be achieved of £6.660m at the start of the year. Savings of £2.976m were identified in the year and £3.684m would be carried forward to 2021-22. Of the £2.976m identified, £2.346m was achieved and £0.630m was not achieved.

Growth items and one-off funding in the 2020-21 budget included Ash Dieback (£0.270m one-off) and Elvaston Castle Masterplan (£0.200m one-off).

Earmarked reserves relating to this portfolio, totalling £18.931m, were currently held to support future expenditure. The debt position was also detailed in the report and to 31 March 2021 the value of debt written-off was £0.026m.

RESOLVED to note the report.

12/21 **EXCLUSION OF THE PUBLIC** **RESOLVED** that under Regulation 4 (2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC, INCLUDING THE PRESS, WERE EXCLUDED FROM THE MEETING

1. To consider the exempt Report of the Executive Director – Place on Extension to Fuel Card Contract
(contains information relating to the financial or business affairs of a particular company (including the Authority holding that information))

Meeting start time: 10:00

Meeting end time: 10.35

MINUTES of a meeting of the **CABINET MEMBER FOR HIGHWAYS ASSETS AND TRANSPORT** held on 12 August 2021

PRESENT

Cabinet Member - Councillor K S Athwal

Also in attendance – Councillors C Cupit

14/21 **PETITIONS** **RESOLVED** (1) to receive the under-mentioned petitions: -

Location/Subject	Signatures	Local Member
New Mills, Goyt View – Request for traffic safety measures	47	Councillor A Clarke
Dronfield, Hanbury Close – Request for Further Road Surface Repairs	21	Councillor A Dale

(2) that the Executive Director – Place be asked to investigate and consider the matters raised in the petitions.

15/21 **MINUTES** **RESOLVED** that the Minutes of the meeting of the Cabinet Member for Highways Assets and Transport held on 17 June 2021 be confirmed as a correct record.

16/21 **CARTER LANE BRIDGE: TRANSFER OF ROAD BRIDGE OVER FORMER RAIL LINE FROM HIGHWAYS ENGLAND HISTORIC RAIL ESTATE**

The Carter Lane Bridge carried the busy B6031 over a former rail line that now formed part of the Key Cycle Network connection between the Shirebrook Greenway and the Dukeries Trail. The bridge was currently owned by Highways England Historic Rail Estates (HEHRE) and has now deteriorated to the point where HEHRE did not consider that it was suitable to carry 40 tonne vehicles. The liability for this structural deficit lies with the Highway Authority due to the obligations placed on HEHRE by Section 117 of the 1968 Transport Act.

HEHRE has indicated that unless the structure was transferred to the ownership of Derbyshire County Council, then it would infill under the bridge which would sever the cycle link. The alternative was therefore for Derbyshire County Council to take ownership of the bridge under Section 94 of the Highways Act 1980 and a strengthening scheme be carried out which would provide a structural design life of over 120 years at

an estimated cost of £240,000. HEHRE has committed to fund one third of the cost of this work and Nottinghamshire County Council, who has an interest in the project to ensure the Cycle Network it put in place remained open, has also committed to fund one third of the cost. A sum of £80,000 has been allocated and approved within the Structures Management 2021 Local Transport Plan (LTP) Capital Programme to fund the Derbyshire County Council share of the scheme.

RESOLVED (1) to accept the transfer of Carter Lane Bridge to Derbyshire County Council ownership; and

(2) to accept the joint funding offer from Highways England Historic Rail Estates and Nottinghamshire County Council for strengthening works.

17/21 DEDICATION OF LAND IN CHILDREN'S SERVICES PORTFOLIO AS A PUBLIC RIGHT OF WAY Clowne Greenway formed a section of Derbyshire's Key Cycle Network and has proved to be highly popular with all users. The internal path structure within a housing development constructed by AVANT Homes off Skinner Street in Creswell provided a connection to the Clowne Greenway, and a Section 106 agreement secured through Bolsover District Council's Planning Department included a financial contribution towards the development of a further off-site link path to allow access directly to the village centre and the Creswell railway station.

In the interests of promoting Active Travel a short path between the Greenway and the railway station would provide a joined-up approach to promote car free journeys. The land was included in the portfolio of Children's Services and provided a more direct connection to the station. The first part of the route formed the Gypsy Lane carriageway and footway, which was currently used as the main entrance to the Infant School, however a new entrance was currently being designed, and the second part was a grass and stoned surface. The intended works would form a bound surfaced track with a stoned edging to minimise onward maintenance costs. The public footpath to be dedicated was detailed on the plan attached to the report. Once dedicated the statutory consultation process would be undertaken for a Cycle Track Order to be made to establish the legal rights for cyclists to use the route, as well as pedestrians.

RESOLVED (1) to accept the dedication of land, as shown on the plan attached to the report, as a public right of way carrying the status of public footpath with concessionary rights for cycling; and

(2) to accept the future maintenance of this path.

18/21 EXCLUSION OF THE PUBLIC **RESOLVED** that under Regulation 4 (2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt

information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC, INCLUDING THE PRESS, WERE EXCLUDED FROM THE MEETING

1. To confirm the exempt minutes of the meeting of the Cabinet Member – Highways Assets and Transport held on 15 July 2021

19/21 **EXEMPT MINUTES** **RESOLVED** that the exempt minutes of the meeting of the Cabinet Member for Highways Assets and Transport held on 15 July 2021 be confirmed as a correct record.

Meeting start time: 10:00

Meeting end time:

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PUBLIC

MINUTES of a meeting of **CABINET MEMBER MEETING - CLEAN GROWTH AND REGENERATION** held on Thursday 29 July 2021 at County Hall, Matlock

PRESENT

Councillor T King – Cabinet Member

Also in attendance: Councillor A Gibson

07/21 **MINUTES** **RESOLVED** to confirm the non-exempt minutes of the meeting of the Cabinet Member for Clean Growth and Regeneration held on 8 April 2021.

08/21 **PERFORMANCE AND BUDGET MONITORING/FORECAST OUTTURN 2020-2021 AS AT QUARTER 4** The Cabinet Member was provided with an update on the Council Plan performance position and the revenue budget position of the Clean Growth and Regeneration portfolio for 2020-2021.

The report showed that progress was "good" or "strong" for the majority of the Council Plan deliverables led by the portfolio however Climate change framework had been flagged as "requiring review". After the use of additional Covid-19 funding, the outturn position for 2020-2021 was an overspend of £0.147m.

The report contained detailed tables and graphs showing an overview of progress on deliverables and measures. Climate Change Framework, was being taken forward as part of Vision Derbyshire with leads for the 'Living and Working Sustainably' had been agreed. Climate action planning was also continuing with the D2 Action Planning process led by Midlands Energy Hub. Soft Market Testing had been undertaken to get feedback prior to developing the specification for a tender for producing a Natural Capital Strategy and there has been increased activity in this area of work with the recent appointment of a Climate Change Programme Manager.

Economic Development saw an overspend of £0.138m following the suspension of planned projects and activities due to COVID-19. Employment Development saw an overspend due to salary costs that were not budgeted for and pending rationalisation of the Economy and Regeneration Service. Markham Vale saw an underspend of £0.095m due to an increase in salary recharges to capital. These were detailed within the report.

Earmarked reserves totalling £0.619m were held to support future expenditure; these were detailed in the report.

RESOLVED that the Cabinet Member note the report.

Signed
Cabinet Member for Clean Growth & Regeneration

Date Page 23

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MINUTES of a meeting of the **CABINET MEMBER FOR HEALTH AND COMMUNITIES** held on 22 July 2021 at County Hall, Matlock

PRESENT

Councillor C Hart – Cabinet Member

35/21 **MINUTES RESOLVED** that the non-exempt minutes of the meeting of the Cabinet Member for Health and Communities held on 17 June 2021 be confirmed as a correct record.

36/21 **PERFORMANCE AND REVENUE OUTTURN 2020-21** The Cabinet Member was provided with an update on the Council Plan performance position and the revenue budget position of the Health and Communities portfolio for 2020-2021.

The report showed that progress was "good" or "strong" for the portfolio. After the use of additional Covid-19 funding, the budget forecast position saw an underspend of £2.091m. It was calculated that £0.216m of savings had been achieved by the year end compare to target savings of £0.150m and the value of savings initiatives, which had been identified for implementation in the current year, of £0.216m.

The report contained detailed tables and graphs showing an overview of progress on deliverables and measures. Key areas of success were Pause Derbyshire which continued despite the challenges of the pandemic and service restrictions; the Community Response Unit in response to winter pressures and Covid-19; and online weight management programme. Areas for consideration were 0-5 Service and new pre-school contact. Appendix A provided further information.

The net controllable budget for the portfolio was £11.248m. The Revenue Outturn Statement for 2020-21 indicated that there was a year-end underspend of £2.091m. The significant areas which made up the outturn were detailed in the report.

Key variances in Live Life Better Derbyshire, Sexual Health, OBA Priorities, Place Based Approach and Trading Standards were detailed showing underspends, and the Coroners Service saw an overspend of £0.167m. Covid funding was also detailed.

Budget reduction targets totalled £0.216m. There was an over-achievement of savings of £0.066m which was brought forward to the current year and resulted in total reductions of £0.150m at the start of the year.

The portfolio received additional budget allocations: Coroners service - £0.101m and Community Safety Domestic Violence - £0.500m one-off payment. Earmarked reserves were detailed within a table and had already been committed for 2021-22 onwards.

RESOLVED – that the Cabinet Member note the report and consider whether there were any further actions that should be undertaken to address performance, where it has not met the desired level.

37/21 PUBLIC HEALTH APPROACH TO ADDRESSING THE DIGITAL DIVIDE Approval was sought from the Cabinet Member to commence a procurement exercise to secure specialist provider support to develop and initiate implementation of a public health digital inclusion strategy for Derbyshire over a period of 18 months and up to a value of £0.150m.

It was estimated that approximately 1.9 million households in the UK did not have access to the internet and were digitally excluded and up to 9 million people struggled to use the internet independently. The COVID-19 pandemic had further highlighted the digital divide and there was a growing recognition of the importance of internet access within family and social networks, communities and the economy, accelerating the need to address the digital divide. Figures showed that in Derbyshire, 62,000 people may never have used the internet and many more lacked basic digital skills.

There was a strong correlation between digital exclusion, financial exclusion and social exclusion. COVID-19 had led to a widening of this digital divide, as more products and services moved online and became 'digital by default'. Those facing digital exclusion were likely to have other challenges and factors that made it difficult for them to access and engage with services and/or get support and information. Digital inclusion was essential to reduce health inequalities and help tackle financial and social exclusion. Further local studies had highlighted that digital approaches were transforming society and was at the heart of how public, social and economic life was configured.

In April 2021 the Public Health Investment Panel received and supported an application for investment to initiate the development of a public health approach to address digital exclusion. The proposal put forward a strengths-based collaborative effort to tackle and break down barriers to creating a digitally inclusive Derbyshire; the key elements were detailed within the report. The proposal and costings had been informed and benchmarked by examples of good practice including the successful model adopted by the '100% Digital Leeds' programme, which was used to inform the proposal outlined. Further background information could be found in Appendix 1.

Investment in digital inclusion would drive two types of economic return:

1. Direct savings to the investor by encouraging and enabling beneficiaries to use online public services which had a lower delivery cost.
2. Indirect savings and economic benefits relating to the wider effects of digital inclusion on behaviour: these include personal cost savings e.g. better financial products available online, potential gains from earning, impact on employment rates, savings to the NHS (through reduced face to face dependency on Primary Care).

Key stakeholders had already demonstrated a significant appetite to be involved in partnership work around this agenda plus a well-established Public Health locality programme offered the opportunity to connect with local people, supporting and empowering them to influence the project planning and development. A wide variety of work was underway and additional capacity was needed to strengthen, build, identify, engage and link partners across the complex system.

RESOLVED – that the Cabinet Member approve commencement of a procurement exercise, to secure specialist provider support to develop and initiate implementation of a public health digital inclusion strategy for Derbyshire, over a period of 18 months and up to a value of £0.150m.

38/21 REDUCING THE HARM OF SUBSTANCE MISUSE IN DERBYSHIRE
Approval was sought from the Cabinet Member approval for the award of Recovery Month grants to a total value of between £3,790 (grant funded if all the lower cost activities went ahead) and £8,085 (grant funded if all the higher cost activities went ahead).

Recovery Month, a national event, took place in September each year and had been celebrated in Derbyshire since 2014. Local activities had previously concentrated on large scale events in Chesterfield, which took considerable resources to organise, and a number of smaller but active recovery organisations from other parts of the county; these had struggled to take part in a meaningful way, which had resulted in Recovery Month in Derbyshire losing momentum.

On 13 November 2018 approval was granted for the allocation of £20,000 over a three year period to encourage individuals, treatment services and recovery organisations to undertake their own projects, events and activities in their own localities. This had revitalised the efforts of both local and countywide organisations and their service users and had generated a renewed energy and enthusiasm for collaborative working around recovery. Small grants had been offered to ensure that finance was not a barrier to delivering innovative and local recovery events, or engaging with larger organised recovery events.

Due to the uncertainty around the COVID-19 pandemic, plans for Recovery Month activities during 2020 had to be scaled back however, a few organisations were able to do some small scale, outdoor activities such as a recovery walk. From February 2021, organisations were invited to apply for funding to support Recovery Month activities in September 2021 but were advised where possible to plan a main activity and a contingency activity and plan outdoor activities.

Applications were submitted covering ten activities/events; nine activities/events scored successfully against the scoring criteria and grants awarded accordingly – these were tabled in detail within the report. All organisations currently deliver projects with people in recovery in Derbyshire.

RESOLVED - that the Cabinet Member approve the award of grants as detailed in the report to support Recovery activities for 2021 in Derbyshire.

39/21 TRANSFER OF SEXUAL HEALTH OUT OF AREA UNDERSPEND TO DERBYSHIRE COMMUNITY HEALTH SERVICES NHS FOUNDATION TRUST AND PROCUREMENT OF A RESEARCH PROJECT

Approval was sought from the Cabinet Member to transfer a proportion of the underspend from the Public Health Sexual Health 20/21 Out of Area Budget to Derbyshire Community Health Services NHS Foundation Trust (DCHS) to support innovations in the Sexual Health Promotion Service and to procure and award a research project with the aim of understanding the sexual health and wellbeing needs and barriers to accessing services for those who are disproportionately affected by poor sexual health outcomes.

The annual budget for the Derbyshire Integrated Sexual Health Service for 2020/2021 was £4,971,457, allocated across five tariff-based elements of the contract totalling £4,148,409. During 2020/2021 financial year, all payments for the sexual health service had been paid using block payments, which do not include the additional Out of Area (OOA) budget for Derbyshire residents who access these services in other areas of the country. The COVID-19 pandemic resulted in a substantial underspend within the OOA budget of £837,721.

Approval had been given to utilise a proportion of this underspend to support the delivery of additional sexual health services with the transfer of some of these funds to DCHS. This enabled the Sexual Health service to provide the highest service quality by utilising core contacts, knowledge, and skills and an excellent opportunity for a joint working partnership approach between Derbyshire County Council and Derbyshire Community Health Services NHS FT.

The additional elements were:

1. Sexual Health Promotion Outreach Van;
2. Derbyshire Integrated Sexual Health Service (ISHS) Accessibility and Engagement Fund, to support organisations and groups which work with communities most vulnerable to poor sexual health;
3. MSM (sexually active gay, bisexual and other men who have sex with men) who were at higher risk of contracting STIs and HIV;
4. IT developments; and
5. Summer campaign to encourage those aged 16 and over to have fun with their freedom but enjoy safer sex.

The report went on to seek to procure an organisation with a proven track record of research and evaluation to:

1. undertake a piece of collaborative research with DCHS to understand the sexual health and wellbeing needs and barriers to accessing services for those which were disproportionately affected by poor sexual health outcomes; and
2. provide a set of co-developed recommendations for delivering effective and accessible Integrated Sexual Health services.

Evidence suggested that investing more in the upstream sexual health promotion elements of the service improved long-term outcomes. There was strong evidence of cost-effectiveness for a range of sexual health interventions and

development to show impact of sexual health interventions on wider socio-economic factors.

RESOLVED - that the Cabinet Member (1) approve the transfer of funding to DCHS to the value of £185,000 from the OOA budget for the Sexual Health Promotion Outreach Van; Derbyshire Integrated Sexual Health Service (ISHS) Accessibility and Engagement Fund; commission a specialist for MSM & LGBT community; IT developments; and marketing projects;

(2) approve the procurement of a provider to undertake a piece of collaborative research with DCHS to understand the sexual health and wellbeing needs and barriers to accessing services for those which are disproportionately affected by poor sexual health outcomes with a maximum value of £60,000; and

(3) delegate the authority to award a contract for the research to the Director of Public Health.

Signed
Cabinet Member for Health & Communities

Date

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PUBLIC

MINUTES of a meeting of the **CABINET MEMBER FOR CORPORATE SERVICES & BUDGET** held on 29 July 2021 at County Hall, Matlock.

PRESENT

Cabinet Member – Councillor S Spencer

Also in attendance – Councillor L Grooby

28/21 **MINUTES RESOLVED** that the minutes of the meeting of the Cabinet Member for Corporate Services & Budget held on 8 July 2021 be confirmed as a correct record and signed by the Cabinet Member.

29/21 **EXCLUSION OF THE PUBLIC RESOLVED** that under Regulation 4 (2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC WERE EXCLUDED FROM THE MEETING

1. To confirm the exempt minutes of the meeting held on 8 July 2021 (contains exempt information)
2. To consider the exempt report of the Managing Executive Director Commissioning, Communities and Policy on Pinxton Youth Centre (contains information relating to the financial or business affairs of any particular person (including the Authority holding that information))

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PUBLIC

MINUTES of a meeting of the **CABINET MEMBER – EDUCATION** held on 3 August 2021.

PRESENT

Councillor A Dale

Also in attendance – Councillor M Yates.

Apologies for absence were submitted on behalf of Councillors N Gourlay, G Hickton and J Patten

07/21 **MINUTES** **RESOLVED** to confirm that the minutes of the meeting of the Cabinet Member for Education held on 6 July 2021.

08/21 **CONFIRMATION OF NOMINATIONS OF SCHOOL GOVERNORS**

The Cabinet member had been asked to confirm the nominations of persons to serve as local authority school governors.

Nominations to school governing boards were proposed as set out below:

Any appointments made by governing boards would be subject to the completion of a Declaration of Eligibility form, a proof of identity check and an enhanced DBS check

Name	School	Nominating Councillor	Appointment
Amber Valley F Atkinson	Belper School & Sixth Form	J Nelson	Re- appointment
Chesterfield A Downing	Hady Primary School	L Ramsey	Re - appointment
North East M Fairclough	Ridgeway Primary School	C Renwick	Re -appointment

RESOLVED that to confirm the nominations for persons to serve as local authority governors as detailed in the report

09/21 **DEDICATION OF LAND IN CHILDRENS SERVICES PORTFOLIO AS**

PUBLIC RIGHT OF WAY Approval was sought from the Cabinet Member to dedicate land, held under the portfolio of Children’s Services, as a public footpath

with concessionary rights for cyclists. This report would also be considered by the Cabinet Member of Highways Assets and Transport.

Derbyshire County Council acquired the Clowne Branch Line in 2014 and had subsequently carried out a project to remove dereliction, regenerate a wildlife corridor and provide approximately 5 miles of traffic free highway between Seymour and Creswell. This route, known as the Clowne Greenway, forms a section of Derbyshire's Key Cycle Network. It is now available for use and has proven highly popular with all users

The eastern end of the route issues from the former branch line through a housing development off Skinner Street in Creswell, recently under construction by AVANT Homes. The internal path structure within this housing site provided a connection to the Clowne Greenway. A Section 106 agreement was also secured through the Bolsover District Council's Planning Department to include a financial contribution towards the development of a further off-site link path to allow access directly to the village centre and the Creswell railway station. Without this link, the route to the railway station is significantly increased by road and pavement by way of Skinner Street and Elmton Road.

In the interests of promoting Active Travel through walking and cycling, and in line with current Government policy, a short path between the Greenway and the railway station would provide a joined-up approach to promote car free journeys.

The land was included in the portfolio of Children's Services and provided a more direct connection to the station. The corridor was in two parts, the first part forming Gypsy Lane carriageway and footway, which was currently used as the main entrance to the Infant School. However, a new entrance to the school is being designed to connect directly from the new estate road within the housing site. The second part was a grass and stoned surface, allowing access for grounds maintenance vehicles. The intended works would form a bound surfaced track with a stoned edging to minimise onward maintenance costs.

It was intended that the full width and length of this land would be dedicated as a public footpath, initially with concessionary cycle rights, but subsequently converted into a cycle track, in order that future maintenance of its surface would become the responsibility of the Place Department in perpetuity. Ownership of the subsoil remains with Children's Services who will be responsible for maintaining the new security boundary fence.

The public footpath to be dedicated was shown on the plan appended to the report. Once the public footpath has been dedicated, a statutory consultation process would be undertaken for a Cycle Track Order to be made to establish the legal rights for cyclists to use the route, as well as pedestrians.

RESOLVED to approve the dedication of land, as shown on the plan

appended to the report, as a public right of way carrying the status of public footpath with concessionary rights for cycling.

10/21 SCHOOL BUDGETS: DEFICIT BALANCES AND RECOVERY PLANS BRINGING BUDGETS INTO BALANCE OVER MORE THAN ONE FINANCIAL YEAR

Approval was sought to requests from governing bodies of schools with budget deficits to implement budget plans in order to repay the deficits over more than one year, and to report on the schools which have still to agree a deficit recovery plan.

Section 4.9 of “The Derbyshire Scheme for Financing Schools” provides that a governing body unable to prepare a balanced budget may make a formal request to the Cabinet Member for Education to implement a plan to bring school’s budget into balance over more than one year. Cabinet Member approval is required where a school plans to operate a deficit exceeding: Nursery/primary/special schools both 3% of the budget share and £10,000; and Secondary schools either 3% of the budget share or £150,000.

Before making such a request, the school must demonstrate to officers from Children’s Services and Corporate Finance that all other options had been exhausted. Approval to repay a deficit was normally only allowed over a maximum of three years. However, additional years may be granted if, in the opinion of the Executive Director for Children’s Services, the school’s ability to deliver the national curriculum would otherwise be seriously jeopardised and that no other reasonable course of action is available.

The estimated balances and pupil numbers of the schools referenced were detailed in Appendix 2 to the report.

RESOLVED (1) to approve that Charlesworth Primary School, Fairmeadows Foundation Primary School and Calow Primary School be allowed to recover their budget deficits over more than one financial year;

(2) to note the position of the Wirksworth CE Infant School and New Mills School that were currently unable to submit a balanced budget recovery plan within three years, as detailed in the report; and

(3) to require the Governing Bodies of Wirksworth C.E. Infant School and New Mills School to agree and submit plans to the Authority by the end of the current financial year that eliminate the accumulated deficit within a timeframe acceptable to the Authority

11/21 SCHOOL TERM DATES 2021-22 The Cabinet Member was asked to note that term dates for 2021-22 would need to be amended by schools and academies closing for an additional day to take account of the extra bank holiday in 2022 to celebrate the Platinum Jubilee of Her Majesty the Queen and that each

school or academy would be asked to determine the additional day they will close

The DfE had confirmed that they intended to amend the School Teachers' Pay and Conditions Document to reflect the additional bank holiday in teachers' terms and conditions and would be consulting with teacher unions on the proposed changes over the summer as part of the Governments consultation process for the 2021 pay award.

RESOLVED that in anticipation of the proposed changes being implemented with the School Teachers' Pay and Conditions Document 2021, .to note the proposal in relation to school term dates to be recommended to Derbyshire schools and academies in 2021-22

PUBLIC

MINUTES of a meeting of the **CABINET MEMBER – ADULT CARE** held on 25 August 2021

PRESENT

Councillor N Hoy (in the Chair)

Also in attendance was Councillor D Muller.

No apologies for absence had been received.

31/21 **MINUTES RESOLVED** that the minutes of the meeting held on 22 July 2021 be confirmed as a correct record.

32/21 **REVIEW OF URGENT OFFICER DECISIONS TAKEN TO SUPPORT THE COVID-19 RESPONSE** The challenges relating to the COVID-19 pandemic had necessitated urgent decision-making processes by the Executive Director for Adult Social Care and Health to be implemented to ensure the welfare of service users and the public and to safeguard the interests of the Council. The decisions had been made under the urgent delegated powers to Executive Directors as set out in the Constitution.

The report detailed summary updates on the reviews taken place since the last Cabinet Member meeting on 22 July 2021. All review decisions had been discussed with the Executive Director and Cabinet Member following review by Senior Management Team. A copy of the most up to date version of the Officer Decision Records was attached at Appendix 1.

RESOLVED that the Cabinet Member (1) note the latest position following the review of decisions made under urgent delegated powers arising from the COVID-19 Pandemic; and (2) confirm the arrangement to allow for future review decisions to be made on a fortnightly basis by the Executive Director for Adult Social Care and Health. A summary of which would be contained within the report monthly from 24 June 2021 onwards.

33/21 **DISTRIBUTION OF THE INFECTION CONTROL FUND AND RAPID TESTING FUND GRANTS 2021/22** The Adult Social Care Infection Control Fund was first introduced in May 2020 to support adult social care providers in England with infection prevention and control. The Rapid Testing Fund was introduced in January 2021 to support additional rapid lateral flow testing of staff in care homes, and enable indoors, close contact visiting where possible.

Due to the success of the Infection Control Fund and the Rapid Testing Fund in supporting care providers to reduce transmission and re-enable close

contact visiting, these funding streams had been consolidated and extended until June 2021.

The Department of Health and Social Care (DHSC) had provided the ICTF grant, and more recently the EICTF grant to the Council to support adult social care providers, including those with whom the local authority did not have a contract:

- i. to reduce the rate of COVID-19 transmission within and between care settings through effective infection prevention and control practices and increase uptake of staff vaccination; and
- ii. to conduct additional rapid testing of staff and visitors in care homes, high-risk supported living and extra care settings, to enable close contact visiting where possible.

The DHSC requested that local authorities distributed the grants to eligible care providers who satisfied the conditions of the respective grants.

This funding was initially for the period 1 April 2021 to 30 June 2021, but had since been extended via the EICTF for a further three months to cover the period 1 July 2021 to 30 September 2021.

The grant conditions included a clause that all unspent monies at the end of each relevant period (ICTF: 30 June 2021 and extended ICTF: 30 September 2021) were to be returned to the DHSC.

The report outlined how grant monies had been spent during the first allocation of funding between the period of April to June 2021 as well as how the second allocation of funding within the period July to September would be spent.

RESOLVED that the Cabinet Member (1) note the distribution of the infection prevention and control and rapid testing allocation for April to June 2021 from the Adult Social Care Infection Control and Testing Fund; and (2) approve the distribution of the infection prevention and control and testing funding allocation from the Adult Social Care Extension to Infection Control and Testing Fund for July to September 2021.

SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC WERE EXCLUDED FROM THE MEETING

1. To confirm the exempt minutes of the meeting held on 22 July 2021.

34/21 **MINUTES** **RESOLVED** that the exempt minutes of the meeting held on 22 July 2021 be confirmed as a correct record (contains exempt information).



Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 SEPTEMBER 2021

Report of the Managing Executive Director

Vision Derbyshire – Phase 4 Implementation Proposals
(Strategic Leadership, Culture, Tourism and Climate Change)

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 This is a key decision because it is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas in the County.

3. Purpose

3.1 To provide an update on work taking place with Derbyshire Councils on Vision Derbyshire and the emergence of the opportunities presented through a County Deal and, to seek approval for the Council's involvement in Phase 4 development and implementation and to establish a Joint Committee of local authorities within Derbyshire, the Vision Derbyshire Committee, to progress a collaborative working model between local authorities across Derbyshire which seeks to improve outcomes for people and place through closer working between those authorities.

4. Information and Analysis

4.1 Background

Over the last two years, Councils across Derbyshire have been working towards a new collective and ambitious vision and model of local government collaboration, integration and shared leadership, which has looked beyond traditional partnerships and focused on achieving the greatest public value for local people and communities.

Phase 1 of the approach which commenced in July 2019, saw all ten Councils in Derbyshire – the County Council, City Council and eight District and Borough Councils – working together to develop a shared set of priorities and to agree to strategically collaborate on the improvement of outcomes for people and places, to speak with one voice as a county, to provide a viable alternative to unitarisation and to coordinate resources better and more sustainably.

Phase 2 of the approach, involving all Derbyshire Councils except Derby City, resulted in the development of Vision Derbyshire, an approach to non-structural reform and a case for change and proposition to central government focused around four key ambitions as follows:

- Seize innovation - pioneering skills and technologies for a sustainable future economy
- Establish relentless ambition - creating opportunities for everyone in Derbyshire and making these visible:
- Build proactive communities - harnessing the energy in Derbyshire's communities and empowering people to make change:
- Live and work sustainably - committing to a zero-carbon footprint in our tourism, wider economy and ways of working

A number of enablers, including: leadership; culture; strategy; technology; workforce; customers; and assets, to support and embed collaboration, were also identified during the development of Phase 2, alongside estimated organisational and wider system benefits that could potentially be achieved if the new approach were to be taken forward.

New formal governance arrangements, to support effective decision making, were also identified during this phase as being crucial in taking the approach forward, in addition to a number of asks and offers to Government to support the realisation of ambitions.

In September 2020, a report to Council, provided an update on Vision Derbyshire in the context of the awaited Devolution and Local Recovery White Paper, with reports at the time suggesting that this would pave

the way for widespread local government reorganisation. Whilst the Council approved structural reform as a viable alternative option for local government reform in the event Vision Derbyshire was not able to satisfy the Government's requirements for reform, Vision Derbyshire was approved as the Council's preferred option.

Since that time, work has actively been taking place to further develop the Vision Derbyshire approach. In October 2020, Leaders and Chief Executives of eight Derbyshire Councils (all Derbyshire Councils excluding Derby City Council and South Derbyshire District Council), agreed to progress and commence implementation of Vision Derbyshire proposals, translating the case for change and proposition to central government, developed during Phase 2, into a practical programme of work across the following five workstreams:

- Strategic and Tactical Development
- Building a Team
- Accelerated Delivery Programme
- Governance Model
- Communications and Stakeholder Engagement

Vision Derbyshire Phase 3 has sought to:

- Maintain the momentum successfully built up during earlier phases, extending engagement to a broader range of Members, employees and partner organisations through agreed implementation and delivery proposals
- Mobilise delivery in order to accelerate the implementation of agreed priorities initially focusing on a number of early start areas aligned to the four agreed ambitions areas
- Develop draft governance proposals for Vision Derbyshire through the development of new Joint Committee arrangements
- Build a broader short and medium-term delivery programme to meet agreed ambitions.

Phase 3 has seen significant progress being made in a relatively short period of time and has been successful in demonstrating the true potential of the approach. During Phase 3, Leaders and Chief Executives supported by Lead Officers from across the range of participating Councils have been given responsibility for identified thematic programmes of work. This has accelerated shared leadership and accountability and developed trust and collective buy in to the approach.

Phase 3 has also seen the design and development of joint programmes of work to support identified priorities and this has presented opportunities to showcase activity and the benefits of the model in delivering improved outcomes for people and place, for example through the development of a new county wide Business Entrepreneur Scheme and new Homelessness approach.

Engagement sessions specifically aimed at broadening wider Elected Member involvement took place between January and March 2021 and have provided a basis for the fostering of even closer working relationships through a clearer understanding and involvement in Vision Derbyshire implementation which will deliver benefits on the ground.

Rapid progress has been made on governance with Lead Officers, from a number of participating Councils, involved in the Governance Working Group designing and developing proposals for new formal governance arrangements through a new Vision Derbyshire Joint Committee which are presented in this report for consideration and endorsement.

Work to develop communications and engagement of the approach, which will be vital as activity on the ground emerges, has also taken place with an initial focus on the development and design of a new Vision Derbyshire brand through a partnership with the University of Derby.

Phase 3 has ultimately put in place the foundations and architecture for future phases of the Vision Derbyshire approach and this will put Derbyshire Councils in an excellent position to rapidly accelerate future proposals.

4.2 Current Position

Vision Derbyshire has been driven forward and involved a significant investment of time, hard work and goodwill from participating councils and their leaders, executive officers and lead officers. The future of the approach is now at a critical stage of development, given what it potentially at stake.

At a meeting of Vision Derbyshire Leaders and Chief Executives in March 2021, proposals to take forward the approach were approved and Phase 3a, which commenced in April 2021, is now underway. Phase 3a seeks to take advantage of the significant progress made during Phase 3 putting in place plans and making the necessary preparations for the delivery of a wider, ambitious programme of work as part of Phase 4 proposals. The key aims of Phase 3a are to:

- Scope out and develop detailed programme delivery plans for the four thematic ambition areas ensuring these are developed and in place in advance of Phase 4 implementation
- Identify and scope out the key enablers and potential shared services which can be further developed through the Vision Derbyshire approach
- Secure the buy in to, and involvement of participating councils to new formal governance arrangements
- Identify and secure resources for the creation of dedicated joint programme support
- Broaden involvement through additional Elected Member, and a series of new Senior Management Team, engagement sessions across participating Councils to support the development of programme delivery plans
- Re-engage with central government and other key stakeholders to identify opportunities to maximise opportunities for Derbyshire to support identified ambitions and priorities.

It is anticipated that all necessary plans and activity will be completed in readiness for the launch of Phase 4 at the end of September 2021.

4.3 County Deals and Devolution

A key principle of the approach is to put in place arrangements and a model to position Derbyshire at the forefront of any national developments including securing a potential devolution deal and maximising additional resources into the county. The national landscape has recently shifted, with a move away from Devolution and Local Recovery to Levelling Up. Recent announcements by the Prime Minister and Secretary of State Robert Jenrick MP about plans for the Levelling Up agenda have signalled a move towards the creation of County Deals and this presents significant opportunities for Derbyshire Councils through Vision Derbyshire in this shift, to present a credible model to Government.

Reduced public sector funding and increasing demand for services driven by demographics and long standing social, health and economic pressures mean that the Council, like many other authorities across the country, continues to face significant challenges in providing the services that local people need and want with available resources. The resulting impact of the pandemic on the national economy is likely to be significant and the anticipated financial shock on public finances will place local government under increasing pressures to deliver services with increasing demand and reduced resources.

Based on existing deals, a County Deal for Derbyshire could incorporate directly devolved investment in infrastructure, skills, transport and housing. Such investment would be of vital importance in enabling the local and regional economy to recover from the pandemic for the benefit of local people.

Given the anticipated publication of the forthcoming Levelling-Up White Paper, expected in the Autumn, many councils are actively considering their routes to securing a County Deal. Government are currently in discussions with a number of authorities across the Country and it is expected that the Government will invite a small number of these to be pilot areas. The Council recently submitted a formal expression of interest requesting that Derbyshire be considered as a potential County Deal pilot area.

Whilst this is an emergent process, the Council understands that those authorities who can submit the clearest, most innovative and readily deliverable plans for non-structural reform in their areas, with proposals for strong governance alongside, are most likely to be successful. Therefore, the need to mobilise as a group of Vision Derbyshire Councils in this process will be critical in ensuring that vital resources and funding are drawn into the county to meet identified ambitions.

Phase 4 proposals set out in the report will ensure that the necessary governance and structures to deliver at pace are in place to take advantage of opportunities as these arise in advance of the White Paper being published and before any new proposals and funding streams for future Levelling Up activity is announced.

4.4 Phase 4 Vision Derbyshire Proposals

Phase 4 Vision Derbyshire proposals seek to build on the foundations and architecture built during previous phases whilst clearly focusing on programme delivery specifically aimed at achieving better outcomes for local people and places across Derbyshire. Phase 4 of the Programme will seek to:

- Confirm detailed implementation proposals developed during Phase 3a and commence delivery of agreed short and medium-term programmes of activity focused on achieving the four Vision Derbyshire strategic ambitions

- Establish a new joint Vision Derbyshire programme team, tasked with accelerating and supporting the implementation of delivery plans and proposals
- Build on the success of Phase 3, further extending and deepening the engagement and involvement of Elected Members, senior managers and partner organisations through agreed implementation and delivery proposals
- Establish the new Vision Derbyshire Joint Committee embedding new decision-making processes across participating councils
- Build a broader longer-term delivery programme to meet agreed Vision Derbyshire ambitions.

4.5 Phase 4 Delivery Programme

Detailed delivery programmes for each of the four thematic ambitions are currently in development and will be in place by September 2021.

Key priorities for each of the ambitions which are set out below:

Ambition	Priorities
Seize Innovation	Business Entrepreneur Scheme
	Smart Towns Programme
Achieve Relentless Ambition	Careers
	Skills and Employment Research
	Festival of Business
	Social Mobility
Communities	Homelessness
	Independent Living
	Domestic Abuse
Live and Work Sustainably	Climate Change
	Shared Planning Policies

A high-level plan, which sets out key activity identified to date, alongside Leader, Chief Executive and Lead Officer leads is attached at Appendix A for consideration.

The benefits of progressing the Vision Derbyshire approach are significant, both in terms of the potential offered by the approach and the benefits that will be accrued through associated programmes of delivery.

New formal governance arrangements will enable a clear focus and accountability for key programmes of work which will streamline current arrangements, reduce duplication, and ensure resources can be effectively organised and deployed. They will also provide an opportunity to explore rationalisation of existing partnership structures and approaches, which will be essential given limited capacity and expertise and reducing public sector resources.

The case for change developed through Phase 2 of the approach set out potential benefits of taking forward Vision Derbyshire as a group of Derbyshire Councils – these include organisational benefits estimated at between £20m to £66m and wider system benefits estimated at approximately £1.08bn that could be achieved. Whilst these figures are indicative at this stage and subject to the decisions taken by individual Councils, it is clear that developing a joint approach to a number of complex challenges facing organisations at the current time both in terms of: programmes of activity which improve outcomes for people and place; and proactive joint working on key enablers, will undoubtedly result in better outcomes for people and place and savings.

Any benefits associated with Vision Derbyshire activity will need to link to agreed programmes of work as these develop and progress over forthcoming months.

4.6 Proposals for Future Governance - Vision Derbyshire Joint Committee

The creation of new formal governance arrangements to support effective decision making is a critical factor in delivering future Vision Derbyshire ambitions and progressing a County Deal. Formal governance is important in ensuring that Derbyshire Councils can work effectively, maximise opportunities for collaboration and provide a platform to enable agile and flexible formal joint decision making. Understanding the level of commitment to the approach is also essential in identifying the appetite of Derbyshire Councils to progress to Phase 4 and beyond, as well as participate in County Deal discussions over the forthcoming period.

A significant amount of work has been undertaken by the Governance Working Group during Phase 3 on the development of governance proposals. Draft proposals, for the establishment of a Vision Derbyshire Joint Committee, were developed and considered by Leaders and Chief Executives of the current participating Derbyshire Councils at their meeting on 12 March 2021. Leaders and Chief Executives were tasked with considering proposals within their own organisations and identifying

any potential issues and challenges in advance of proposals being brought forward for approval.

The final articles, functions and procedure rules for the proposed Vision Derbyshire Joint Committee are now attached at Appendix 3, for consideration and approval by the Council. These provide a framework for the Joint Committee to operate as the future decision-making body for matters where Derbyshire Councils will work collaboratively and demonstrate a collective political commitment and will to the approach moving forward. The articles set out the main aims of the Vision Derbyshire Joint Committee, which will:

- Provide collective leadership for Derbyshire
- Progress shared ambitions for the area
- Work together to tackle the issues and challenges outlined in the case for change; and
- Enable agile, timely and effective decision making.

The Joint Committee, on behalf of the participating authorities, will be responsible for improving the delivery of functions already within the remit of local authorities through statute or through specific collaborative work to improve the economic, environmental or social wellbeing of the areas within Derbyshire from the following broad definitions:

- Shared service arrangements
- Incorporating existing partnership arrangements within the Vision Derbyshire model
- Areas for collaborative system changes across authorities
- Specific thematic projects and priorities

The flow charts which are set out in Appendix 4 to this report, identify the likely flow of decision making that will be required under the four areas highlighted above.

The Joint Committee will initially have responsibility for the approval of agreed Phase 4 delivery programmes and the budgets and resources allocated to the full programme of work. It is likely that one of the first key tasks of the Joint Committee will be the sign off, of the detailed delivery programme as outlined in the report.

In the first instance, the Joint Committee will also have responsibility for previously agreed thematic areas of work such as climate change and social mobility. As the approach develops, consideration will be given to the Joint Committee taking on responsibility for existing partnership

arrangements such as the Joint Committee for Economic Prosperity and associated programmes of work.

As Phase 4 progress from September 2021, it is envisaged that the Joint Committee will take on new areas of work and responsibility as these emerge and develop. This will be subject to approval by the Council to delegate those additional functions to the Joint Committee. The further exploration of agreed enablers will for example, identify potential areas and opportunities for taking forward shared services which are likely to result in efficiencies to those Councils participating in the approach.

In order to form a Joint Committee two or more authorities in the area must delegate functions to that Joint Committee. All local authorities will be encouraged to delegate functions to enable full collaboration across the range of proposed activities that will form part of Vision Derbyshire and to deliver efficient and effective services with improved economies of scale on a county wide basis. However, the Articles, Functions and Rules of Procedure set out in Appendix 3 recognise that those authorities who choose not to delegate functions to the Joint Committee will still be able to appoint representatives to the Committee.

In order to preserve the decision-making rights of authorities delegating functions, it is proposed that voting will be restricted to those representatives who are from authorities which have delegated functions to the Committee.

In view of the fact that the Joint Committee is not a legal entity, to progress the approach and to ensure the effective operation of the Joint Committee, a host organisation will also be required. The host authority be responsible for the administration of meetings of the Joint Committee, hold funding on behalf of the Joint Committee and act as the employing authority for the purposes of the Programme Team. The host authority's statutory officers will also act as the statutory officers for the Joint Committee. At this time the host authority has not yet been agreed and is subject to discussion across Derbyshire Councils. Therefore in order to avoid any delay in the commencement of operation of the Joint Committee it is proposed that Cabinet delegate authority to the Leader of the Council to agree the host authority in due course.

All Derbyshire Councils are now being asked, and will need to consider, whether they wish to progress their involvement in Vision Derbyshire moving forward, the implications of which are set out above. This includes the approval of proposals to establish the Joint Committee,

approval to delegate the identified functions as set out in the report and the nomination of Leader representatives to sit on the Joint Committee. It is important to note that with the prospect of Vision Derbyshire and the Joint Committee providing the foundations and structure for a County Deal, those councils not wishing to progress their involvement in Vision Derbyshire at this time will still be able to make a decision to join in the future.

The Council is now asked to consider proposals as set out in the report in relation to the authority's future involvement in Vision Derbyshire and the proposed Vision Derbyshire Joint Committee. The establishment of governance arrangements through the Joint Committee formalise Vision Derbyshire as an approach. Given the role the Council has played in developing the Vision Derbyshire approach, it is recommended that the authority delegate functions to the Joint Committee as set out in report to enable it to be formed, agree the governance arrangements at Appendix 3 and to delegate authority to the Leader to agree by executive member decision which authority will act as the Vision Derbyshire host authority. It is also recommended that the Leader, Cllr Barry Lewis, be nominated to represent the County Council on the Joint Committee once it is formed and the Deputy Leader, Cllr Simon Spencer, be nominated as substitute.

4.7 Taking Forward Proposals

Whilst significant progress has been made on the development of the approach to date, it has become increasingly apparent that the ability to accelerate delivery is currently limited due to the lack of capacity. This is particularly the case at a project and programme delivery level. The lack of resource at what has been a critical time for local government in terms of the recent pandemic has slowed the delivery response.

Proposals to adequately resource Phase 4 ambitions and plans, initially for a twelve-month period, are set out below and create the following programme resource:

- Programme team – comprising combination of team members to facilitate delivery
- Programme budget – funding to support the buy in of specialist expertise and support and support the operation of the Joint Committee and other associated costs
- Communications budget – funding to support branding, design, campaigns, social media activity etc
- Equipment, travel and subsistence – to support the activities of the programme team

- Accommodation – in-kind support from host agency/agencies

Proposals for the Programme Team include the creation of the following roles on a fixed term basis:

- 1 x Grade 14 Programme Manager
- 4 x Grade 11 Senior Project Officers (one per ambition theme)
- 1 x Grade 11 Communications Officer
- 1 x Grade 6 Admin Support

The total cost of taking forward resourcing proposals per annum is £436,248. Subject to approval of proposals, it has been agreed that the costs of preparing for and taking forward Phase 4 proposals, should be shared across Councils using the following split:

Council	£
County Council 40% split	174,499
District and Borough Councils 60% split	261,749 (32,719 per council if eight councils) (37,393 per council if seven councils) (43,635 per council if six councils) (52,350 per council if five councils)
Total	436,248

The County Council's contribution to the total cost of supporting Vision Derbyshire Phase 4 is therefore £174,499 per annum. Involvement in Phase 4 presents a unique opportunity for the Council to participate in the further development and implementation of an innovative and collaborative approach for Derbyshire and a delivery programme which will support the achievement of key Council priorities which will ultimately benefit local people across local communities and Derbyshire as a whole. The ability to pool resources on the programme is likely to deliver significant benefits across a range of activities.

The County Council's costs in year one will be met from the General Reserve. Future years will be the subject of a pressure bid as part of the development of the 2022-23 budget.

It is recommended that Cabinet approves the authority's active and continued involvement in Vision Derbyshire and the associated costs as set out in the report.

Wider engagement with Leadership Teams within participating Councils, both at an Elected Member and Senior Management Team level, will continue to be vital moving forward and a key action which will feature strongly through future phases of the approach. It will be important to ensure that the authority is engaged in proposals as they emerge and develop over forthcoming months to maximise the benefits for local people and communities in Derbyshire. Identifying the key actions which the Council will need to undertake, and the resources and capacity required to participate fully in both the development and future implementation of proposals will also be important.

5. Consultation

5.1 Not applicable.

6. Alternative Options Considered

- 6.1 Alternative Option 1 Do Nothing – The Council could continue with current arrangements for Vision Derbyshire with existing informal governance arrangements and resources. However, this option is not sustainable in the short and medium term because resources and capacity to deliver identified programmes of work are not sufficient to progress the approach. A new joint team and formal governance arrangements provide an opportunity to present a different and new model of collaboration as a viable alternative to local government reform and as such this would not deliver the ambitions of the Council to deliver local government reform.
- 6.2 Alternative Option 2 – The Council could cease its involvement in Vision Derbyshire and abandon ambitions to develop a new collaborative model for Derbyshire. The Council instead could continue to pursue opportunities to deliver improved outcomes through traditional forms of collaboration and partnership. However, this approach has known limitations, does not address the challenges facing local government in respect of reduced financial resources and will not deliver the full range of benefits as set out in the report. It does not provide a viable alternative to local government reorganisation and limits Derbyshire's ability to speak with one voice. Ultimately this could prevent Derbyshire from maximising resources into Derbyshire that may become available through devolution and the levelling up agenda.

- 6.3 Alternative Option 3 – The Council could cease its involvement in Vision Derbyshire and pursue an alternative approach to local government reform through local government reorganisation. However, this is not currently the Council’s preferred option and there is currently no framework in place to enable Councils in the country to pursue this approach at the current moment in time

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 A New Vision for Derbyshire – Non-Structural Reform Final Report, PWC November 2019
- 8.2 Vision Derbyshire Case for Change – July 2020
- 8.4 Vision Derbyshire Proposition to Central Government – July 2020
- 8.5 Devolution Vision Derbyshire and Local Government Reform – Report to Full Council - 16 September 2021

9. Appendices

- 9.1 Appendix 1 - Implications
- 9.2 Appendix 2 – High Level Plan
- 9.3 Appendix 3 – Proposed Governance Arrangements for Vision Derbyshire
- 9.4 Appendix 4 – Flow Charts

10. Recommendations

That Cabinet:

- a) Notes progress on the development of the Vision Derbyshire approach and recent Phase 3 developments and achievements.
- b) Considers and notes key actions currently being undertaken during Vision Derbyshire Phase 3a to develop an ambitious programme of work in advance of Phase 4.

- c) Considers and approves Vision Derbyshire Phase 4 proposals for the forthcoming period as set out in the report.
- d) Approves the Council's active participation in Vision Derbyshire Phase 4 and the associated costs of taking forward the programme of work, the maximum cost of which currently stands at £174,499 per annum.
- e) Approves proposals for the establishment of the Vision Derbyshire Joint Committee and delegates functions to the Joint Committee as set out in the Functions and Responsibilities document in Appendix 3.
- f) Approves the Terms of Reference, including the Introduction and Context, Functions and Responsibilities, Procedural Rules and Information Procedure Rules for the Vision Derbyshire Joint Committee as set out at Appendix 3 and the position detailed in those documents regarding scrutiny and co-option.
- g) Appoints the Leader, Cllr Barry Lewis, as the Council's representative on the Vision Derbyshire Joint Committee and the Deputy Leader, Cllr Simon Spencer, as substitute.
- h) Agrees to delegate authority to the Leader to agree by executive member decision which authority will act as the host authority for the Joint Committee.
- i) Notes that as the functions of the Committee are executive functions, Derbyshire County Council will not have the opportunity to co-opt additional members onto the Committee and the ability to co-opt is restricted within the Terms of Reference.
- j) Notes that, in accordance with section 9F of the Local Government Act 2000, constituent authorities who operate executive arrangements will need to make formal scrutiny arrangements to review or scrutinise decisions made in connection with the exercise of the functions of the Vision Derbyshire Joint Committee, and that the Council's existing scrutiny arrangements will apply.

11. Reasons for Recommendations

- 11.1 To ensure that Cabinet is aware of developments and progress that has taken place on the development of Vision Derbyshire since the last reports to Cabinet and Council in September 2020.
- 11.2 To ensure that Cabinet is aware and understands the full range of activity that is in development and currently taking place to prepare for the next phase of the Vision Derbyshire approach.
- 11.3 To ensure that Cabinet fulfils the ambitions set out in reports to Cabinet and Council in September 2020 and progresses the Vision Derbyshire approach as a viable alternative to local government reform.

- 11.4 To enable Cabinet to commit the financial resources required to support Phase 4 proposals.
- 11.5 To enable Vision Derbyshire partners to establish the Joint Committee with robust governance arrangements in place and secure the Council's future involvement and participation in new governance arrangements as they develop and emerge.
- 11.6 To enable the Council to participate fully in all decision making and programme activity that will take place under the remit of the proposed Joint Committee.
- 11.7 To ensure the Council has appropriate lead Elected Member representation on the proposed Vision Derbyshire Joint Committee.

12. Is it necessary to waive the call in period?

12.1 No

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This report has been approved by the following officers:

<p>On behalf of:</p> <p>Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)</p>	
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Implications**Financial**

- 1.1 The total cost of taking forward Vision Derbyshire proposals is £436,248. A breakdown of costings is set out below:

Resource	£'s
Programme Team	
1 x Grade 14 Programme Manager	47,181 oncosts 13,211
4 x Grade 11 Senior Project Officers (1 per theme)	131,652 oncosts 36,863
1 x Grade 11 Communications Officer	32,913 oncosts 9,216
1 x Grade 6 Admin Support	19,697 oncosts 5,515
Programme Budget	100,000
Equipment, Travel and Subsistence	10,000
Communications Budget	30,000
Total	436,248

The total cost to the Council in supporting current proposals as set out in the report is £174,499, which can be met from the General Reserve. In its role as host authority, the Council is likely to incur costs relating to the operation of the Joint Committee. These will be met from the Programme Budget. The cost of ensuring the Council can actively participate in the development and implementation of proposals are currently unknown and will therefore be the subject of a separate report to Cabinet as further detail emerges.

Where an authority determines through its own governance arrangements that it no longer wishes to be a member of the Joint Committee, that authority may cease its membership with effect from the date of its decision. However, authorities will remain liable for any

previously agreed financial contributions to fund Vision Derbyshire until the end of the financial year for which those contributions have been agreed regardless of any decision to cease membership.

Where long term liabilities, such as any Pension Fund shortfall, exists they will be calculated at the point membership ceases and any council exiting the arrangement will pay the host authority an amount to cover their share of that liability.

Legal

- 2.1 Section 101(5) of the Local Government Act 1972 (LGA 1972) permits councils to make arrangements for two or more authorities to discharge any of their functions jointly, and arrange for the discharge of those functions by a Joint Committee.

Under s102 LGA 1972 two or more local authorities may appoint a joint committee of those authorities for the purpose of discharging any of their functions. Those two or more authorities would need to agree to delegate functions or powers to the joint committee to enable it to discharge those functions. Those two or more authorities may permit other authorities to appoint members to the joint committee without those authorities delegating functions into it. However, all authorities that wish to join the joint committee will need to formally agree to set up the committee and agree the terms of reference to be able to appoint a member to the committee.

All members appointed to the joint committee are members of that committee. Under the legislation, all members would have the right to participate and vote on any matters at the joint committee. However, voting rights can be restricted to members of those authorities who have delegated functions to it in the Terms of Reference so that authorities would not be able to vote in respect of functions they have not delegated to the joint committee.

Section 9EB of the Local Government Act 2000 (LGA 2000) enables the Secretary of State to make regulations permitting arrangements under section 101(5) of the LGA 1972 where any of the functions are the responsibility of the executive of the Authority. The relevant regulations are the Local Authorities (Arrangements for the Discharge of Functions) (England) Regulations 2012. Regulation 11 makes provision for joint arrangements to involve a joint committee under section 101(5) of the LGA 1972.

The functions being delegated to the Vision Derbyshire Committee are all executive functions. Therefore, in accordance with the legislation and the Council's Constitution, the decision to establish the Vision Derbyshire Committee and determine the number of members to be appointed to the Committee and their terms of office should be made by Cabinet.

As the functions are executive functions, the regulations also require that the members appointed to the Vision Derbyshire Committee should be members of the Executive.

In accordance with the Regulation 12 Local Authorities (Arrangements for the Discharge of Functions) (England) Regulations 2012, a local authority with executive arrangements delegating executive functions is not able to co-opt additional members to the Committee. However, an authority operating a committee system is able to co-opt additional members. Therefore, the ability to co-opt is restricted within the Terms of Reference to obtaining a majority vote in relation to any proposal to co-opt. Such co-optees shall not be Members of the Joint Committee and shall have no voting rights.

Any requests for information received by the Joint Committee should be directed to the relevant constituent authority for that authority to deal with in the usual way, taking account of the relevant legislation. Where the request relates to information held by 2 or more constituent authorities, they will liaise with each other before replying to the request. The host authority will co-ordinate responses to ensure that legislative deadlines are met.

As part of the process of making decisions and changing policy, the Vision Derbyshire Committee will in accordance with the public sector equality duty to consider the need to:

- Eliminate unlawful discrimination, harassment and victimisation
- Advance equality of opportunity between people who share protected characteristics (as defined by equalities legislation) and those who don't.
- Foster good relations between people who share protected characteristics and those who don't.

Human Resources

- 3.1 Following agreement of proposals, Vision Derbyshire Councils will need to identify and confirm a host organisation to support the joint programme team. It is yet to be determined which Council will host the

seven Programme Team posts however, recruitment to the posts would be in line with the grading criteria of the host council and their policies and procedures.

It is proposed that all posts will be created on a fixed term basis, and as such, will be subject to the host council's redundancy and redeployment policies and procedures. Where employees are on fixed term contracts, employment law determines that they may be entitled to claim both unfair dismissal and/or redundancy payment at the termination of their contract.

All partners will be asked to confirm their willingness to recognise an obligation to the postholders, through offering redeployment opportunities as appropriate, should this become necessary.

Information Technology

4.1 None

Equalities Impact

5.1 An EIA is not needed as the report relates to an administrative decision rather than an issue of policy.

Corporate objectives and priorities for change

6.1 Vision Derbyshire is one of the Council's three strategic pillars forming part of the Council's overarching strategic approach. Working collaboratively with partners is fundamental to the Council's approach and ambition to deliver better outcomes for people and place.

HIGH LEVEL PLAN

Seize innovation	Establish relentless ambition	Build proactive communities	Live and work sustainably
<p>Early Starts</p> <ul style="list-style-type: none"> County wide Business Start up and Support Programme <p>Additional short term activity</p> <ul style="list-style-type: none"> Smart Towns Programme Develop wider programme of work in advance of launch <p>Lead Strategic Group – Economic Recovery Pilot</p> <p>Leader Lead – Cllr Trish Gilby CEX Lead – Emma Alexander Officer Lead – Joe Battye</p>	<p>Early Starts</p> <ul style="list-style-type: none"> Festival of Business Careers Skills Research <p>Additional short term activity</p> <ul style="list-style-type: none"> Develop wider programme of work in advance of launch <p>Lead Strategic Group – Employment and Skills Recovery Group</p> <p>Leader Lead – Cllr Barry Lewis CEX Lead – Paul Wilson Officer Lead – Andy Marsh</p>	<p>Early Starts</p> <ul style="list-style-type: none"> Homelessness Independent Living (e.g. joint approach to disabled facilities grants etc) Domestic Violence <p>Additional short-term activity</p> <ul style="list-style-type: none"> Develop wider programme of work in advance of launch <p>Lead Strategic Group – Communities Recovery Pilot</p> <p>Leader Lead – Cllr Carol Hart CEX Lead – Andrew Stokes Officer Lead – Liz Cook</p>	<p>Early Starts</p> <ul style="list-style-type: none"> Joint Climate Change programme, e.g. behaviour change, green homes, Develop shared approach to Planning Policies to support climate change <p>Additional short-term activity</p> <ul style="list-style-type: none"> Strategic Housing Plan Visitor Economy/Tourism Develop wider programme of work in advance of launch <p>Lead Strategic Group(s) Derbyshire Planners Group Climate Change Working Group</p> <p>Leader Leads – Cllr Kevin Buttery (Climate Change) Cllr Garry Purdy (Planning and Climate Change) CEX Leads – Lee Hickin (Climate Change), Julian Townsend (Planning & CC) Officer Leads – Claire Brailsford (Climate Change) David Arnold (Planning and Climate Change)</p>

PROPOSED GOVERNANCE ARRANGEMENTS FOR VISION DERBYSHIRE

ARTICLES

1. Context

- 1.1 Vision Derbyshire is a collaborative working model between local authorities across Derbyshire which seeks to improve outcomes for people and place through closer working between those authorities without formal, top-down reorganisation from central government.
- 1.2 These governance arrangements provide a framework to reach the intended outcome of a model that will enable local authorities in Derbyshire to work collaboratively to deliver efficient and effective services with improved economies as an alternative to a government led reorganisation of local government in the county.
- 1.3 A joint committee, to be known as the **Vision Derbyshire Joint Committee**, will:-
- Provide collective leadership for Derbyshire
 - Progress shared ambitions for the area
 - Work together to tackle the issues and challenges outlined in the case for change.
 - Enable agile, timely and effective decision making
- 1.4 The Vision Derbyshire model allows local authorities to blend working at a hyper-local level with a large strategic level. Authorities will collaborate at three levels:
- regional/national

- county-wide or
- local place level,

depending on the nature of the outcome that the authorities are looking to improve. In adopting this way of working, Derbyshire can blend strategic, county-wide and local approaches to tackle outcomes in a multi-faceted way, and maintain focus on people and places, not organisational boundaries.

- 1.5 To enable the delivery of the above, the Joint Committee will act as the enabling body, through the political leadership of councillors from the participating authorities. Councils within Derbyshire may choose to appoint members to the Joint Committee, but not to delegate any functions. Should any council choose to do this, then they will still be required to agree to the Terms of Reference for the Committee and contribute towards the cost of funding the Committee.
- 1.6 The authorities in the Vision Derbyshire working model are committed to the provision of improved services. It is considered that this will, in certain instances, be best achieved by the joint provision of services, joint working and / or the development of common standards and protocols. All proposals for the setting up of any new services or the discharge of functions are in the first instance to be subject to an investigation into the suitability of providing such services or discharging such functions, as a joint service for all participating Councils. All participating Councils agree to operate all reviews in an open and transparent manner to support the aims and objectives of Vision Derbyshire. This will include the sharing of all information.

2. Membership of the Joint Committee

- 2.1 *[named local authorities who are delegating functions]* shall appoint one of their Elected Members to be a member of the Joint Committee and one Member to be a substitute Member.
- 2.2 All other councils within Derbyshire, whether or not delegating functions to the Joint Committee, will be permitted to appoint one member to the Joint Committee and to nominate one member as a substitute.
- 2.3 A Substitute Member will have the same rights to receive meeting papers and to access information as the Member for whom s/he is a substitute.
- 2.4 All appointments as Members or Substitute Members of the Joint Committee shall be for a term of one year, but an individual may be re- appointed to serve as a Member or Substitute Member any number of times.
- 2.5 All councils shall be entitled at any time to terminate the appointment of a Member or Substitute Member appointed by it and to appoint another of its Elected Members in that person's place. Where a Council exercises this power it shall give written notice of the new appointment and the termination of the previous appointment to the Monitoring Officer responsible for the Joint Committee and the new appointment shall take effect and the previous appointment terminate at the end of one week from the date on which the notice is given or such longer period not exceeding one month as is specified in the notice.
- 2.6 A Member or Substitute Member of the Joint Committee who ceases (for whatever reason) to be an Elected Member of the Council that appointed them shall immediately cease to be a Member or Substitute Member of the Joint Committee, and the relevant Council shall as soon as practicable give written notice of this to the Monitoring Officer responsible for the Joint Committee and appoint another of its Elected Members in that person's place.
- 2.7 A person may resign as a Member or Substitute Member of the Joint Committee by written notice served on the Proper Officer of the Council that appointed

them and the resignation shall take effect on receipt of the notice by the Proper Officer. The relevant Council shall as soon as practicable give written notice of this to the Monitoring Officer responsible for the Joint Committee and appoint another of its Elected Members in that person's place.

- 2.8 Where an appointing Council operates executive arrangements (within the meaning of the Local Government Act 2000), the appointment, removal and replacement of Members and Substitute Members of the Joint Committee shall be decided in accordance with the constitutional requirements of that Council, but it is anticipated that all Members and Substitute Members appointed by it shall be Members of its executive and will include its executive leader or elected mayor.
- 2.9 All appointments, removals and replacements of Members and Substitute Members of the Joint Committee by Councils shall be made by notice in writing addressed to the Monitoring Officer responsible for the Joint Committee. Any such notice shall be deemed to have been given when received by the Monitoring Officer.
- 2.10 Any individual council that does not operate executive arrangements and which wishes to co-opt an additional member or members can only do so following consultation with and a majority vote of all voting members of the Joint Committee being supportive of that authority exercising powers of co-option. Such co-optees shall not be Members of the Joint Committee and shall have no voting rights. They shall, however, observe the Code of Conduct for Members set out from whichever authority they serve on. An individual's co-option shall terminate as soon as his/her involvement with the organisation that gave rise to the co-option ceases; and such co-option shall be endorsed annually by the Joint Committee and subsequently confirmed formally by the appointing authority.
- 2.11 All Members of the Joint Committee (including any Substitute Members acting in place of Members of the Joint Committee) will:-

- (a) (subject to the Joint Committee's voting arrangements) collectively be the ultimate policy makers of the Joint Committee;
- (b) bring views of their communities into the Joint Committee's decision making process; and
- (c) maintain the highest standards of conduct and ethics.

2.12 Members will at all times observe the Code of Conduct for Members which is in force in their own Council and any breaches will be reported to the Monitoring Officer of their own Council.

2.13 No remuneration shall be payable to Joint Committee Members other than allowances for travel and subsistence in accordance with the Members' Allowances Schemes in operation at the participating Councils and non-participating Councils. (It is acknowledged that a participating Council or a non-participating Council may, in accordance with its own procedures, pay a special responsibility allowance to any Elected Member appointed by it to the Joint Committee in respect of duties and responsibilities undertaken as a Member or Substitute Member of the Joint Committee.)

3 Chairing the Joint Committee

3.1 The Chair of the Joint Committee will be appointed by the Committee. The Joint Committee can only be chaired by a Member of the Joint Committee appointed by a Council which has delegated functions to the Joint Committee for the duration of a municipal year.

3.2 In the event that there are more than two Members nominated for the role of Chair, and there is not a clear majority of votes in favour of one Member, then the name of the Member with the least number of votes will be eliminated and that process will continue until a Chair is elected with a majority of votes.

3.3 The Joint Committee will appoint a Vice-Chair to deputise for the Chair when they are not present or available. The Vice-Chair must also be from a

Council which has delegated functions to the Joint Committee and will be appointed for the duration of a municipal year.

3.4 In the event that there are more than two Members nominated for the role of Vice-Chair, and there is not a clear majority of votes in favour of one Member, then the name of the Member with the least number of votes will be eliminated and that process will continue until a Vice-Chair is elected with a majority of votes.

3.5 The Chair or Vice-Chair of the Joint Committee will cease to hold such office when they cease to be a Member of the Joint Committee, in accordance with the provisions set out at paragraph 3.5 above.

4. Procedural Arrangements

4.1 The Joint Committee shall meet in accordance with its agreed calendar of meetings throughout a municipal year, but additional meetings may take place should the need arise.

4.2 Each Member of the Joint Committee appointed by councils which have delegated functions to the Joint Committee shall have one vote.

4.3 Members of the Joint Committee appointed by councils which have not delegated functions to the Joint Committee will not have the right to vote

4.4 The proceedings of the Joint Committee shall not be invalidated by any vacancy among its Members or Substitute Members or by any defect in the appointment or qualifications of any Member or Substitute Member.

5. Records of Proceedings

5.1 The Joint Committee shall make arrangements for the names of Members and Substitute Members present at any meeting to be recorded.

- 5.2 Minutes of the proceedings of a meeting of the Joint Committee, or any sub-committee, shall be kept in such form as the Joint Committee may determine.
- 5.3 Any such minutes are to be agreed as a true record and signed at the same or next suitable meeting of the Joint Committee or sub-committee by the Member chairing that meeting.
- 5.4 A Member of the Joint Committee, or any sub-committee, has the right to have their vote on any matter recorded in the minutes of the meeting at which the vote was cast.

6. Sub-Committees

- 6.1 The Joint Committee may establish such sub-committees as it thinks fit to discharge its functions

7. Officers

- 7.1 The Head of Paid Service (appointed under Section 4 of the Local Government and Housing Act 1989), Section 151 Officer (appointed under Section 73 of the Local Government Act 1975) and Monitoring Officer (appointed under section 5 of the Local Government and Housing Act 1989) of the host authority for the Joint Committee will serve as the statutory officers in support of the Joint Committee.
- 7.2 The Joint Committee may call upon any officer of any of the local authorities who have members on the Joint Committee for advice and assistance, as it considers necessary to carry out its functions.

8. Scrutiny of decisions

- 8.1 Each constituent authority which operates executive arrangements will be able to scrutinise the decisions of the Joint Committee in accordance with that constituent authority's overview and scrutiny arrangements. Any

authority that operates a committee system model of governance will have the discretion to scrutinise decisions of the Joint Committee according to its own specific governance arrangements.

9 Winding up of the Joint Committee and Cessation of Membership

9.1 The Joint Committee may be wound up immediately by a unanimous vote of all constituent authorities.

9.2 Where an authority determines through its own governance arrangements that it no longer wishes to be a member of the Joint Committee, that authority may cease its membership with effect from the date of its decision. However authorities will remain liable for any previously agreed financial contributions to fund Vision Derbyshire until the end of the financial year for which those contributions have been agreed regardless of any decision to cease membership. Where long term liabilities, such as any Pension Fund shortfall, exists they will be calculated at the point membership ceases and any council exiting the arrangement will pay the host authority an amount to cover their share of that liability.

FUNCTIONS AND RESPONSIBILITIES

- a. The Joint Committee is established pursuant to Section 101(5) of the Local Government Act 1972, which permits local authorities to make arrangements for two or more authorities to discharge functions jointly, so long as it is a function that the law reserves to a specified committee. The Joint Committee is established with the following aims:
- Provide collective leadership for Derbyshire
 - Progress shared ambitions for the area
 - Work together to tackle the issues and challenges outlined in the case for change.
 - Enable agile, timely and effective decision making
- b. The Joint Committee, on behalf of the participating authorities, will be responsible for improving the delivery of functions already within the remit of local authorities through statute or through specific collaborative work to improve the economic, environmental or social wellbeing of the areas within Derbyshire from the following broad definitions:-
- Shared service arrangements
 - Incorporating existing partnership arrangements within the Vision Derbyshire model
 - Areas for collaborative system changes across authorities
 - Specific thematic projects and priorities
- c. Within those broad definitions, the Joint Committee will:-
- (i) Oversee the Vision Derbyshire Delivery Programme
 - (ii) Determine the programme of projects and work streams, how those will be managed and communicated to stakeholders and the public

- (iii) Monitor and review performance in respect of services delivered through the Vision Derbyshire Joint Committee and authorise the publication of an annual report of performance and outcomes
 - (iv) Commission strategic outline and full business cases for individual councils to consider opportunities for more collaborative working in respect of shared services
 - (v) Approve annual business plans for the delivery of shared services
 - (vi) Provide or assume democratic oversight for existing joint committee and partnership arrangements
 - (vii) Provide a platform for the devolution of powers from Government to authorities in Derbyshire
 - (viii) Determine requests from individual authorities or groups of authorities to work collaboratively on specific projects or work streams
 - (ix) Provide a forum for councils and their representatives to provide challenge to each other with the aim of increasing collaboration to deliver efficient, effective and economic services, which equally improve the offer and outcomes to residents and businesses
Delegate functions and responsibilities to sub-committees or officers as the Committee deems appropriate.
- d. The functions of the Joint Committee conferred or imposed upon it by any Orders from the Secretary of State and / or the functions of the Joint Committee delegated to it by Orders approved by participating authorities will be set out within these terms of reference.
- e. The Joint Committee will exercise all its powers and duties in accordance with the law and these terms of reference and procedure rules.
- f. The authorities appointing to the Joint Committee are:
- Insert Council name
 -

- g. It is a matter for each individual Council to determine which functions and responsibilities it chooses to delegate to the Joint Committee according to its own individual governance arrangements.

PROCEDURE RULES

These procedure rules apply where appropriate to the Joint Committee and Sub-Committees established by the Joint Committee.

1. Name

- 1.1 The name of the Joint Committee shall be the 'Vision Derbyshire Joint Committee'.

2. Membership

- 2.1 The membership of the Joint Committee shall be determined in accordance with the provisions of Article 2.

3. Meetings

- 3.1 The Annual Meeting of the Joint Committee shall be held each year on such a day in the month of March, April, May or June as the Joint Committee may fix, to deal with any other business normally transacted at an annual meeting.
- 3.2 The Joint Committee shall meet throughout the municipal year in accordance with its agreed calendar of meetings, but additional meetings may take place should the need arise. The dates and times of meetings of the Joint Committee (including the Annual Meeting and extraordinary meetings) shall be determined by the Joint Committee. Such meetings shall be held at a venue determined by the Chair. Save as provided elsewhere in these Procedure Rules all meetings of the Joint Committee, sub-committees and working party meetings shall be summoned by the Proper Officer of the host authority.
- 3.3 An extraordinary meeting of the Joint Committee may be called at any time by the Chair. If the Chair refuses to call an extraordinary meeting of the Joint

Committee after a requisition for that purpose signed by three Members of the Joint Committee has been presented to him/her or if, without so refusing, the Chair does not call an extraordinary meeting within seven days after the requisition has been presented to him/her then any three Members of the Joint Committee, on that refusal, or on the expiration of those seven days, as the case may be, may forthwith call an extraordinary meeting of the Joint Committee

- 3.4 No business shall be considered at any extraordinary meeting save such as is specified in any requisition of the Joint Committee calling such meeting, or as the case may be, in the requisition presented to the Chair by Members.

4. Chair and Vice-Chair

- 4.1 Article 4 sets out the arrangements for the appointment of Chair and Vice-Chair of the Joint Committee.

- 4.2 If the Chair is absent from a meeting the Vice-Chair, if present, shall preside.

- 4.3 If both the Chair and Vice-Chair are absent from a meeting of the Joint Committee, such Member as the Members of the Joint Committee present so choose, shall preside.

- 4.4 Any power or duty of the Chair in relation to the conduct of a meeting may be exercised by the person presiding at the meeting.

5. Quorum

- 5.1 The quorum for a meeting will be two or one third of the total of the members of the Committee who are entitled to vote, whichever is the greater.

- 5.2 If during a meeting the Chair, after counting the number of Members present, declares that there are not at least two of the Members present, who are entitled

to vote, the meeting shall stand adjourned. The names of those Members who are present shall be recorded in the minutes of the meeting. Consideration of any business not transacted shall be adjourned to a date and time fixed by the Chair at the time the meeting is adjourned, or if s/he does not so fix a date and time, to the next meeting of the Joint Committee.

6. Chair's Announcements

6.1 No discussion shall take place on any announcement made by the chair of the meeting, but any Member shall be at liberty to move a motion, without notice, to refer the subject matter of any such announcement to the next ordinary meeting of the Joint Committee and such motion, on being seconded, shall be at once put to the vote.

7. Order of Business

7.1 Except as otherwise provided by paragraph 7.2 of this Rule, the order of business at every meeting of the Joint Committee other than the annual meeting and any extraordinary meeting shall be:-

- (a) To choose a person to preside if the Chair and Vice-Chair are absent;
- (b) Apologies for absence;
- (c) To receive disclosures by Members of interests in matters under consideration;
- (d) To approve as a correct record and sign the minutes of the last meeting of the Joint Committee;
- (e) To deal with any business expressly required by statute to be done;
- (f) Chair's announcements;
- (g) To dispose of business, if any, remaining from the last meeting;
- (h) To receive minutes of sub-committees;
- (i) To receive and consider reports, if any, from sub-committees;
- (j) To receive and consider reports from constituent authorities;
- (k) To receive minutes of and recommendations from other bodies;

(l) To consider motions, if any, in the order in which notice has been received;

(m) To deal with other business, if any, specified in the summons.

7.2 The Chair may at any meeting vary the order of business so as to give precedence to any business which in his/her opinion is of special urgency but such a variation shall not displace any business falling under items (a), (b), (d) or (e) in paragraph 7.1 of this Rule.

7.3 At any extraordinary meeting of the Joint Committee the minutes of the last ordinary meeting of the Joint Committee will not be considered. The minutes of an extraordinary meeting of the Joint Committee will be submitted where possible to the next ordinary meeting of the Joint Committee.

8. Notice of Motion

8.1 Except as provided by Rule 9, every notice of motion shall be in writing, signed by the Member or Members of the Joint Committee giving the notice and delivered by email or in person at least seven clear days before the next meeting of the Joint Committee at the office of the Head of Paid Service of the host authority, by whom it shall be dated, numbered in the order in which it is received and a record kept, which shall be open to the inspection of every Member of the Joint Committee during normal office hours.

8.2 Every motion shall be relevant to some matter in relation to the Joint Committee's powers or duties.

8.3 The Head of Paid Service of the host authority shall set out in the summons for every meeting of the Joint Committee motions of which notice has been duly given in the order in which they have been received, unless the Member(s) giving such notice intimated in writing, when giving it, that s/he proposed to move it at some later meeting or has withdrawn it in writing.

8.4 If a motion set out in the summons is not moved either by a Member who gave notice thereof or by some other Member on his/her behalf, it shall, unless postponed by consent of the Joint Committee, be treated as withdrawn and shall not be moved without fresh notice.

9. Motions which may be moved without notice

9.1 The following motions may be moved without notice: -

- (a) Appointing a Chair of the meeting at which a motion is moved;
- (b) Motions relating to the accuracy of the minutes;
- (c) That an item of business specified in the summons has precedence;
- (d) Appointment of a sub-committee or members thereof occasioned by the appointment;
- (e) That leave be given to withdraw a motion;
- (f) That leave be given to withdraw in whole or in part or amend the minutes or proceedings of the Joint Committee or any sub-committee;
- (g) That the Joint Committee proceeds to the next business;
- (h) That the question be now put;
- (i) That the debate be now adjourned;
- (j) That the Joint Committee does now adjourn;
- (k) Amendments to any motion to approve the Minutes or proceedings of the Joint Committee or any sub-committee any part of which has been withdrawn or amended in accordance with Rule 9(f);
- (l) Suspending Procedure Rules in accordance with Rule 18;
- (m) A motion, under Part 1 of Schedule 12A to the Local Government Act 1972 (relating to admission to meetings of local authorities);
- (n) That a Member named under Rule 12 be not further heard or do leave the meeting;
- (o) Giving consent or leave of the Joint Committee where the consent or leave of the Joint Committee is required by these Procedure Rules;

- (p) That the subject matter of an announcement made by the chair of the meeting be referred to the next meeting of the Joint Committee or the appropriate sub-committee;
- (q) Approval or amendment of recommendations of Officers and any consequential resolutions.

10. Amendments to Motions

- 10.1 Normally, no motion to amend a motion other than a motion which may be moved without notice under Rule 9 shall be moved at any meeting of the Joint Committee unless not less than 24 hours' notice in writing of the motion, signed by the Member(s) giving notice, is delivered to the Monitoring Officer of the host authority. The Head of Paid Service of the host authority shall inform the Chair of the Joint Committee of any such amendments so received.

11. Rules of Debate

- 11.1 A motion or amendment shall not be discussed unless it has been proposed and seconded.

- 11.2 An amendment shall be relevant to the motion and shall be either:–

- (a) to refer a subject of debate to the next meeting of the Joint Committee or the sub-committee for consideration or re-consideration; or
- (b) to leave out words and insert or add others; or
- (c) to insert or add words;

but such omission, insertion or addition of words shall not have the effect of negating the motion before the Joint Committee.

- 11.3 Only one amendment may be moved and discussed at a time and no further amendment shall be moved until the amendment under discussion has been disposed of.

- 11.4 When an amendment has been lost, other amendments may be moved on the original motion. If an amendment is carried, the motion as amended shall take the place of the original motion and shall become the motion to which any further amendment may be moved.
- 11.5 When a motion is under debate no other motion shall be moved except the following:-
- (a) to amend or withdraw the motion provided that the notice of amendment has been properly given in accordance with these Procedure Rules;
 - (b) to adjourn the meeting;
 - (c) to proceed to the next business;
 - (d) that the question be now put;
 - (e) that a Member be not further heard.
- 11.6 The ruling of the Chair on a point of order or on the admissibility of a personal explanation shall not be open to discussion.
- 11.7 A Member who does not have the right to vote on a matter shall have the same rights to speak on a matter as a Member with the right to vote on that matter.

12. Disorderly Conduct

- 12.1 If at a meeting any Member of the Joint Committee in the opinion of the Chair misconducts himself/herself by persistently disregarding the ruling of the Chair or by behaving irregularly, improperly, or offensively, or by wilfully obstructing the business of the Joint Committee, the Chair may move 'That the Member named be not further heard' and the motion if seconded shall be put and determined without discussion.
- 12.2 If the Member named continues his/her misconduct after a motion under the foregoing paragraph has been carried the Chair shall either move 'That the Member named do leave the meeting' (in which case the motion shall be put and determined without seconding or discussion), or adjourn the meeting of the Joint Committee for such period as s/he in his/her discretion shall consider expedient.
- 12.3 In the event of general disturbance which in the opinion of the Chair renders the due and orderly dispatch of business impossible, the Chair in addition to any power vested in him/her may, without question put, adjourn the meeting of the Joint Committee for such period as s/he in his/her discretion shall consider expedient.

13. Rescission of Previous Resolution

- 13.1 No motion to rescind any resolution passed within the preceding six months, and no motion or amendment to the same effect as one which has been rejected within the preceding six months shall be proposed unless the notice thereof given in pursuance of Rule 8 bears the names of at least five Members of the Joint Committee. When any such motion or amendment has been disposed of by the Joint Committee, it shall not be open to any Member to propose a similar motion within a further period of six months.

13.2 Provided that this Rule shall not apply to motions moved by the Chair or other Members of the Joint Committee in pursuance of a recommendation of a sub-committee.

14. Mode of Voting

14.1

(a) Every proposition shall, unless otherwise required by these Procedure Rules or statute, be determined by show of hands.

(b) In taking the votes on any proposition, only those Members who are present in the room when the proposition is put from the chair shall be entitled to vote.

(c) After a proposition is put from the Chair but before the vote is taken, any three Members by show of hands may require that the voting shall be recorded in the minutes of the meeting so as to show whether each Member present gave his/her vote for or against that proposition or abstained from the voting.

(d) Except where a recorded vote has been taken, any Member who is present when the vote was taken may require that his/her vote for or against the question or abstention shall be recorded in the minutes by notifying the Head of Paid Service of the host authority.

14.2 Where there are more than two persons nominated for any position to be filled by the Joint Committee and of the votes given there is not a majority in favour of one person, the one having the least number of votes shall be struck off the list and a fresh vote shall be taken, and so on, until a majority of votes is given in favour of one person.

14.3 In the case of an equality of votes the Chair shall have a second or casting vote.

15. Urgent Business

15.1 The Head of Paid Service, Chief Financial Officer and the Monitoring Officer of the host authority shall each be empowered individually to take any action which is required as a matter of urgency in the interests of the Joint Committee, after consultation (where practicable) with the Chair of the Joint Committee, on behalf of and within the powers and duties of the Joint Committee. All such action shall be reported to the next meeting of the Joint Committee.

16. Variation and Revocation of Procedure Rules

16.1 Any motion to add to, vary or revoke these Procedure Rules shall when proposed and seconded stand adjourned without discussion to the next ordinary meeting of the Joint Committee, provided that this Rule shall not apply to any review of Procedure Rules at the annual meeting of the Joint Committee.

17. Suspension of Procedure Rules

17.1 No Rule shall be suspended at any meeting of the Joint Committee except on the vote of a majority of the members then present.

18. Recordings at Meetings

18.1 The Local Audit and Accountability Act allows persons:

- (a) To film, photograph or make sound recordings of proceedings at a meeting of a body to which this section applies, or of a committee or - sub-committee of such a body;
- (b) To use other means for enabling persons not present at such a meeting to see or hear proceedings at the meeting, as it takes place or later;
- (c) To report or provide commentary on the proceedings at such a meeting orally or in writing, so that the report or commentary is available, as the meeting takes place or later, to persons not present at the meeting.

19. Record of Attendance

19.1 Every Member of the Joint Committee attending a meeting or a meeting of a sub-committees of which s/he is a member, shall have their attendance recorded and published through the website of the host authority.

20. Appointment of Sub-Committees

20.1 The Joint Committee may establish such sub-committees as it deems appropriate or it is required to appoint by or under any statute.

20.2 Subject to any statutory provision in that behalf the Joint Committee:–

(a) shall not appoint any member of a sub-committee so as to hold office later than the next annual meeting of the Joint Committee;

(b) may at any time dissolve a sub-committee or alter its membership; every vacancy on a sub-committee shall be reported by the Head of Paid Service of the host authority at the first meeting of the Joint Committee after the vacancy has arisen and the Joint Committee may thereupon proceed to fill the vacancy.

20.3 The Joint Committee may appoint sub-committees for purposes to be specified by the Committee and, subject to these Procedure Rules and to any resolution of the Joint Committee in that behalf, may delegate to any such sub-committee any power or duty delegated by the Joint Committee.

20.4 The Chair and Vice-Chair of the Joint Committee shall be ex-officio members of every sub-committee appointed by the committee.

20.5 The membership of a sub-committee may include persons who are not members of the Joint Committee by which the sub-committee was appointed,

however where such persons are not Members of the Joint Committee, they shall be appointed as non-voting members.

- 20.6 A Joint Committee shall not appoint any member of a sub-committee so as to hold office later than the next annual meeting of the Joint Committee and may at any time dissolve a sub-committee or alter its membership.

21. Quorum of Sub-Committees

- 21.1 Except where ordered by the Joint Committee or authorised by statute, or set out in the specific terms of reference of a sub-committee, business shall not be transacted at a meeting of any sub-committee unless at least one third of the whole number of members of the sub-committee who are entitled to vote is present, provided that in no case shall the quorum of a sub-committee be less than three members.

22. Procedure Rules to Apply to Committees and Sub-Committees

- 22.1 These Procedure Rules shall, with any necessary modifications, apply to meetings of sub-committees.

23. Interpretation

- 23.1 The decision of the chair of the meeting on the question of the construction of the Procedure Rules and on any question of order not provided for by the Procedure Rules shall be final.

- 23.2 The inclusion or exclusion of any specific matters in any other regulation or resolution shall not be construed as limiting in any way the scope of these Procedure Rules.

- 23.3 Where the Monitoring Officer is not a solicitor holding a current practising certificate for the expression "Monitoring Officer" in these Procedure Rules

there shall be substituted the expression “the solicitor to the Joint Committee” wherever it is appropriate and where there is a requirement at law that such action is to be taken by a solicitor.

24. Procedure Rules to be Given to Members

- 24.1 A printed copy of these Procedure Rules and any other documents in respect of governance of the Joint Committee shall be given by the Monitoring Officer of the host authority to every Member of the Joint Committee on his/her first being appointed to the Joint Committee.



Agenda Item No

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

**Joint Report of the Managing Executive Director,
Commissioning, Communities and Policy and the
Director of Finance & ICT**

**Performance Monitoring and Budget Monitoring/Forecast Outturn 2021-
22 as at Quarter 1 (30 June 2021)**

**(Strategic Leadership, Culture, Tourism and Climate Change
and Corporate Services and Budget)**

1. Divisions Affected

1.1 County-wide.

2. Key Decision

2.1 This is not a Key Decision.

3. Purpose

3.1 To provide Cabinet with an update of Council Plan performance and the Revenue Budget/forecast outturn for 2021-22, as at 30 June 2021 (Quarter 1).

4. Information and Analysis

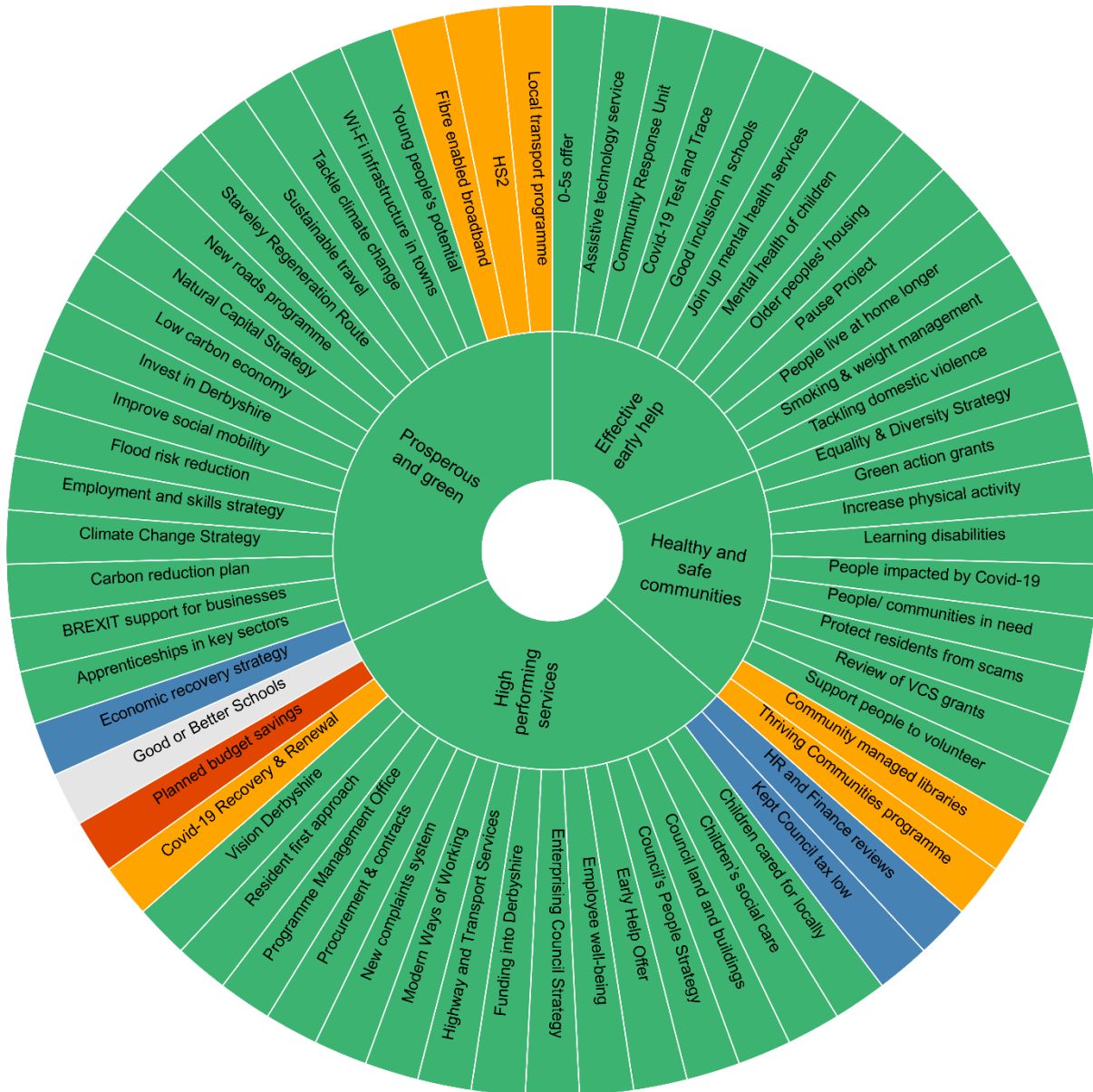
Integrated Reporting

- 4.1 This report presents both Council Plan performance and financial budget monitoring and forecast outturn data.
- 4.2 The Performance Summary sets out the progress the Council is making on delivering the Council Plan with a focus on the achievement of the Council Plan priorities.
- 4.3 The Revenue Budget Position and Financial Summary provides an overview of the Council's overall budget position and forecast outturn as at 30 June 2021.
- 4.4 Appendices to this report summarise progress on Council Plan deliverables and the controllable budget position by Cabinet Member Portfolio for 2021-22 as at 30 June 2021. Further reports will be considered at Audit Committee and Council in accordance with the Budget Monitoring Policy and Financial Regulations.

Performance Summary

- 4.5 The new Council Plan for 2021-25, which outlines the Council's priorities, key deliverables and performance measures, was developed and approved by Council in March 2021.
- 4.6 The performance report for Quarter 1 2021-22, which is attached at Appendix 3, sets out the progress the Council has made over the last quarter on each of the deliverables and key measures set out in the Council Plan.
- 4.7 The Council is performing well in delivering the new Council Plan, with 88% of the 63 deliverables in the Plan that have commenced showing good or strong progress. Only 10% have been rated as "Requiring Review" and one deliverable has been rated as "Requiring Action". One deliverable is awaiting information to enable the rating. Progress in delivering the Plan is shown in the graphic below.

Deliverable Progress



4.8 The graphic below visualises the performance against target for each measure by priority. For the fourteen key measures where data for 2021-22 against target is available, five have been rated as 'Good', with a further four rated as 'Strong', whilst two have been rated as "Requiring Review" and three as "Requiring Action".

Key Measures Against Target



Performance by priority

The resilient, healthy and safe communities priority shows overall “Good” performance for deliverables but “Requiring Review” based on the two rated measures.

Key areas of success are:

- Derbyshire residents have been supported with over 3,000 awards from the Derbyshire Discretionary fund.

- One-to-one support to over 80 vulnerable victims of scams has been provided.
- The Council has distributed £41,000 in grants from the Covid-19 Emergency fund.

Areas for consideration are:

- The reduction in the local presence of services and restrictions on community activity, as a result of the pandemic, has led to a delay to the Thriving Communities programme, meaning that there is some risk to achieving the roll-out into the additional eight communities within the programme timescale. Progress will be monitored and additional capacity may need to be considered, as appropriate.
- Covid-19 has delayed planned progress in transferring libraries to community management. With Covid-19 restrictions lifting, and services resuming, the Library Strategy will be relaunched in September 2021.

The high performing, value for money and resident focused services priority shows overall “Good” performance for deliverables but measures are rated as “Requiring Review” overall.

Key areas of success are:

- A new inspection of a children’s home means all ten are now rated as good or outstanding.
- Reviews of Human Resources and Finance functions are on track and will deliver expected savings.

Areas for consideration are:

- Quarter 1 figures for overall Council budget savings are £16.282m below target. Covid-19 has had a significant impact on the savings programme, however additional funding from Government is mitigating the impact of some of the shortfall on the Council’s financial position. Some 2021-22 savings will be achieved in 2022-23 and later; savings targets are being re-profiled to ensure they will be achieved in later years and supported with one off funding until then.
- There were 40.5% of children’s social care reflective case review judgements rated as “Good” or “Outstanding”. The way social care cases are audited and graded has been changed this year, to give a more rounded oversight of practice. It is expected that as the number of reflective case reviews completed increases through the year, an increase in performance is anticipated, as we better gauge the quality of practice across children’s social care.

- Whilst the proportion of children returning home from care has increased this quarter, it is below target, and the average cost of children in care placements for 2020-21 is above the target set. Activity, particularly through work with Newton Europe, is progressing to identify opportunities to improve the outcomes of children, whilst also improving value for money.
- Work on the development of a long-term organisational recovery and renewal strategy was delayed due to the continued Covid-19 response, however the next phase of this work and renewal principles have now been agreed at Cabinet.

The effective early help for individuals and communities priority has all deliverables rated as “Good” and overall rating of “Strong” for the measures.

Key areas of success are:

- A wide range of activity to manage Covid-19 outbreaks has been carried out, including over 27,000 lateral flow tests in Council run sites.
- Following participation in Council run programmes, projected numbers show over 450 people are expected to achieve their four week quit target to stop smoking, and over 70 people are expected to achieve a 5% weight loss compared to the Quarter 1 target of 57.

Areas for consideration are:

- Data for the measures on Assistive technology and admissions to residential care is not available for Quarter 1 but further validation and cleansing work means the data should be available for Quarter 2 and performance against target will then be reported.

The priority of a prosperous and green Derbyshire shows overall “Good” performance for both deliverables and measures.

Key areas of success are:

- A wide range of activity in support of the Covid-19 Economic Recovery Strategy has been undertaken.
- Whilst still below target there has been a marked improvement in the number of defects completed with target.
- Initial phases of the Ashbourne Airfield and Hollis Lane link roads have been completed.

Areas of consideration are:

- The Integrated Rail Plan has been delayed until September, however the HS2 East group continue to lobby for completion of the whole project.
- There is no Gigabit top Up Voucher scheme activity to report at Quarter 1 as the Department for Digital, Culture, Media and Sport experienced delays with the launch of the scheme. This has, however, been resolved from July 2021.

Revenue Outturn Summary

4.09 The Council's forecast outturn for 2021-22 as at Quarter 1 (30 June 2021), compared to controllable budget, is summarised below. The forecast outturn table shows the position net of the impact of the ring-fenced Dedicated Schools Grant (DSG) of £378.684m and Public Health grant of £42.607m, other ring-fenced grants and income from other third parties and their associated spend.

	Budget	Use of MHCLG Covid-19 & SFC Grant Funding	Adjusted Budget	Forecast Actuals	Projected Outturn	Budget Performance
	£ Millions	£ Millions	£ Millions	£ Millions	£ Millions	
Adult Care	260.279	4.661	264.940	265.978	1.038	🔴
Clean Growth and Regeneration	0.773	0.026	0.799	0.577	-0.222	🟢
Corporate Services and Budget	47.511	0.480	47.991	52.616	4.625	🔴
Children's Services and Safeguarding	140.236	4.850	145.086	150.854	5.768	🔴
Health and Communities	8.533	0.095	8.628	8.155	-0.473	🟢
Highways and Transport	30.685	0.889	31.574	34.171	2.597	🔴
Infrastructure & Environment	43.508	2.980	46.488	45.993	-0.495	🟢
Strategic Leadership, Culture, Tourism and Climate Change	12.729	0.079	12.808	12.977	0.169	🔴
Total Portfolio Outturn	544.254	14.060	558.314	571.321	13.007	🔴
Risk Management	20.289	0.000	20.289	9.813	-10.476	🟢
Debt Charges	28.734	0.000	28.734	27.958	-0.776	🟢
Interest and Dividend Income	-4.099	0.908	-3.191	-4.730	-1.539	🟢
Levies and Precepts	0.354	0.000	0.354	0.357	0.003	🔴
Corporate Adjustments	4.930	0.538	5.468	6.793	1.325	🔴
Total	594.462	15.506	609.968	611.512	1.544	🔴

4.10 The Covid-19 pandemic is continuing to have a significant impact on the Council's finances in 2021-22. A summary of these impacts is provided at Appendix 16.

- 4.11 An overall Council overspend of £1.544m is forecast, after accounting for use of £15.506m of non-ringfenced grant funding provided by the Ministry of Housing Communities & Local Government (MHCLG) to support local authorities with the impacts of the Covid-19 pandemic. This includes funding from:
- compensation for lost sales, fees and charges income claimable under the Government scheme announced on 2 July 2020, which has been extended to 30 June 2021; and
 - Covid-19 emergency grants of £15.337m awarded in 2021-22 and £11.248m awarded and brought forward from 2020-21.
- 4.12 Of the forecast £13.007m portfolio overspend, the significant variances are an overspend of £5.768m on the Children's Services and Safeguarding portfolio, a £4.625m overspend on the Corporate Services and Budget portfolio, a £2.597m overspend on the Highways and Transport portfolio and a £1.038m overspend on the Adult Care portfolio.
- 4.13 The forecast £5.768m overspend on the Children's Services and Safeguarding portfolio is primarily due to continued high demand for placements for children who are in care or unable to remain at home. The needs of individual children and the availability of placements has also meant that there are an increased number of children who have been placed in both more expensive fostering arrangements and more expensive residential provision. Other factors contributing to the overspend include the price and the number of journeys associated with transporting children with educational needs to school and the safeguarding costs of supporting a greater number of children in care and children and families in need.
- 4.14 The Council plans to support the Children's Services and Safeguarding portfolio through allocations of a combination of ongoing budget growth and one-off funding to put these services on a sustainable financial footing by the time mitigation measures are able to stabilise the demand pressures on looked after children. Recent modelling suggests that demand is likely to level off by 2023-24.
- 4.15 The forecast £4.625m overspend on the Corporate Services and Budget portfolio is mainly due to current and prior-year savings targets which are not expected to be achieved in 2021-22, relating to the Corporate Property function, running costs on buildings that are awaiting disposal and a delay in the implementation of the new Legal Services operating model.

- 4.16 The forecast £2.597m overspend on the Highways and Transport portfolio relates to the Winter Service budget, which doesn't provide for more than a mild winter and to savings targets which have not yet been allocated to specific services.
- 4.17 The forecast £1.038m overspend on the Adult Care portfolio relates to Purchased Services costs driven by the number of new care packages required to be provided to assessed individuals.
- 4.18 There is a forecast underspend on corporate budgets in 2021-22. The underspend on the Risk Management budget relates mainly to a contingency amount of £8m set aside to mitigate general risks arising from the current uncertain environment resulting from Covid-19. An underspend on the Debt Charges budget is forecast as the portfolio of the Council's long-term loans is repaid and interest on this debt reduces. A favourable variance is forecast in the Interest and Dividends budget. The Council utilises a range of investments to maximise its income on cash balances. Interest income includes interest accrued on the loan advances to Buxton Crescent Ltd. An overspend on Corporate adjustments is forecast as a result of adjustments arising from Education budgets funded from the Dedicated Schools Grant (DSG).
- 4.19 The Council continues to look at ways to save money and generate income, whilst trying to protect and deliver services suitable for the residents of Derbyshire. Significant consultation and planning timeframes are required to achieve many of these savings. Delays in agreeing proposals could result in overspends by departments, which would then deplete the level of General Reserve held by the Council, decreasing its ability to meet short term, unforeseeable expenditure. In many cases the proposals will be subject to consultation and equality analysis processes. Progress against budget savings targets will be closely monitored, however there is a heightened risk of not achieving a balanced budget, as a result of both cost pressures and savings slippage as a result of the Covid-19 pandemic.
- 4.20 The delivery of the Council's Five Year Financial Plan (FYFP) is heavily dependent on an adequate level of General Reserve. The need to maintain an adequate, risk assessed level of reserves has been a key part of the Council's success in both maintaining its financial standing and continuing to deliver high quality services.
- 4.21 The General Reserve stands at £77.663m at 30 June 2021. There are commitments of £25.246m against this balance, set out in the table at paragraph 4.43 below, and additional commitments were referred to in the Council's 2021-22 Revenue Budget Report. The balance will be

further reduced by the measures required to deliver the Council's FYFP noted in paragraph 4.22 above. The adequacy of the Council's General Reserve balance is considered at paragraphs 4.44 and 4.45 below.

Portfolio Costs

4.22 There is a forecast Council portfolio overspend of £13.007m, after the use of MHCLG Covid-19 grant funding for Covid-19 related costs incurred in 2021-22. The table at Appendix 16 shows the Covid-19 related costs across the portfolios as £14.060m. This is the forecast additional cost and lost income of the Council's response up to the end of March 2022, including the impact of slippage to the planned programme of savings which cannot yet be implemented as a result. This amount allows for any specific funding to offset the gross Covid-19 related costs which has already been forecast to be allocated to individual portfolios; these amounts are detailed in Appendix 16. Budget of £14.060m is forecast to be allocated to portfolios, from the emergency Covid-19 grant funding and the compensation for lost income from sales, fees and charges received from Government, to match these costs.

4.23 Portfolio costs are explained in more detail in Appendices 4 to 11.

Risk Management Budget

4.24 There is a forecast underspend on the Risk Management Budget of £10.476m in 2021-22.

4.25 The Risk Management Budget of £20.289m includes:

- £12.203m of contingency funding set aside in the 2021-22 Revenue Budget. This comprises:
 - £8.390m general contingency;
 - £2.313m for a pay award; and
 - £1.500m for 2021-22 County Council election costs
- £1.500m of one-off funding approved in the Council's 2021-22 Revenue Budget to pump prime the development of an Assistive Technology service. These funds were returned unused from the Adult Care portfolio as the portfolio was able to alternatively finance this initiative from its underspend in 2020-21.
- £6.000m of Covid-19 Local Support grant. An additional grant to the non-ringfenced grants that had been announced when the 2021-22 Revenue Budget was approved by Council on 3 February 2021.

- £0.585m of ongoing Transition Funding approved in the Council's 2020-21 Revenue Budget allocation for Demographic Growth, which had not been utilised by 31 March 2021, returned from the Adult Care portfolio.

4.26 The forecast expenditure of £9.813m on the Risk Management Budget is:

- £6.000m utilisation of the Covid-19 Local Support Grant.
- £2.313m draw-down of contingency funding for a pay award.
- £1.500m draw-down of contingency funding for election costs.

Debt Charges

4.27 The Debt Charges budget is forecast to be underspent by £0.776m in 2021-22.

4.28 Debt charges are based on interest payments, the Capital Financing Requirement (CFR), a Minimum Revenue Provision (MRP) of 2.5% (in keeping with the policy reported to Cabinet on 22 November 2016) and a £7.000m one-off reduction in the Council's Capital Adjustment Account Reserve. This reduction is made on the basis that the amounts set aside to repay debt over the last ten years are well in excess of what is required to ensure the Council can repay its debts.

Interest and Dividend Income

4.29 Interest and dividend income budgets are forecast to be underspent by £1.539m in 2021-22.

4.30 The interest base rate has remained at an historically low rate of 0.10% since 10 March 2020. However, the Council utilises a range of investments, including pooled funds, to maximise its interest and dividend income on balances.

4.31 A projected decrease of £0.654m in dividend income on the Council's investments in pooled funds, compared to 2019-20, is forecast to be supported by the use of MHCLG Covid-19 grant funding. Pooled fund investments have been held for the whole financial year to date.

4.32 The interest rate on the loan to Buxton Crescent Ltd has been reduced in recognition of the fact that the revenues from Buxton Crescent hotel are expected to be significantly lower than anticipated because of the impacts of Covid-19. The resulting decrease of £0.254m interest income accruing to this loan in 2021-22 is forecast to be funded using MHCLG Covid-19 grant funding.

Corporate Adjustments

- 4.33 There is a forecast overspend of £1.325m on Corporate Adjustments in 2021-22.
- 4.34 There is forecast to be an overspend of £1.401m arising from the difference between controllable and uncontrollable Education budgets funded from the Dedicated Schools Grant (DSG).
- 4.35 The interest income accruing to the loan to Buxton Crescent Ltd for the period April 2021 to September 2021 is expected to be written off in recognition of the fact that the revenues from Buxton Crescent hotel are expected to be significantly lower than anticipated because of the impacts of Covid-19. This is forecasted to be funded using £0.145m of MHCLG Covid-19 grant funding.
- 4.36 Only £0.632m of the allocated £1.000m savings target is forecast to be achieved by the Council paying its Local Government Pension Scheme employer contributions early. This is because of the decision not to pay in advance all the contributions due for the entire period 2020-21 to 2022-23, but rather to make separate early lump sum payments for each year over that period. This decision was made in light of the Covid-19 pandemic, to preserve the Council's liquidity of cash flow, amongst other considerations. £0.368m of MHCLG Covid-19 grant funding is forecast to be allocated to reimburse the cost of this savings target which can no longer be achieved.

Budget Savings

- 4.37 A summary of the achievement of budget savings targets is provided at Appendix 14. The budget savings target for 2021-22 is £13.291m, with a further £12.768m target brought forward from previous years. The savings initiatives identified to meet this target fall short by £9.604m, therefore further proposals will need to be brought forward to ensure the Council continues to balance its budget. Of this total target of £26.059m, £9.777m is forecast to be achieved by the end of the financial year. Therefore, there is a £16.282m forecast shortfall in achievement of budget savings. The resulting base budget overspend is offset to some extent by one-off underspends, one-off funding from earmarked reserves and additional grant funding received.

Debt Age Profile

- 4.38 The age profile of debts owed to the Council and the value of debts written off is disclosed in Appendix 15. This information is collected on a departmental rather than on a portfolio basis.

Earmarked Reserves

- 4.39 Earmarked reserves are held to meet known or predicted liabilities and the funds should be used for the item for which they have been set aside. Any funds no longer required are returned to the General Reserve. The Council reviews the level of earmarked reserves at least annually. The next review of earmarked reserves is scheduled to take place in Autumn 2021.
- 4.40 A summary of outstanding balances on the Council's earmarked reserves as at 30 June 2021 is set out in Appendix 13.
- 4.41 The Council's response to the Covid-19 pandemic and its effects on the Council's finances are expected to continue into 2021-22. Any funding received to support Covid-19 impacts, which had not been utilised by 31 March 2021, has been contributed to earmarked reserves or is held as a receipt in advance. This will enable this funding to be used for relevant expenditure over the two-year period 2020-21 to 2021-22.
- 4.42 A complete register of funding available for use to meet Covid-19 related costs in 2021-22 is disclosed in Appendix 16.

General Reserve

- 4.43 The General Reserve stands at £77.663m at 30 June 2021. The level of General Reserve is £50.873m, after the commitments below and the forecast outturn for 2021-22, which is 8.9% of the Council's Net Budget Requirement for 2021-22. The commitments held against this balance are as follows:

General Reserve

	£m
Balance at 30 June 2021	77.663
Less: 2020-21 Outturn Allocations to Portfolios and Corporate Reserves	
Adult Care	0.000
Corporate Services	(0.175)
Clean Growth and Regeneration	(0.147)
Health and Communities	(0.984)
Highways, Transport and Infrastructure	(0.233)
Strategic Leadership, Culture and Tourism	(0.707)
Young People	0.000
Budget Management Reserve	(9.000)
Contingency Reserve for Post-Covid Funding Risks	(14.000)
Balance at 30 June 2021 after Outturn allocations	52.417
Projected Outturn 2021-22	(1.544)
Forecast Balance at 31 March 2022	50.873

Net Budget Requirement 2021-22 **572.475**

General Reserve Balance as % of NBR at 31 March 2022 **8.89%**

- 4.44 In addition there are also commitments held against the General Reserve balance, which were referred to in the Council's 2021-22 Revenue Budget Report.
- 4.45 The majority of chief financial officers consider an acceptable level of generally available reserves to be one that reflects a risk-based approach to potential liabilities. A relatively crude measure is to expect the resulting figure to be between 3% to 5% of a council's net spending, representing a prudent level of risk-based reserves. As at 30 June 2021, after the commitments above, the figure for the Council stood at 9%, indicating a robust balance. However, it is necessary to consider this indicator over the medium term to gain a better understanding of its adequacy.

Portfolio Summaries

- 4.46 A summary of each of the individual portfolio performance and outturn positions for 2021-22 is detailed in Appendices 4 to 11.

4.47 Whilst budgets are monitored by portfolio, the individual portfolios are not separate entities. All the portfolios operate in conjunction with the others and it is important to consider the Council's budgetary position as a whole in the context of its Five-Year Financial Plan and its overall level of reserves.

Traded Services

4.48 A trading area is where the Council receives income in return for providing discretionary services to external organisations and/or individuals.

4.49 'Fully traded' trading areas are separately identifiable and have a net controllable budget of £0, i.e. there is an expectation that on average all the controllable expenditure within this area will be funded from external income. An overall deficit of £0.225m is forecast for 2021-22 on fully traded areas across the Council as a whole.

4.50 'Partially traded' trading areas do not have a net controllable budget of £0, but they do receive income from external entities which contributes to funding some of the discretionary services they provide. An overall shortfall of £0.088m compared to the budgeted income target is forecast for 2021-22 on partially traded areas across the Council as a whole.

4.51 Appendix 12 summarises the financial performance of the separate trading areas.

5. Consultation

5.1 No consultation is required.

6. Alternative Options Considered

6.1 N/A – the Council is required to outline its forecast revenue outturn position to ensure compliance with good financial management principles and to support the development of short and medium-term financial planning. Not producing a budget monitoring report would be contra to the Council's Financial Regulations which requires the reporting of variances of income and expenditure against budget allocation to be reported to Cabinet in line with the Budget Monitoring Policy.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 Performance papers held electronically by Policy & Research, Room 124, County Hall. Finance papers held electronically by Financial Strategy, Finance & ICT, Room 137, County Hall.

9. Appendices

- 9.1 Appendix 1 – Implications
- 9.2 Appendix 2 – Key to Performance Ratings
- 9.3 Appendix 3 – Performance Report 2021-22 Council Overview
- 9.4 Appendix 4 – Adult Care - Portfolio Summary
- 9.5 Appendix 5 – Clean Growth and Regeneration - Portfolio Summary
- 9.6 Appendix 6 – Corporate Services and Budget - Portfolio Summary
- 9.7 Appendix 7 – Children’s Services and Safeguarding - Portfolio Summary
- 9.8 Appendix 8 – Health and Communities - Portfolio Summary
- 9.9 Appendix 9 – Highways Assets and Transport - Portfolio Summary
- 9.10 Appendix 10 – Infrastructure and Environment - Portfolio Summary
- 9.11 Appendix 11 – Strategic Leadership, Culture, Tourism and Climate Change - Portfolio Summary
- 9.12 Appendix 12 – Traded Services
- 9.13 Appendix 13 – Earmarked Reserves
- 9.14 Appendix 14 – Budget Savings Monitoring 2021-22
- 9.15 Appendix 15 – Aged Debt

9.16 Appendix 16 – Covid-19 Financial Impacts and Funding

10. Recommendations

That Cabinet:

- 10.1 Notes the update of Council Plan performance and the Revenue Budget position/forecast outturn for 2021-22 as at 30 June 2021 (Quarter 1);
- 10.2 Considers whether there are any further actions that should be undertaken to improve performance where it has not met the desired level.
- 10.3 Notes the position on General and Earmarked Reserves.

11. Reasons for Recommendations

- 11.1 The forecast outturn report provides a summary of the expected overall financial performance and use of resources against the Council's approved budget for the financial year 2021-22. The outturn position supports the development of budgets in both the short and medium term.
- 11.2 Performance information is important as it enables the Council and the public to see how well the Council is delivering services and where it needs to make improvements.
- 11.3 The balance of both the General and Earmarked Reserves support good financial planning.

12. Is it necessary to waive the call in period?

- 12.1 No

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This report has been approved by the following officers:

<p>On behalf of:</p> <p>Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director</p>	
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Implications

Financial

1.1 As outlined in the body of the report.

Legal

2.1 None.

Human Resources

3.1 None.

Information Technology

4.1 None.

Equalities Impact

5.1 None.

Corporate objectives and priorities for change






6.1 The Council Plan values commit to spending money wisely, making the best use of the resources that the Council has.

6.2 The Covid-19 pandemic has increased the financial uncertainty for all local authorities. The Council is committed to ensuring that it sets a balanced budget over the medium-term, therefore ensuring good financial management and use of reserve balances to meet the costs of any unforeseeable events arising from the pandemic.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None.

Key to Performance Ratings

	Strong 	Good 	Review 	Action 	Unknown 
Council Plan Deliverables	On track or complete with outcomes exceeding expectations /requirements.	On track or complete with outcomes in line with expectations/ requirements.	Some risk to achieving timetable and/or outcomes.	Unlikely to achieve timetable and/or not delivering required outcome.	Data measuring the performance of these deliverables continues to be suspended due to Covid-19.
Council Plan Measures	More than 5% better than target.	Less than 5% better than target but not less than 2% worse than target.	Between 2% and 10% worse than target.	More than 10% worse than target.	No data received or no target set.
Service Lines Outturn		Outturn below budget.	Outturn less than or equal to 2% over budget.	Outturn more than 2% over budget.	
Portfolio Outturn		Outturn below budget.		Outturn over budget.	
Budget Savings		Forecast savings better than target.		Forecast savings worse than target.	

Derbyshire County Council

Appendix 3



Council Plan 2021-25

Performance Report
Quarter 1 2021-22

Introduction





Welcome to the Council's performance report on the Council Plan 2021-25, for Quarter 1 2021-22. The Council Plan sets out the direction of the Council and what we are working to achieve on behalf of our residents. At the heart of our Plan is ensuring we provide maximum value for money for the council tax our residents pay, by delivering the most efficient and effective services we can. Our key priorities are:

- Resilient, healthy and safe communities;
- High performing, value for money and resident focused services;
- Effective early help for individuals and communities;
- A prosperous and green Derbyshire.

For each priority we have identified a set of key deliverables and performance measures which we will focus on to meet our priorities.

Reporting Performance

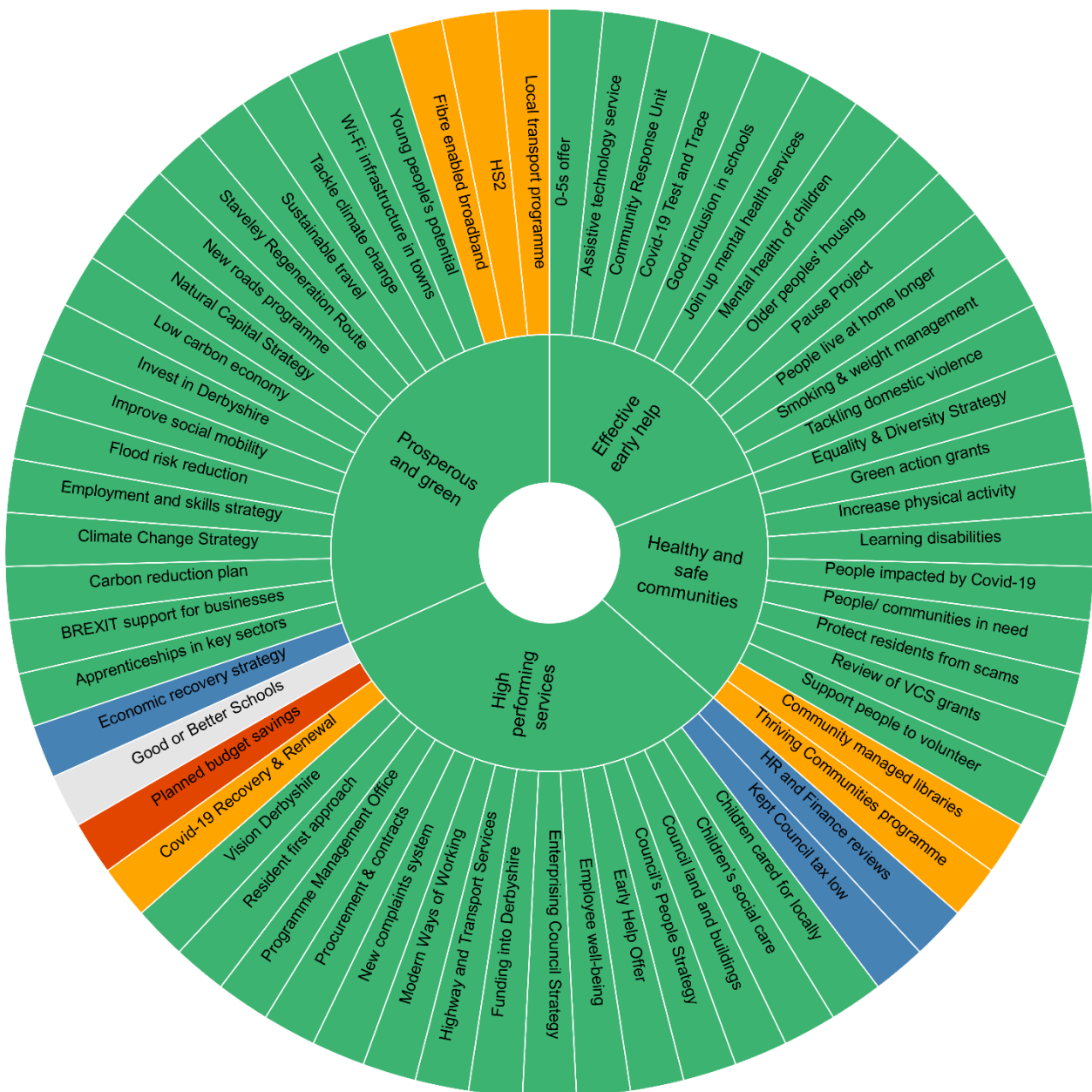
Progress on our Council Plan deliverables and key measures is set out on the following pages. To give a clear indication of performance, the following categories are used:

Category	Deliverables	Measures	Council Response
 Strong	On track or complete with outcomes exceeding expectations	More than 5% better than target (2% better than target if the target is greater than 95%)	Continue to monitor. Celebrate and learn from success
 Good	On track or complete with outcomes in line with expectations	Between 5% better or 2% worse than target	Continue to monitor. Celebrate and learn from success
 Review	Some risk to achieving timetable and/or outcomes	More than 2% worse than target	Keep under review to ensure performance is brought back/remains on track
 Action	Unlikely to achieve timetable and/or to deliver required outcome	More than 10% worse than target	Additional action will be/is being taken to bring performance back on track

We would welcome your feedback on the report as part of our commitment to continually improve what we do and how we serve the people of Derbyshire. Please tell us what you think at: haveyoursay@Derbyshire.gov.uk

Deliverable Overview

Good progress has been made in delivering the Council Plan during Quarter 1 2021-22. Of the 63 deliverables in the Plan that have started implementation, 83% have been rated as 'Good', with a further 5% rated as 'Strong'. Only 10% have been rated as 'Requiring Review' and 2% as 'Requiring Action'. A graphical representation of the Council's performance for Quarter 1 against its priorities (inner wheel) and deliverables (outer wheel) is below. The colours in each segment show the progress the Council is making during 2021-22. The deliverable to achieve all planned budget savings is grey as Quarter 1 data is awaited. The deliverable on supporting schools to be judged good or better by Ofsted is grey as Ofsted school inspections have been suspended and will not resume until Autumn 2021.




Key Measure Overview

A set of key measures have also been developed to enable the Council to further monitor the progress it is making by reporting performance against targets. The colours in each segment of the wheel below show the Council's success in achieving its performance targets. Of the fourteen key measures with data, five have been rated as 'Good', with a further four rated as 'Strong'. Only two have been rated as 'Requiring Review' and three as 'Requiring Action'. Measures which are grey currently have no data available for 2021-22.



Key Measures Updated for Quarter 1 2021-22

Key Measure	Date	Actual	Target	Performance
Number of people with a learning disability and/or who are autistic with an outcome focused support plan	Jun-2021	197	130	
Number of people with a learning disability and/or who are autistic supported to move from 24-hour residential care to more independent supported living setting	Jun-2021	18	24	
Percentage of Council run children's homes rated as 'Good' or 'Outstanding' by Ofsted	Jun-2021	100.0%	91.0%	
Number of approved fostering households	Jun-2021	319	350	
Percentage of children's social care reflective case review judgements rated as 'Good' or 'Outstanding'	Jun-2021	40.5%	Baseline	
Percentage of children returning home after a period of being in care	Jun-2021	15.1%	18.0%	
Employee sickness absence	May-2021	4.4%	Baseline	
Average number of days lost per appointment to sickness (Council, not including schools)	Jun-2021	8.8	9.0	
Amount of money raised from the disposal of land and buildings	Jun-2021	£0	£0	
Projected percentage of annual budget savings achieved	Jun-2021	37.5%	100.0%	
Number of participants in Council delivered stop smoking programmes who stop smoking	Jun-2021	466	300	
Number participants in Council weight management programmes who achieve 5% weight loss	Jun-2021	74	57	
Number of older people and disabled people able to access short term assistance to regain or increase independence	Jun-2021	885	897	
Total amount of expenditure on the delivery of the Local Transport Programme	Jun-2021	5,000,000	5,000,000	
Percentage of defects completed within target	Jun-2021	85.6%	90.0%	
Percentage of 16 to 17 year olds in education, employment or training (3 month avg)	May-2021	95.7%	96.0%	

Key Measures with new data for Quarter 4 2020-21

Key Measure	Date	Actual	Target	Performance
Percentage of identified pregnant women receiving an antenatal contact	Mar-2021	93.5%	Not set	
Percentage of infants receiving a new baby review between 10-14 days	Mar-2021	96.9%	Not set	

Performance – Trend over Time

Deliverables

	Jun 2021	Sep 2021	Dec 2021	Mar 2022
 Strong	3			
 Good	52			
 Review	6			
 Action	1			

Measures

	Jun 2021	Sep 2021	Dec 2021	Mar 2022
 Strong	4			
 Good	5			
 Review	2			
 Action	3			

Deliverables and Measures rated as “Requiring Action”

People with a learning disability moving from 24-hour care

Progress is being made to support people with a learning disability and/or who are autistic to move from a short term residential placement to a supported living long term home within local communities. 18 people have moved so far which is below target however this has been impacted by the national guidance concerning social distancing. It is anticipated that accelerated progress will be made during the rest of the year.

Children returning home from care

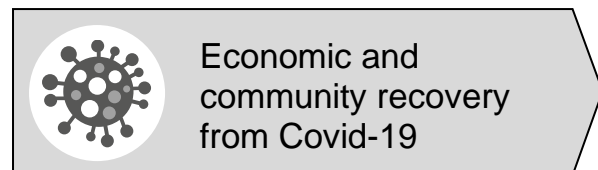
Some progress has been made this quarter. The proportion of children returning home to live with parents or another person with parental responsibility as part of the care planning process has increased from 14.8% at the end of Quarter 4 to 15.0% at the end of Quarter 1. The target for this measure is to be above the national average 18% which related to 2019-20. The recommendations from our children's services evaluation will start to be implemented in September 2021 and it is anticipated that this work will impact positively on outcomes for children in care.

Kept on track to achieve all planned budget savings in the medium term

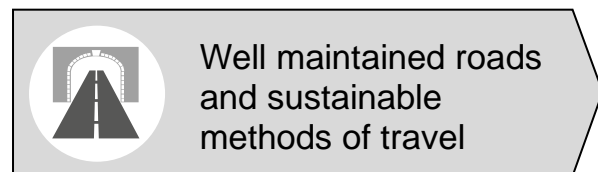
The Quarter 1 position shows a projected achievement of savings of £9.777m, substantially short of the target of £26.059m. Covid-19 has had a significant impact on the savings programme, however additional funding from Government is mitigating the impact of some of the shortfall on the Council's financial position. Some 2021-22 savings will be achieved in 2022-23 and later; savings targets are being re-profiled to ensure they will be achieved in later years and supported with one off funding until then.

Headline Initiatives

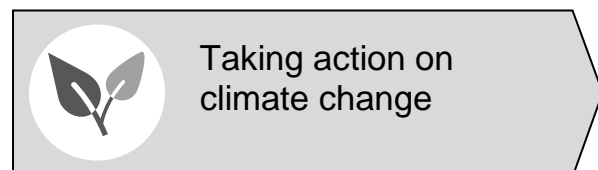
The following activity in support of our headline initiatives has taken place over this quarter – we have




- Extended the Growth Hub Project to provide business advice and support for an extra 18 months
- Distributed £41,000 worth of grants from the Covid Emergency Fund to support small groups and organisations
- Launched the Green Entrepreneur fund to support innovation in low carbon technologies
- Made available £200m of funding for secondary schools to deliver face to face summer schools this year
- Provided advice, information and guidance to support the safe re-opening of different activities



- Delivered £1m of highways surface treatment work
- Completed work to fix 7,618 road defects
- Created new opportunities in local areas for active travel and recreational cycling
- Completed the first phases of the Ashbourne Airfield and Hollis Lane link roads




- Set out priorities for the next steps to tackle climate change
- Set targets for reductions in staff travel to reflect new ways of working developed during Covid-19
- Started work on the Natural Capital Strategy for Derbyshire




Transformation of social care

- Supported 897 people to access the enhanced reablement service, helping people to increase their independence and remain part of their local communities
- Supported 18 people with learning disabilities to move from a short term residential placement to a supported living long term home with local communities, working towards our end of year target of 60
- Continued to pilot the brain in hand app with 25 clients to date, providing digital self-management technology, which, combined with human support, helps people live more independently



Thriving Communities

- Supported growth of the Thriving Communities approach in Cotmanhay, Shirebrook, Gamesley and Newhall
- Put in place plans to extend the approach to Langley Mill, Staveley, Ashbourne and to homelessness
- Provided support in communities such as delivering meals, raising donations for people in crisis and helping prepare peoples' homes for return from hospital



Employees' wellbeing and potential

- Supported employees to reduce sickness absence with an average of 8.8 days absence against a reduced target of 9 for this year
- Engaged with employees to help design new approaches to agile and flexible working
- Offered an extended range of leadership apprenticeships to employees, supporting their personal aspirations and professional development
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- Offered an extended range of leadership apprenticeships to employees, supporting their personal aspirations and professional development

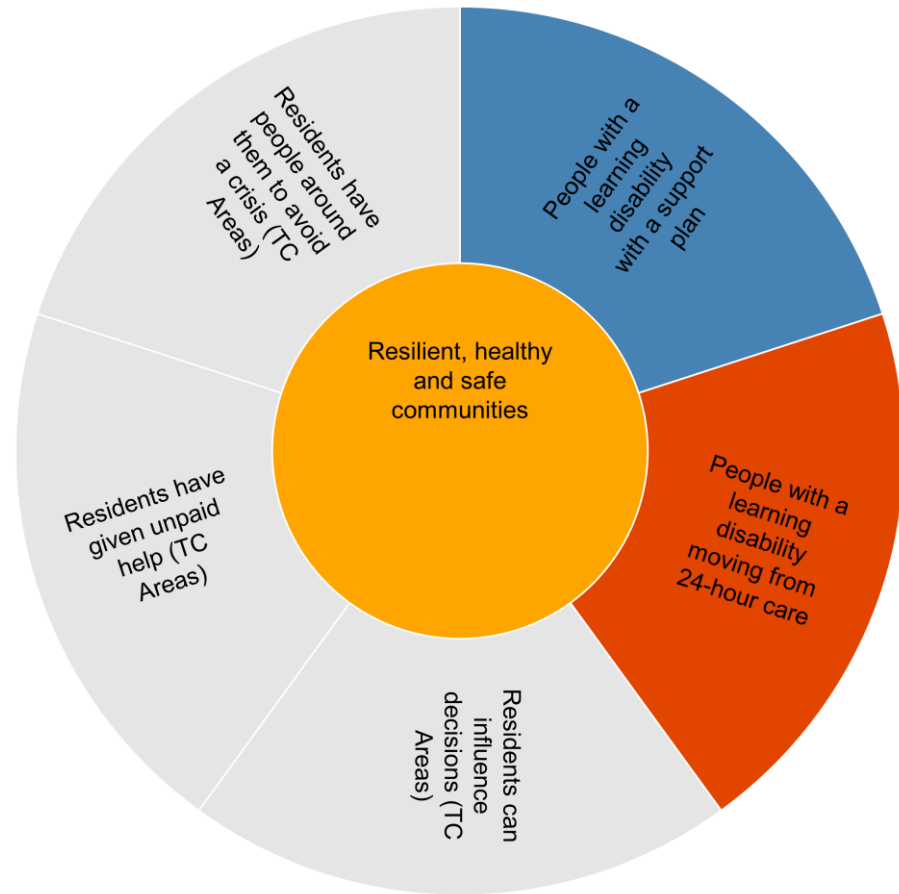
Resilient, healthy and safe communities

Overview

Deliverable Progress



Key Measures



This priority shows overall “Good” progress for deliverables but measures are rated as “Review” based on 2 rated measures.

Progress on our deliverables and key measures

Worked with communities in a further 8 areas across the county as part of the Thriving Communities programme, listening to and understanding their needs and working together to ensure they thrive

Rating: Review

Expected completion date: 31 Mar 2022

The new Thriving Communities Board has been established with the commitment to drive forward the approach within communities across Derbyshire. A structured programme of Board sessions, designed to close the gap between the system and communities, has already begun to create a dynamic relationship between strategic leaders and practical local activity.

The Board agreed to support continued growth of the Thriving Communities approach in Cotmanhay, Shirebrook, Gamesley and Newhall and to expand work, initially, into four new areas, Langley Mill, Staveley, Ashbourne (and surrounding areas) and homelessness. Work which had been paused in Danesmoor/ Clay Cross due to a lack of capacity and interest locally will remain on hold.

Board members have entered into in-depth dialogue about embedding the approach by receiving insight about the current situation in local communities, how public services are collaborating with local people (and each other) and the opportunities and challenges this presents in shifting culture to Thriving Ways of Working. This has been made more difficult during the pandemic, as the approach is grounded in creating human relationships and working practically together to find and release the potential of people and communities at the local level in community spaces.

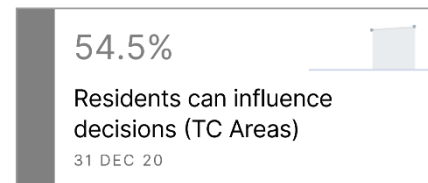
The reduction in the local presence of services and restrictions on community activity as a result of the pandemic has led to a delay to the programme, meaning that there is some risk to achieving the roll-out into the additional 8 communities within the programme timescale. Progress will be monitored and additional capacity may need to be considered as appropriate.

Establishing the Board however has been extremely successful, supported by developments including:

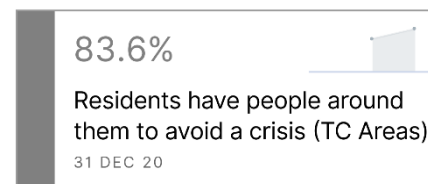
A set of Thriving Communities Guidebooks which have been created to outline the model, ways of working, story, methodology and tools for practical application, alongside a bespoke evaluation framework which is being used to baseline and monitor progress in shifting culture and practice at all levels;

Three successful dialogue-based sessions have been run, and attended by both board members and a range of officers in management and frontline roles.

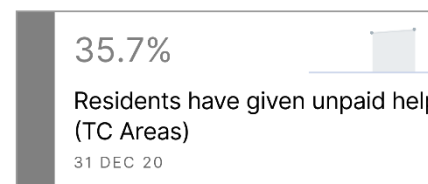
Thriving Communities 'Connected Teams' have continued to fill gaps locally, providing support such as personal care, delivering meals, raising donations for people in crisis, helping prepare peoples' homes for return from hospital and offering a welcoming 'place to go' for any local issues. They



2019-2020	51.1%
2020-2021	54.5%
Target 20-21	58.0%
Performance	
2021-2022	Due in Q3
Target 21-22	55.0%



2019-2020	64.2%
2020-2021	83.6%
Target 20-21	70.0%
Performance	
2021-2022	Due in Q3
Target 21-22	70.0%



2019-2020	33.1%
2020-2021	35.7%
Target 20-21	32.0%
Performance	
2021-2022	Due in Q3
Target 21-22	36.0%

have been a lifeline for those without family or support networks and have provided a bridge between local people and services. They are active in helping partners to understand the root cause of problems (e.g. drug problems, assaults, gangs causing property damage) and create local solutions. They are also helping to bring new opportunities into communities by providing a way for local employers to connect with people and generating investment via external funding and donations.

The three survey questions will be included in annual residents survey will take place during Quarter 3. This will provide updated responses figures for the established Thriving Communities areas against targets for 2021-22 set in line with previous performance and trends.

 **Worked with partners through the Active Derbyshire network to increase the number of people in local communities taking part in physical activity, including reviewing and updating the Derbyshire Cycle Plan**

Rating: Good

Expected completion date: 31 Mar 2022

The Active Derbyshire network has been expanded through the development of the Talking Space virtual platform. The platform has engaged a much broader number of partners from across the physical activity system. The platform has enabled themed discussions to be held, which have helped partners understand and connect with inactive people and communities. The network has also enabled over £400,000 of external funding from Sport England's Tackling Inequality Fund and Young Peoples Fund to be delivered in the county.

In terms of the Derbyshire Cycle Plan a review is currently progressing. A key deliverable of the Plan has been the increased activity around cycling at a local level. Active Derbyshire has worked with Local Authorities, Public Health and the Council's Sustainable Travel colleagues to enable the local system to come together and create new opportunities for active travel and recreational cycling.

 **Provided support to people and communities in need, including financial help from our discretionary fund, and support for those affected by flooding**

Rating: Good

Expected completion date: 31 Mar 2022

The Derbyshire Discretionary Fund has supported Derbyshire residents with 3,126 awards in Quarter 1. There were 2,622 awards of Emergency Cash Payments; 216 awards of Exceptional Pressure Grants; 153 awards under the Covid Winter Grant Scheme funding that ended on 16 April 2021; and 135 awards under the Covid Support Payments provision which began on 11 May 2021. There have been no instances of flooding hardship this quarter.

✔ **Put in place a new Equality and Diversity Strategy, setting out priority actions the Council will take to reduce discrimination and tackle inequalities**

Rating: Good

Expected completion date: 31 Mar 2022

Following a preliminary workshop and discussions across the Council, a set of initial draft equality and diversity priorities have been identified. These have formed the basis of the initial draft Equality and Diversity Strategy 2021-25 which is currently in development. Engagement on the proposed draft Strategy with workshop participants and other stakeholders will take place over coming months with public consultation on the draft Strategy planned for Autumn 2021. The final Equality and Diversity Strategy will be presented for adoption by full Council in February 2022.

✔ **Provided targeted support to protect residents who are most susceptible to scams, fraud and financial abuse**

Rating: Good

Expected completion date: 31 Mar 2022

Officers have responded to more than 300 referrals from the National Trading Standards Scams Team and other local partners to respond to referrals for help and support. Over 80 vulnerable residents have received one-to-one support to help them recognise current scams, and where a client has been a victim, Trading Standards have intervened with banks and other businesses to seek compensation and refunds. In addition Trading Standards have continued to install call blocker devices at the homes of those most residents that are most vulnerable. These devices have so far prevented over 100,000 nuisance and scam calls since the installations started.

✔ **Provided green grants to community projects to support investment in sustainable and green community activity**

Rating: Good

Expected completion date: 31 Mar 2022

Work has taken place to develop and bring forward proposals on the creation of a new grants programme which will provide the opportunity for the Council to create an ongoing approach to the distribution of any grants it may wish to award to meet identified priorities.

Proposals on new grant schemes are in development alongside a new funding model to allow the sector to be innovative and creative, whilst also delivering on the Council's priorities, including investment in sustainable and green community activity. Whilst the new grant schemes have not yet begun due to the ongoing Covid-19 pandemic the Council is also working on a Climate Change Strategy which will identify how the Council, in partnership with the Voluntary and Community Sector, can make best use of available funding.

The recently formed Grants Board is currently overseeing the review process and developing the Council's future approach. A significant amount of work is needed before the launch of any new programme including the development of technical guidance, production of materials, toolkits, FAQ's and application forms. This could also include a Grants Management System, dedicated officer capacity which will need to be developed in the coming months to support the new approach.

✔ **Supported more Derbyshire people to volunteer to help their communities, learning from and building on the remarkable response to the Covid-19 pandemic**

Rating: Good

Expected completion date: 30 Sep 2022

In its very early stages, officers have been working on the scope of this Council Plan priority to ensure that the full depth and breadth of the opportunities of increasing volunteering can be discussed before work is taken forward. There are a number of services already benefitting from the assistance of volunteers and it essential that all approaches are considered as this priority is developed. Discussions on this priority will be held with senior officers at the earliest possible opportunity.

✔ **Worked with partners and supported individuals, communities and businesses who have been impacted by the pandemic**

Rating: Good

Expected completion date: 31 Mar 2022

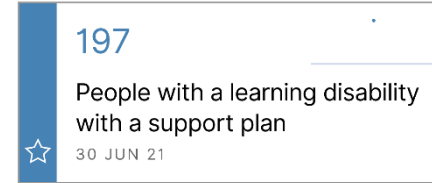
Public Health continues to work alongside the Local Resilience Forum partners across Derbyshire to support communities to recover from the pandemic. We have continued to make funding available to small groups and organisations via the COVID Emergency Fund, which ended on 30 June 2021. In Quarter 1 the fund distributed £41,000 in grants. In addition, we have engaged at a locality level to support communities in the response to Covid-19 and have an established Community Champions network in place who can share the latest updates in relation to Covid-19 information and advice. Public Health continues to provide appropriate advice, information and guidance to support the safe re-opening of different activities. We have conducted and supported surveys of residents and the third sector to improve our understanding of the impact of the pandemic on people and organisations across Derbyshire.

✔ Worked with people with learning disabilities and/ or who are autistic to develop Council services to ensure they are tailored to meet individuals needs and help people achieve their personal goals

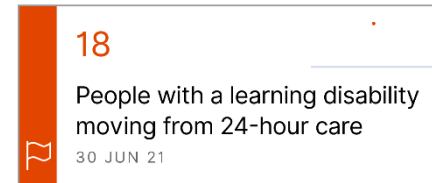
Rating: Good

Expected completion date: 31 Mar 2023

During Quarter 1 there has been a continued focus on the Better Lives programme of work. The central team of practitioners have now actively worked with 317 people with a learning disability or who are autistic . Of these people, 197 have a new outcome focused support plan in place, with 99 choosing to take up an element of a different community offer. The community connector service is now re-established and all 197 people have been approached regarding a digital solution using everyday technology to connect. 7 building based offers remain open, although actual attendance remains limited due to capacity constraints to ensure the offer is Covid secure. 50 people are now accessing on line activities provided by our day centres. Progress is being made to support people with a learning disability to move from a short term residential placement to a supported living long term home within local communities. 18 people have moved so far which is below target however this has been impacted by the national guidance concerning social distancing.



2021-2022	197
Target	130
Performance	



2021-2022	18
Target	24
Performance	

🔴 Transferred a minimum of 5 libraries to community management, engaging and involving communities in the development of a cost-efficient library service

Rating: Review

Expected completion date: 31 Oct 2024

The first stage of implementation is focused upon transferring the 20 libraries and two mobile libraries over to community management. Eight successful Expressions of Interest have been received and five Business Cases passed. The service will concentrate on the awarding of Service Level Agreements and Leases to groups and building community capacity in areas where appropriate. A tentative date has been set for the transfer of Woodville library on 21 August 2021. The library service is exploring the best way forward in view of the continuing Covid-19 restrictions alongside the Library Strategy which will be relaunched in September 2021.

✔ Finalised the review of voluntary and community sector grants and established a consistent approach to future funding to support the sector to recover well, grow and thrive

Rating: Good

Expected completion date: 31 Mar 2022

Work has continued on the Voluntary and Community Sector (VCS) Grant Funding Review, implementing the plans developed in Quarter 4 of 2020-21 to ensure that momentum is maintained on the review and ensure key pieces of work across the review have commenced.

Officers have continued to develop and embed the new approach for investing in VCS infrastructure to support and has undertaken critical first monitoring meetings with all 13 providers to understand the work providers are undertaking as part of the new approach. Given the ongoing nature of the pandemic and the sector's role in providing a vital community response, work has been undertaken to securing funding for infrastructure organisations for an additional six-month period at a total cost of £226,114 has been critical.

To ensure that there is adequate VCS infrastructure in place to provide ongoing support to response, recovery and resurgence regarding the pandemic, work has been undertaken with Public Health to secure funding for infrastructure providers to ensure adequate capacity to support those identified as clinically extremely vulnerable (CEV) whilst providers pivot back towards their core infrastructure. These proposals, totalling £250,000 will be brought forward in the coming months.

The Council has continued to make usual grant payments during the Covid-19 crisis and has maintained regular contact with relevant organisations. Throughout the period of the pandemic preparatory work for the grants review has been undertaken to establish a baseline of current awards and to develop options appraisals to assist both internal discussions and engagement and consultation with groups at the appropriate time about future funding arrangements.

Organisations are still under significant pressure as a result of the pandemic and work has been undertaken to secure £378,936 funding to ensure the security and stability of the sector is maintained in the interim for a period of six months from 1 October 2021 - 31 March 2022.

Work has also continued to establish a new, single approach to voluntary and community sector grant funding for the Council. Five working groups have been established, with representatives from across the Council, to deliver new policies, processes, rules, regulations and digital infrastructure to support consistent and coordinated development and administration of grant funding to the VCS. This will support the whole Council to improve its funding arrangements with the sector to allow it to recover well from the impact of the pandemic and thrive in the future.

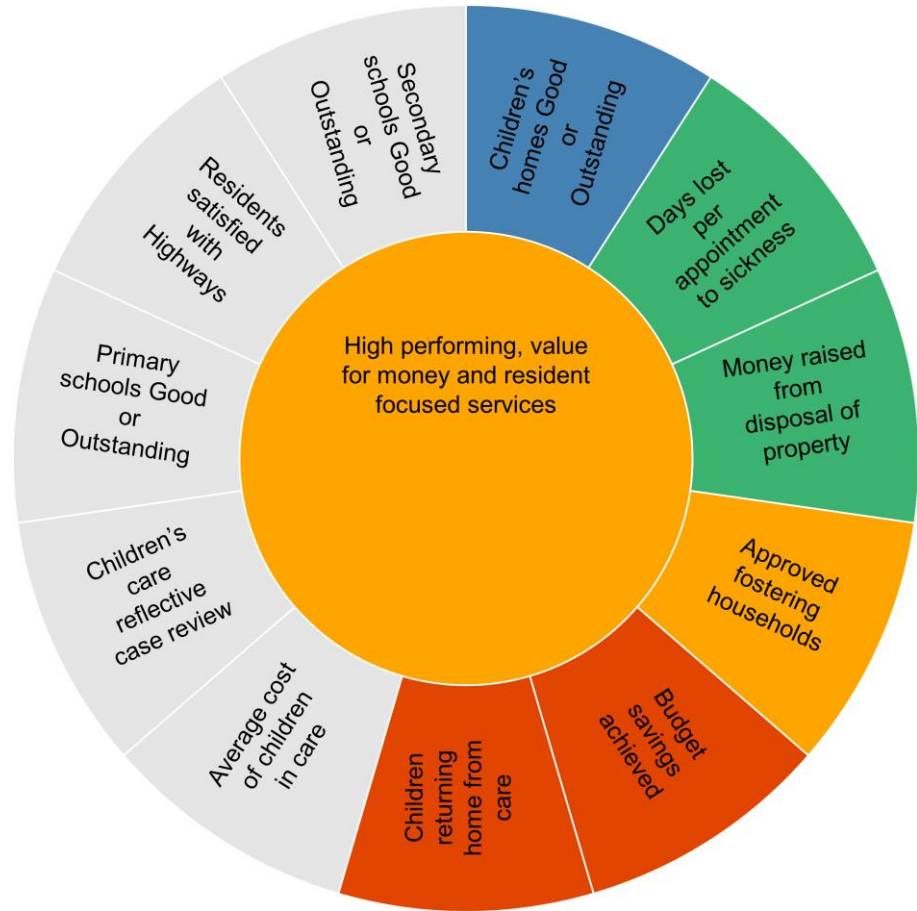
High performing, value for money and resident focused services

Overview

Deliverable Progress



Key Measures



This priority shows overall “Good” progress for deliverables but measures are rated as “Review” based on 6 rated measures.

Progress on our deliverables and key measures

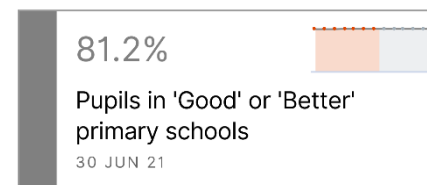
Worked with schools to ensure that the percentage of children in Derbyshire schools which are 'Good' or 'Outstanding' is in line with the national average

Rating: Expected completion date: 31 Mar 2025

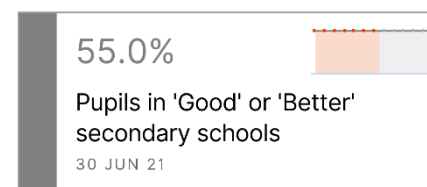
There have been no graded inspections during the Covid-19 pandemic but Ofsted has monitored schools that have been judged as requiring improvement or inadequate to check for progress towards being judged as good overall.

At the point that school inspections were suspended, the percentage of pupils in Derbyshire attending primary schools that were judged to be good or outstanding was 81.2% with performance being below the national average of 88.2% and Derbyshire being ranked 132 nationally. The percentage of secondary aged pupils attending schools judged to be good or better was 55% with performance significantly below the national average (79.5%) with Derbyshire ranked 135 nationally.

Ofsted have confirmed that their full programme of graded school inspections will resume in autumn 2021. There is however a significant change to inspection activity in the summer term commencing from 4 May 2021. Following a monitoring inspection where the evidence strongly suggests that a school's current grade is no longer a fair reflection of its work, for example where the school is graded 'inadequate' or 'requires improvement' but has clearly improved, inspectors will be able to convert to a full, graded inspection either immediately or later in the summer term. Ofsted will do the same if a visit to a higher-graded school highlights a significant cause for concern.



2019-2020	80.7%
2020-2021	81.2%
2021-2022	81.2%
Target	84.0%
National Benchmark	88.2%



2019-2020	54.9%
2020-2021	55.0%
2021-2022	55.0%
Target	68.0%
National Benchmark	79.6%

Increased the number of council foster carers and improved the availability of high quality children’s homes within Derbyshire, so that more children are cared for locally

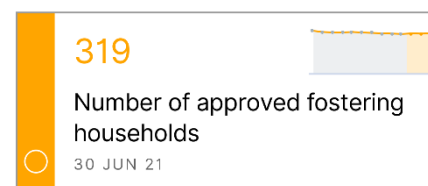
Rating: Good Expected completion date: 31 Mar 2022

The inspection regime has recommenced for children's homes and one of Derbyshire's children's homes has now moved into the 'good' category from 'requires improvement' which is really positive. At this time all 10 of Derbyshire's children's homes which have been inspected are rated good or outstanding. Two additional homes are awaiting their first inspection. One was deregistered due to closure for refurbishment. This home has now reopened and the refurbishment has resulted in a very beautiful home for children. The second new home, Spring cottage, is also awaiting it's first inspection.

The number of approved fostering households has increased this quarter from 312 at the end of March to 319 at the end of June. We continue to monitor and scrutinise the impact of our revised fostering recruitment campaign and continue in our activity to improve retention rates by strengthening the intensity of support in the first year of fostering. The service is currently working on a Fostering Service Modernisation Programme to ensure that our Fostering Service continues to build on its strengths while ensuring that it is in the best position to grow the service and care for more children in high-quality family placements, against the backdrop of an increasingly competitive market.



2019-2020	83.9%
2020-2021	83.9%
2021-2022	100.0%
Target	91.0%
Performance	



2020-2021	312
2021-2022	319
Target	350
Performance	

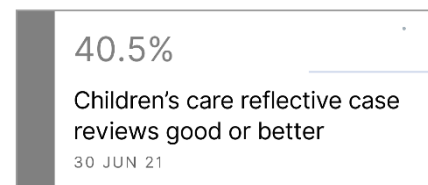
Provided consistent, high quality early help and safeguarding services for children and families across Derbyshire

Rating: Good Expected completion date: 31 Mar 2022

We continue to see positive impact from the changes we have implemented across early help and safeguarding services with improving practice consistency and solid performance across a range of performance measures. Our improvement priority action plan which was established to drive greater consistency in practice and improvements in delivery of services in children's social care, has recently had a refresh and we continue to make good progress in delivering the actions identified.

This will ensure that the focus of activity to strengthen practice continues to be in the right places and at the right time.

Demand levels in social care continue to be closely monitored to ensure that services are alert to any indicators of change. Currently the situation remains stable around numbers of referrals into the service. However the number of children being admitted to care has followed an upward trajectory with more children being admitted at an older age due to increasing mental health and wellbeing concerns including stress in families leading to higher levels of aggression and violence. Good progress has been achieved in establishing a sustainable workforce. Recent targeted recruitment of social



2020-2021	57.3%
2021-2022	40.5%
Target	Baseline

workers in the south of the county has been fruitful and this should result in a reduction in agency staffing. A stable, well trained and supported workforce is key to high quality help and support for families across Derbyshire.

We have changed the way we audit and grade our social care cases this year. Reflective case reviews have replaced our previous audit approach. These provide us with a more rounded oversight of practice informed by the views of practitioners, children and parents. Previous audits were assigned an overall grade. With our new reflective case reviews, individual sections are graded giving greater granularity about quality of practice. As the number of reflective case reviews completed increases through the year, we anticipate an increase in performance as we better gauge the quality of practice across social care. Performance this year is not directly comparable with previous years and a new target will be set for quarter 2 when we will have completed more reflective case reviews.

✔ Implemented recommendations from our children’s services evaluation to reduce demand, improve outcomes for children, young people and families and reduce expenditure

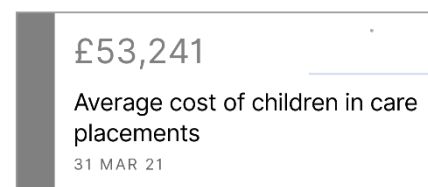
Rating: Good

Expected completion date: 31 Mar 2022

During 2020 the Council commissioned some time-limited Children's Diagnostic work from Newton Europe to help us explore potential opportunities for some of our most challenging areas. Newton Europe also provided predictions in demand arising from Covid-19 during this period. The diagnostic work focussed on safeguarding and early help pathways and decision-making, placements and commissioning, children's disability pathway and home to school transport. This activity included data analysis, workshops with relevant staff, case reviews and research. Following the findings of this work, the Council is entering into the next phase to take these findings forward with a transformational programme of work across Children's Services. Work has already commenced on the design phase this quarter, looking at how the Council can improve the outcomes for vulnerable children, young people and families being supported by the authority. This stage will lead into the implementation phase which will commence in September 2021.



2019-2020	19.0%
2020-2021	16.2%
2021-2022	15.1%
Target	18.0%
Performance	



2019-2020	£46,091
2020-2021	£53,241
Target 20-21	£41,000
Target 21-22	£41,000

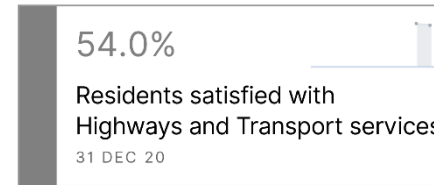
The proportion of children returning home to live with parents or another person with parental responsibility as part of the care planning process has increased from 14.8% at the end of Quarter 4 to 15.0% at the end of Quarter 1. The target for this measure is to be above the national average. Performance is below the latest national figure of 18% which related to 2019-20. The national figure for 2020-21 will be published in December. The average cost of children in care placements is an annual indicator. The last update and rating is from Quarter 4 2020-21. We continue to face pressure from the rising cost of placements for children in care. We are progressing activity to identify opportunities, particularly through our work with Newton Europe, to improve the experiences and outcomes for children whilst also improving value for money. Data for 2021-22 will not be available until Quarter 4.

✔ Increased the levels of customer satisfaction in the Council’s Highway Service

Rating: Good

Expected completion date: 31 Mar 2022

Customer satisfaction is reported following the results of the NHT report available in October annually. The data is reported in Q3.



2019-2020	55.0%
2020-2021	54.0%
Target 20-21	55.0%
Performance	✔
2021-2022	Due in Q3
Target 21-22	57.0%
National Benchmark	54.0%

✔ Supported a resident-first approach through a range of mechanisms to improve access to online services and customer service performance

Rating: Good

Expected completion date: 31 Mar 2022

The Customer Relationship Management (CRM) system, which will enable residents to access a larger number of services online - and to track the progress of their requests - is currently being installed with the delivery of the agreed Phase 1 services to be in place by 31 December 2021. This will include improved customer feedback (complaints, comments and compliments) processes and use of the CRM system by both the Contact Centre (Call Derbyshire) and the Highways Hub to improve customer experience when interacting with the Council.

There is a target of 80 services being available online via the CRM by the end of the year and performance against this will be reported once implementation has commenced.

✔ Put in place a new complaints and feedback system to improve service delivery and resident experience


Rating: Good

Expected completion date: 31 Mar 2022

The underpinning Customer Relationship Management (CRM) system is now being installed and delivery in Phase 1 (July to December 2021) has now been agreed with the supplier and governance board.

Customer feedback for Children's Services and Place will be delivered in Phase 1, with investigation work for Adult Social Care & Health customer feedback taking place in the same period (with delivery anticipated in 2022). Phase 1 will also see the development of data reporting to ensure that relevant information is available to support improvement. Investigation work for customer feedback in Commissioning, Communities & Policy, which is more disparate, will take place in 2022.

Customer feedback reports will be made quarterly to the Corporate Management Team from 2021-22.

 **Delivered Phase 3 of Vision Derbyshire including activity on business support, climate change, homelessness, independent living and skills and employment priorities, creating new arrangements to speed up joint decision making with partners**

Rating: Good

Expected completion date: 30 Sep 2024

Vision Derbyshire continues to be driven forward, involving a significant investment of time, hard work and goodwill from participating councils and their leaders, executive officers and lead officers. The future of the approach is now at a critical stage of development and the work undertaken over this quarter has been vital to accelerating the delivery of the programme

This has involved progressing Phase 3a, underway since April 2021 and sought to take advantage of the significant progress made during Phase 3 putting in place plans and making the necessary preparations for the delivery of a wider, ambitious programme of work as part of Phase 4 proposals.

The work in Phase 3a has included scoping out and developing programme delivery plans for the four thematic ambitions, further developing new formal governance arrangements, identifying resources for the creation of dedicated joint programme support and drafting a shared report for securing councils' buy in for Phase 4 through each individual Council's formal governance arrangements.

 **Worked with partners to secure additional funding into Derbyshire and progress a devolution deal for the East Midlands**

Rating: Good

Expected completion date: 30 Sep 2022

The Council continues to await Government's plans on the future of English Devolution following the omission of the Devolution and Local Recovery White Paper in the 2021 Queens Speech. Whilst the Council anticipates the details on a newly announced 'Levelling Up' White Paper, work will continue on progressing proposals for Vision Derbyshire, as this remains the fundamental first step in ensuring that Derbyshire Councils can demonstrate to Government a new collaborative model of local government and deliver better outcomes for local people.

 **Developed a medium and long-term organisational recovery and renewal strategy to address the challenges and opportunities presented by Covid-19**

Rating: Review

Expected completion date: 30 Sep 2021

Due to the continued Covid-19 response extending into 2021, the start of this workstream was delayed. The workstream will develop a clear strategy and roadmap to accelerate the delivery of the Council's future ambitions. This work has now started, with meetings held to discuss the scope and scale of this workstream as the Council moves into the recovery phase following the prolonged pandemic response efforts. This activity is identifying potential

overlap, gaps and complementarity with other areas, including Community Renewal and Modern Ways of Working. Baseline activity is now being undertaken along with a review of Economic Recovery priorities.

A report outlining the next phase of this work was agreed at Cabinet in June 2021. The strategy will include a number of renewal principles, including:

Being future focussed and working now on the long term change we would wish to see for our future generations;

Focussing on the four 'E's - economy, efficiency, effectiveness, equity and the 'F' - fairness;

Providing strong leadership and assurance, reimagining the role of the Council, working in partnership with local communities and partners to deliver ambitious change;

Harnessing the potential of people who have mobilised during the current pandemic and identifying opportunities to devolve power to local communities;

Maximising opportunities to drive forward organisational change and reduce our carbon footprint.

Identified and implemented a programme of strategic transformation as part of Phase 2 of the Enterprising Council Strategy

Rating: Good

Expected completion date: 31 Dec 2021

The Enterprising Council programme has continued to progress during the last quarter, with the Enterprising Council Board meeting monthly to provide oversight and direction to the delivery of activity. A report was taken to Cabinet in June, that reviewed the progress to date and agreed the workstreams for the next phase of work. These areas include Strategic Transformation and the establishment of a Programme Management Office, Modern Ways of Working, the People Strategy, Organisation Recovery and Renewal, and Demand Management.

Established a new Programme Management Office to ensure projects and programmes are coordinated, consistent and deliver improved outcomes and value for money

Rating: Good

Expected completion date: 31 Mar 2022

The establishment of a new Programme Management Office (PMO) has continued to progress during the last quarter. Following the conclusion of the report into developing a One Council approach to transformation, a detailed implementation plan was agreed at Cabinet in June 2021 with six areas identified as the focus for the next phase of the work. These include: the review of all existing change and transformation projects and programmes; introducing corporate transformation governance arrangements, development of a clear approach to how benefits will be delivered.

Implemented Phase 1 of the Modern Ways of Working strategy working with employees across the Council to design new approaches to agile and flexible working

Rating: Good

Expected completion date: 31 Mar 2022

The Modern Ways of Working programme continues to progress in this last quarter. The programme will build on the significant shift in working practices that has taken place in the pandemic, including the rapid implementation of new technology across the Council, greater home working and more flexible agile working practices. Work has been undertaken to establish new programme governance structures, engagement with staff and other key

stakeholders, and a detailed project plan. There is a programme of desks and office clearance being undertaken to ensure the spaces can be adapted and used more flexibility.

A report was taken to Cabinet in June 2021 to update on progress and agree the next phase of work, including developing a long term strategy, that will ensure alignment with other key Council priorities such as the ambition to be carbon zero by 2032, the digital approach and planed outcomes from Property 2025.

Carried out reviews of Human Resources and Finance functions to further improve these services and make savings

Rating: Strong

Expected completion date: 30 Sep 2021

The Human Resources review is on track to exceed projected savings for 2021-22. Health, Safety & Wellbeing was implemented on 6 April 2021, with Recruitment, HR Business Services and Learning and Development on track to implement on 26 September 2021.

A revised operating model for finance will be implemented on 5 July 2021. Formal consultation was undertaken between February and April 2021 for those in scope of the Review. Following the period of consultation, recruitment and selection procedures were completed. The Review will deliver savings of £0.040m.

Developed and approved the Council's People Strategy and associated people priorities, encompassing the council's people vision, employee values and behaviours

Rating: Good

Expected completion date: 30 Sep 2021

The Council's People Strategy incorporates the organisation's people ambition which reflects what we are trying to achieve with the overarching aim to be a diverse employer, an innovative employer and an employer of choice. The strategy outlines five key people priorities which will enable the development of the organisation wide workforce agenda but will also enable departmental and localised people plans to be developed by leaders at all levels of the organisation.

The strategy will be presented to Cabinet on 8 July 2021 for approval.

✔ Implemented the Wellbeing Action Plan to support employee wellbeing, reduce sickness absence and improve service delivery

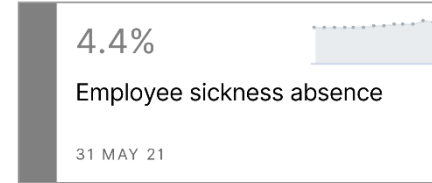
Rating: Good

Expected completion date: 30 Apr 2022

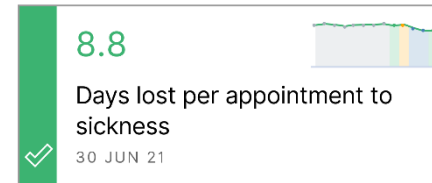
The new sickness absence measure has now been launched and is now being used in the regular monthly reporting to Departmental Management Teams. Departments are currently establishing departmental targets for sickness absence relating to the new measure and a council wide target can then be put in place to reflect these.

The new health, safety and wellbeing structure has been launched and the functional teams established, the teams have a work plan in place to deliver on the actions in the Council's health safety and wellbeing Action plan which will also be refreshed. A Health Safety and Wellbeing Challenge group has been established to provide a link between the Council's senior management and the Health, Safety and Wellbeing team.

The new sickness absence measure shows total sickness hours in the month as a percentage of total working hours available with the latest performance figure up to end of May being 4.4%. Whilst the baseline is being established and targets agreed for the new measure the established measure of days lost per appointment to sickness will continue to be reported to give a comparative trend and the June figure of 8.8 days shows good performance against the target of 9 days.



2020-2021	4.1%
2021-2022	4.4%
Target	Baseline



2019-2020	10.4
2020-2021	8.7
2021-2022	8.8
Target	9.0
Performance	✔

Whilst the baseline is being established and targets agreed for the new measure the established measure of days lost per appointment to sickness will continue to be reported to give a comparative trend and the June figure of 8.8 days shows good performance against the target of 9 days.

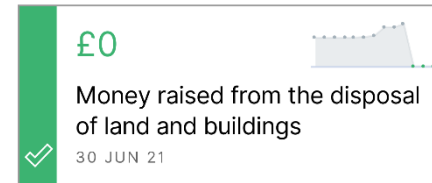
✔ Implemented a programme to centralise the Council’s property assets and budgets to ensure the most effective use of our land and buildings

Rating: Good

Expected completion date: 31 Mar 2022

A report to approve transition to a full 'Corporate Landlord' operating model under the name of 'Asset Optimisation' has been approved by Cabinet. Asset Optimisation brings the responsibility and accountability for land and property asset management, including all budgets and decisions, centralised within Corporate Property. The report also approved creation of a specialist role to produce a detailed and costed timetable for this programme of work. In Quarter 1 an Asset Optimisation panel has been established and a Project Initiation Document with Options Appraisal produced.

The Council expects to raise £3.6m from the disposal of property assets by the end of the year, however no sales were scheduled for Quarter 1.



2019-2020	£2,898,546
2020-2021	£3,961,593
2021-2022	£0
Q1 Target	£0
Performance	✔

★ Kept Council Tax within the lowest 25% of County Council areas and lobbied government to secure a better funding settlement

Rating: Strong

Expected completion date: 31 Mar 2022

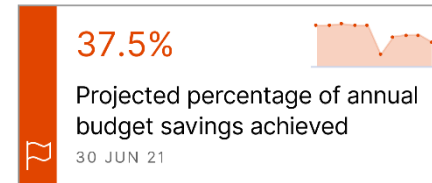
Council Tax for 2021-22 has increased by 2.5%. Compared to the 13 similar county councils, i.e. those without fire and rescue service responsibility, the Council set the 2nd lowest council tax increase. The average percentage increase for similar authorities was 3.81%. The Council had the option to increase the Adult Social Care Precept by 3%, however, the Council opted for a 1% increase with the option to levy the remaining 2% ASC Precept in 2022-23.

📊 Kept on track to achieve all planned budget savings in the medium term

Rating: Action

Expected completion date: 31 Mar 2022

The Quarter 1 position shows a projected achievement of savings of £9.777m, substantially short of the target of £26.059m. Covid-19 has had a significant impact on the savings programme, however additional funding from Government is mitigating the impact of some of the shortfall on the Council’s financial position. Some 2021-22 savings will be achieved in 2022-23 and later; savings targets are being re-profiled to ensure they will be achieved in later years and supported with one off funding until then.



2019-2020	63.5%
2020-2021	49.2%
2021-2022	37.5%
Target	100.0%
Performance	

✅ Demonstrated value for money through excellent procurement and contract management

Rating: Good

Expected completion date: 31 Mar 2022

The latest Value for Money Board (VfM) considered value assessments presented by the Place department on Traffic Management Systems and Childrens Services on Accommodation and Support Services for Children in Care leading to excellent engagement and debate from all members.

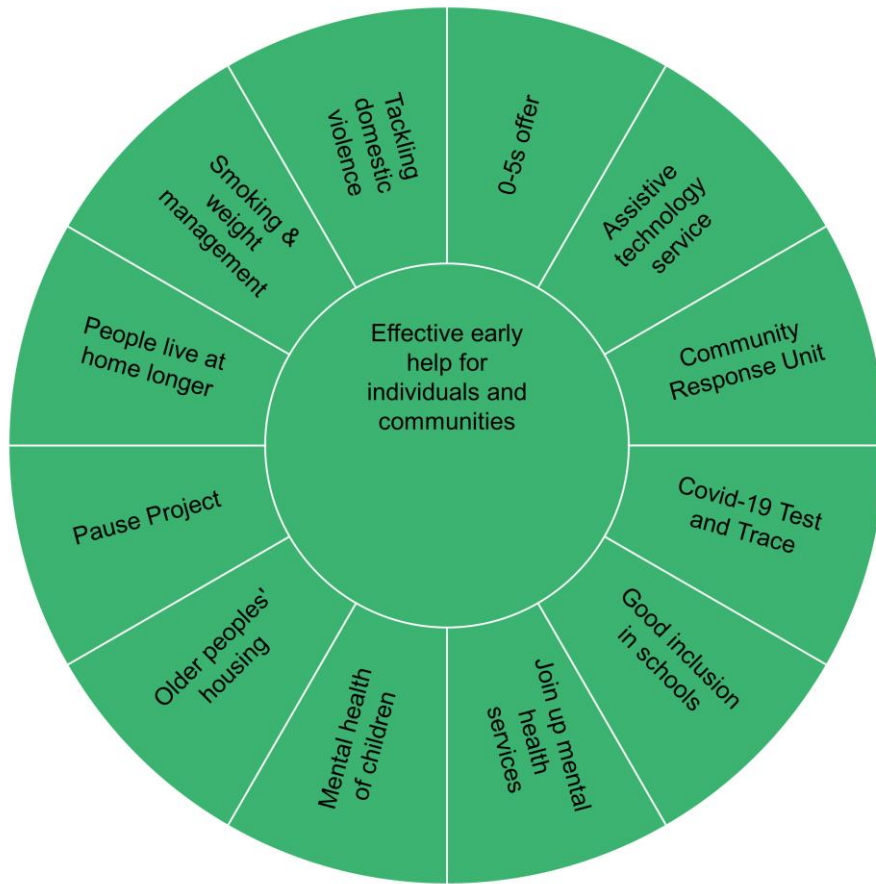
Councillor Spencer is the new chair of the VfM Board and is looking to reconfigure the terms of reference to widen the scope to consider value for money beyond just procurement.

The next meeting in July will have value assessment presentations from Public Health on Adult Integrated Substance Misuse Treatment Service (Drug & Alcohol) and the Print Services Framework .

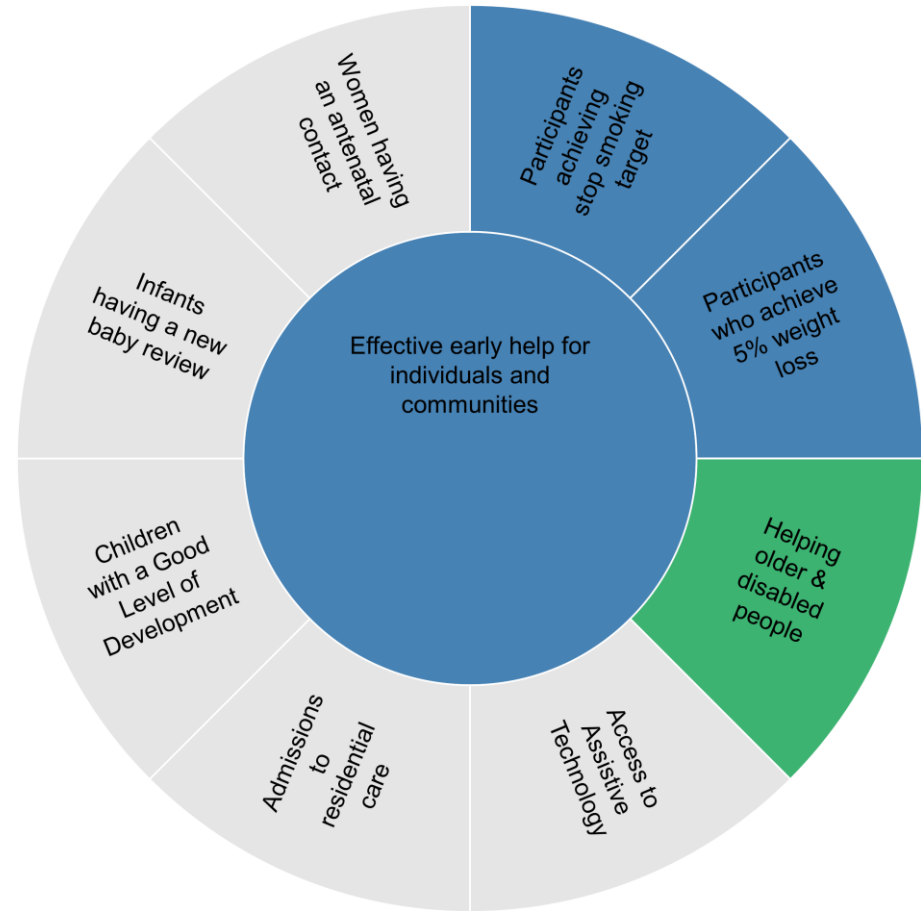
Effective early help for individuals and communities

Overview

Deliverable Progress



Key Measures



Progress is “good” for all of the Council Plan deliverables in this priority, and there is an overall rating of “Strong” based on 3 rated measures.

Progress on our deliverables and key measures

- ✔ **Continued to operate the Community Response Unit, established during the pandemic, as part of our work to improve health and wellbeing**

Rating: Good

Expected completion date: 30 Sep 2021

The Community Response Unit (CRU) are now offering advice and support to people who require transport to vaccinations. It is now integrated into the strategic approach to health and wellbeing and Public Health recovery work. Calls to the CRU have reduced dramatically over the last few months. In the last quarter, the CRU has answered 161 calls and logged 47 requests for help and support. The most requested type of support was with food, finances, emotional support, information or advice and prescriptions.

A questionnaire is being prepared to send out to everyone who has accessed the CRU since March 2020. This is to ask about their experience of using the CRU and what help and support they may need over the next year. This is intended to help shape the CRU for the medium term

- ✔ **Undertaken local Covid-19 testing and contact tracing activity and provided advice to schools, care homes, businesses and communities to help manage the spread and outbreaks of coronavirus**

Rating: Good

Expected completion date: 31 Mar 2022

There have been 6,086 Covid-19 cases in Derbyshire in Quarter 1. The contact tracing team have completed 2,598 calls. These have been a combination of initial contact tracing when the national team were unable to complete cases (prior to 1 June 2021) and enhanced contact tracing. This has included ensuring that all cases are followed up, support is offered, calls to those with a Variant of Concern have been made with additional questions, enhanced contact tracing has been conducted with all care home staff and any districts where rates have risen as identified by the Incident Management Teams. Derbyshire County Council was approved to be a Local 0 local authority and be the first point of contact for all positive cases in Derbyshire from 1 June 2021.

There have been 110 incidents or outbreaks in education settings and 18 in workplaces that have been managed by the Council. Support including detailed risk assessments, clarification of new guidance and individual queries, including from parents, have been given to all education settings. In addition, there have been 6 outbreaks in care homes that Public Health and Adult Care staff have worked with NHS colleagues and providers to manage the outbreak and reduce risk of further transmission.

In Quarter 1 27,385 lateral flow tests for Covid-19 have been conducted through 29 Council-run Community Testing Sites.

Significant COVID incidents responded to in Quarter 1 include:

Targeted testing was conducted on the 3rd and 4th June in Gamesley due to high numbers of cases, spread of the Delta Variant and cases associated with the local primary school. Test kits were hand delivered to every household and a mobile testing unit positioned in the area. This resulted in 970 tests being taken in the area. A significant amount of communication was undertaken with local residents and other key stakeholders within the area to ensure a good uptake of testing.

A large outbreak of over 170 cases at Wilsthorpe School in Erewash required significant resource allocation to work with the school on risk assessments and advice and guidance, enhanced contact tracing across the district, provision of a testing unit on site for all staff, pupils and their households, with a further testing unit deployed for the wider community. A number of cases were reported in other local education settings in the area, and support was also provided to these settings as well to reduce risk of onward transmission. Regular information was also provided to the wider community.

Follow up of Delta variant cases – enhanced surveillance and follow-up was undertaken on initial cases of the Delta variant recorded within Derbyshire. Where required, this included visits to households who were non-contactable by phone, working alongside Environmental Health teams. As a response to the Delta variant, the Council worked with PHE to develop a standardised approach to ensure a timely response to follow-up of cases suspected as being a variant of concern, which has now been fully implemented.

✔ Increased the number of people taking part in stop smoking and weight management programmes

Rating: Good

Expected completion date: 31 Mar 2022

The stop smoking and weight management service continue to be delivered virtually and via telephone due to the impact of Covid-19. The number of people setting a quit date in Quarter 1 (717 quit dates set) is higher than any quarter of 2020-21 and is higher than 2020-21 Quarter 1 (595 quit dates set). To date 348 participants have achieved a 4 week Quit. Based on our average quit rate of 65% the forecast is 466 individuals to achieve a 4 Week Quit for Quarter 1 2021-22, compared to a target for the quarter of 300.

For weight management there were 412 new starters in Quarter 1 and as weight management is a rolling programme it is forecast that 185 people will lose weight,

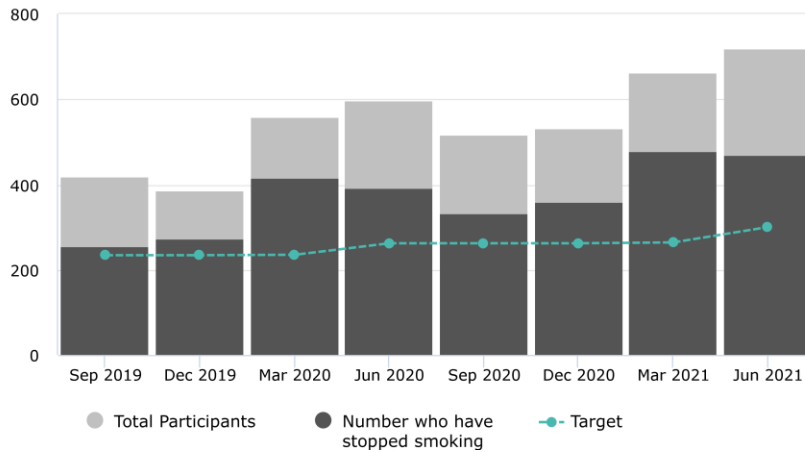
466
Number participants who stop smoking for 4 weeks
30 JUN 21

2019-2020	1,158
2020-2021	1,554
2021-2022	466
Target	300
Performance	

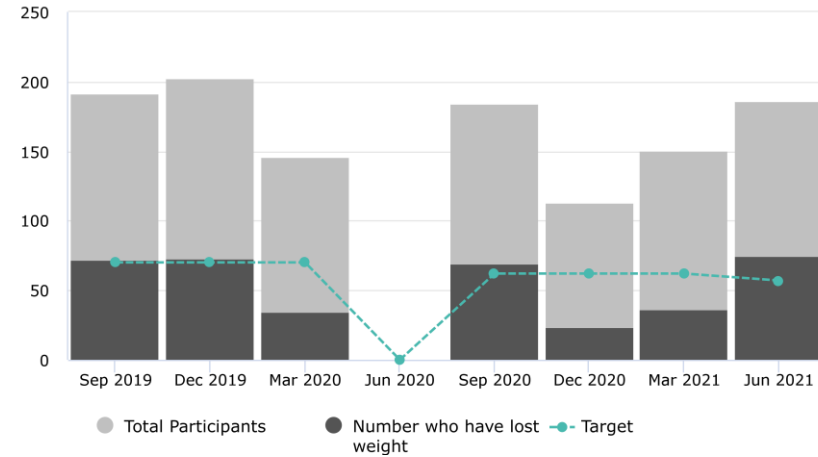
74
Participants who achieve 5% weight loss (projected)
30 JUN 21

2019-2020	232
2020-2021	127
2021-2022	74
Target	57
Performance	

Number of participants who stop smoking



Number of participants who lose weight



with 74 achieving a 5% weight loss, compared to a target of 57 for the quarter. Due to Covid-19 the number of people being referred or self-referring and starting our weight management programme is approximately 50% lower than 2019-20 when the service was delivered face-to-face.

We are working with local Clinical Commissioning Groups to engage GP practices to encourage GPs to make more referrals into the service.

 **Provided training to all schools and education providers to support the emotional wellbeing and mental health of children following the coronavirus pandemic**

Rating: Good

Expected completion date: 31 Mar 2022

To date the Wellbeing for Education Return has delivered training to 218 staff from education settings across Derbyshire including from 123 state-funded primary schools and 11 secondary schools. The Wellbeing for Education Return programme supports staff working in schools and colleges to respond to the additional pressures some children and young people may be feeling as a direct result of the pandemic, as well as to any emotional response they or their teachers may still be experiencing from bereavement, stress, trauma or anxiety over the past months. The training is offered to every school and college in England to help support pupil and student wellbeing, resilience and recovery in the context of Covid-19 and to prevent longer-term mental health problems developing. Nominated staff receive the training through interactive webinars which can then be shared more widely within their school or college. Feedback from Derbyshire schools involved so far is that the training has raised general awareness of wellbeing and mental health and the background to supporting staff, students and the community with a realisation that all schools are experiencing the same things and are doing their best to support the children and the families in the community. Feedback is that the package addresses the current situation well, helpfully signposts online resources and has refreshed ways of thinking when asking for support from other agencies and for supporting the children, their families and staff team

 **Worked with partners to join up existing mental health services to promote positive mental wellbeing and improve support for local people**

Rating: Good

Expected completion date: 30 Sep 2021

Work with partners is ongoing to develop central sources of information through a countywide website and a mapping tool for mental health support groups. A network of mental health sub-groups, aligned with the health and wellbeing partnerships in each district, connects partners to facilitate communication of local opportunities. Information on campaigns, support and opportunities is regularly cascaded out. In Quarter 1, promotion around Mental Health Awareness Week in May and Men's Health Week in June was circulated, plus a specific focus for mental health sub-groups on the emotional wellbeing of children and young people.

✔ Joined up Health Visiting Services and Childrens Centre activities with the NHS to improve service delivery for 0-5s across Derbyshire

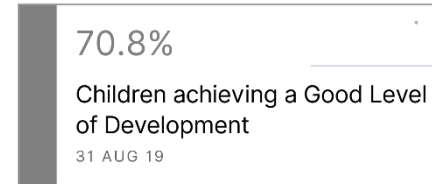
Rating: Good

Expected completion date: 31 Mar 2022

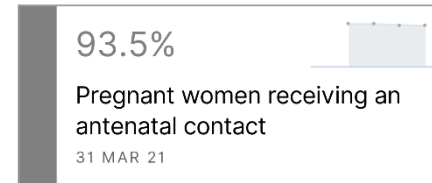
The Strategic Governance Board (SGB) which has oversight of the Section 75 Partnership Agreement between the 0-19 Public Health Nursing Service and Public Health contribution to Children's Centres only met once during the 2020-21 financial year. This was to enable both services as well as Public Health to concentrate resources on responding to the Covid-19 pandemic. The SGB was re-instated fully in March 2021. The main priorities within the SGB are to promote recent changes to vision and hearing screening as well as review the Service Level Agreement in place with Children's Services. Work is also continuing between the 0-19 Public Health Nursing Service and Children's Centres to increase the number of and quality of early help assessments completed.

Early Years Foundation stage assessments to show children's levels of development were cancelled in 2020 due to Covid-19. Assessments are expected to go ahead in August with the target of 71.8% set to be above the national average based on the 2019/20 figure of 70.8%.

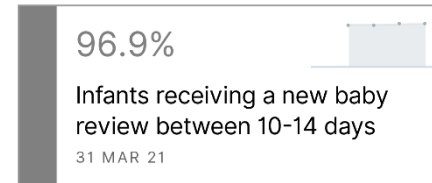
Quarter 1 data for antenatal contact and new baby reviews will be available during Quarter 2. However year end data for 2020-21 show good performance for both with antenatal contacts at 93.5% against the target of 93% and new baby reviews at 69.9% against the target of 94%



2019-2020	70.8%
2020-2021	Cancelled
2021-2022	Due in Q2
Target 21-22	71.8%



2020-2021	93.5%
Target 20-21	93.0%
Performance	✔
Target 21-22	93.0%



2020-2021	96.9%
Target 20-21	94.0%
Performance	✔
Target 21-22	94.0%

✔ Worked with District and Borough Councils and other partners to develop new sites that will increase the amount of age-appropriate accommodation and support for older people

Rating: Good

Expected completion date: 31 Mar 2035

The Property & Services Governance Accommodation Group continue work to identify and map assets including land to support developments as required and a Dashboard/Tracker has been established.

The Interim Older People's Market Position Statement, together with the underpinning engagement survey was approved in June by the Cabinet Member and is available on the Council's website

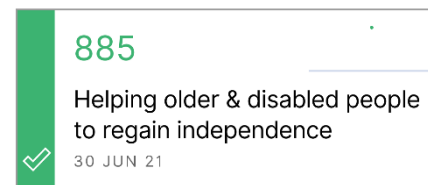
Phase 2 of the Bennerley Fields development work is at an early stage, we are working with the Council's Property Services to develop a design brief for this site, which could include Extra-Care, inter-generational living and affordable homes for Keyworkers.

Finalised the new ways of working with older people and disabled people to increase their independence so that they remain part of their local communities

Rating: Good

Expected completion date: 31 Mar 2023

The Better Lives programme work has continued in Quarter 1 with a focus on embedding the enhanced reablement offer. This new offer is on target to ensure an additional 1,320 people are able to access this service per year. Performance from this quarter shows that the service supported 885 people against a target of 897. Concerning admissions to residential care, whilst data is now available for Quarter 4, and this is showing a downward trend, further work is required to ensure comparisons can be made between 2019-20 to 2020-21 and to set a target for 2021-22. Performance data will be available in Quarter 2.



2021-2022	885
Target	897
Performance	



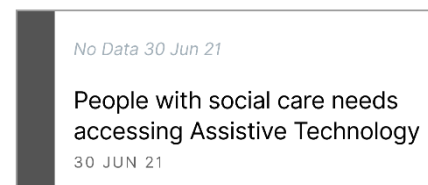
2021-2022	No data
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Commissioned and procured a new assistive technology service offer to support people with social care needs to live independently in the community

Rating: Good

Expected completion date: 31 Mar 2022

The Brain in Hand (BiH) 12 months pilot is progressing well. BiH provides digital self management technology, which, combined with human support, helps people live more independently. As a result of the successful implementation of the pilot some case studies have been identified and will be published in the practice bulletin. 32 referrals have been sent to BiH and there are currently 25 clients using the BiH app. Monthly meetings have now been set up with the BiH team to monitor clients commencing in the next quarter. There has been an increase of 31% (63 clients) in the utilisation of the canary activity monitoring kit, compared to the last quarter (48 clients), this is due to the continuous promotion of the importance and effectiveness of the kit to support social care assessments.



2021-2022	No data
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There are ongoing discussions with the current Assistive Technology (AT) providers (Districts and Boroughs and housing associations) about the future service delivery options for the Assistive Technology offer and the AT Board continues to meet on a monthly basis to progress priorities of the service. The Council Plan measure for AT is not available this quarter due to the ongoing data cleansing exercise currently taking place, the data should be available in Quarter 2.

✓ Developed a needs assessment and strategy to improve arrangements for tackling domestic violence and supporting victims

Rating: Good

Expected completion date: 31 Mar 2022

The Domestic Abuse Act 2021 requires that the Council complete a needs assessment in relation to accommodation based support for victims of domestic abuse and their families and agree a local strategy by October 2021. This will need to be submitted to the Ministry of Housing, Communities and Local Government. This work is well underway and will be completed within the required timescale. Within Derbyshire, the Council, with partners made the decision to expand the scope of the needs assessment to include all aspects of domestic abuse. As such work will be undertaken with partners to develop a wider domestic abuse strategy by March 2022 and the domestic abuse accommodation strategy will be incorporated into this as it develops.

✓ Delivered the 'Pause' programme, to address the needs of more than 50 women who have had multiple children removed into care and to prevent this cycle recurring

Rating: Good

Expected completion date: 30 Sep 2024

The Pause Project has worked with the current group of women since February 2020 and this will be ongoing up to the 18 month end point. Pause has worked with 107 referred women with a range of unmet needs which include domestic violence, housing, debt, mental ill health, substance misuse and children in care. By working systemically and in partnership across a number of organisations and pathways the project has achieved improvements for the women engaged. Data indicates that the greatest presenting need in the last 12 months has been mental health (72%) and domestic violence (63%). 27 women are directly engaged with the Pause programme and 2 women have completed the programme (target being 32) equating to a 91% maintenance rate and this exceeds the contractual threshold of 80%. This involvement with the project is outstanding due to the challenges to models of delivery due to the impact of the pandemic. There have been no further care proceedings; all women are now registered with a GP; 7 women are registered with a dentist and 13 women are now in Education, Training, Employment or Volunteering. 19 of these women have already received 12 months of intensive support.

Qualitative evidence through self-reporting indicates 100% improvement across multiple issues and ongoing working towards individual goal-setting by women. The Pause team comprises 4 practitioners - with an average practitioner caseload of 6-8 people. Recruitment to the next group has begun, with 89 referrals, including from women leaving care. External evaluation of the programme by the University of Sussex will take place throughout 2021.

-  **Worked with partners, including young people and their parents and carers, to produce a set of clear expectations about what good inclusion looks like in mainstream schools across Derbyshire and to define the specialist provision that should be accessible in each locality**

Rating: Good

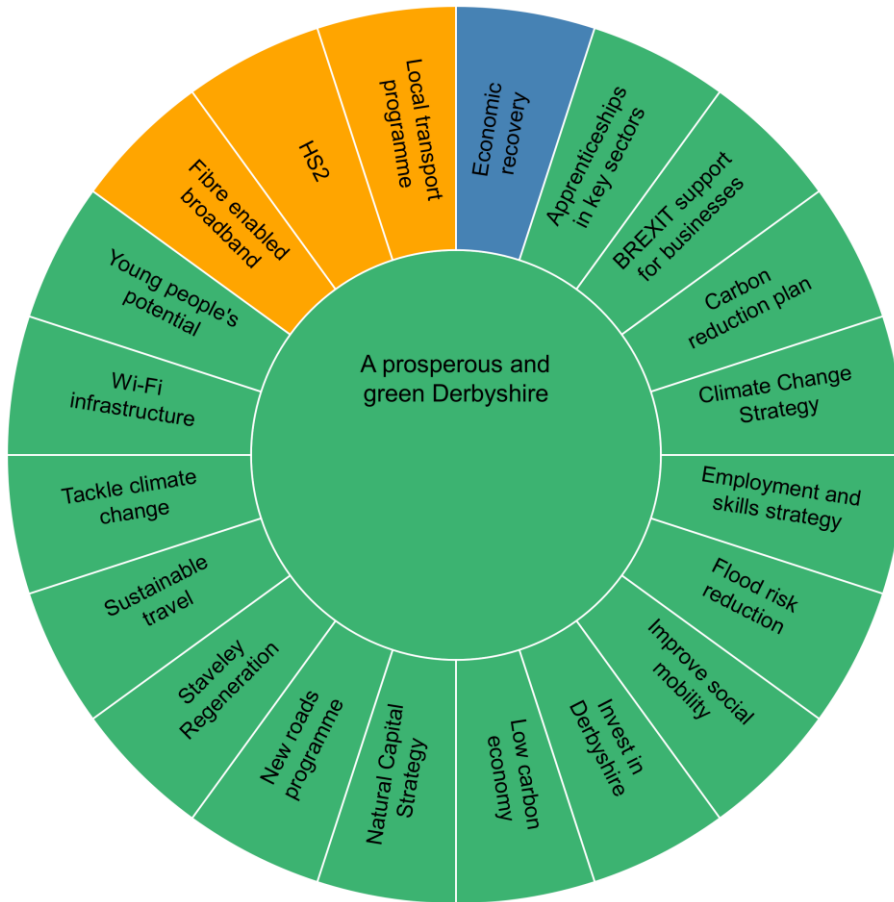
Expected completion date: 31 Mar 2022

Good progress has been made working with partners including young people and their families. The work has been completed and a draft document is now in place. Current activity is focussed on the launch of the document and publicising the expectations which is scheduled for September 2021.

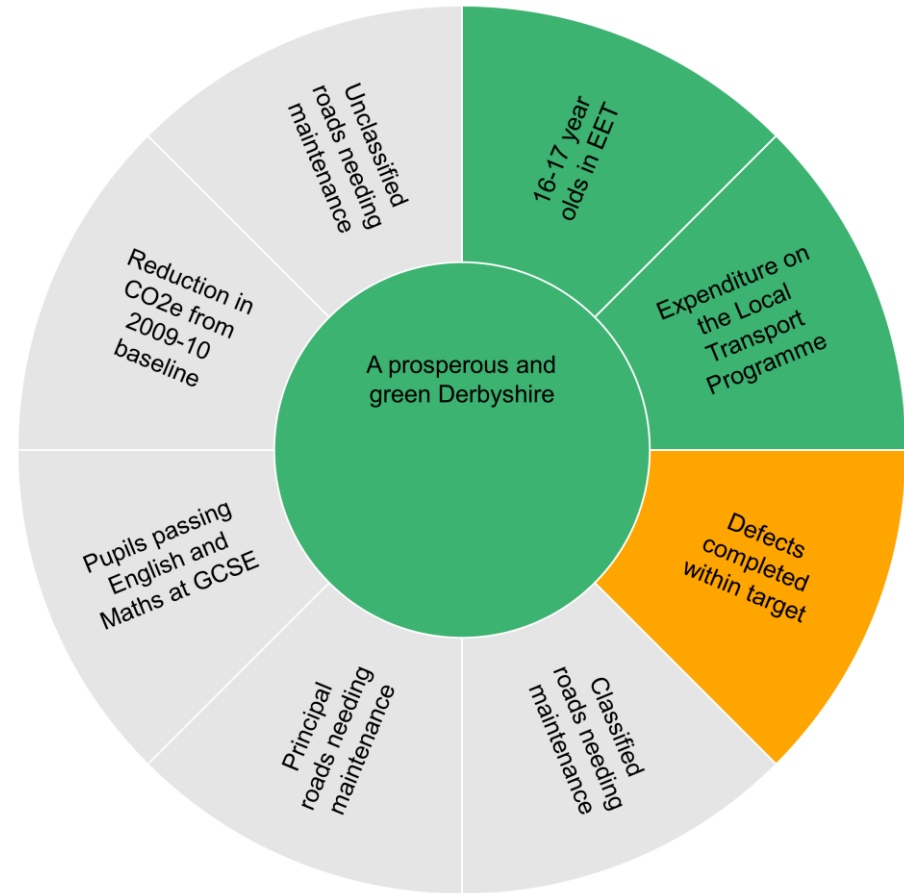
A prosperous and green Derbyshire

Overview

Deliverable Progress



Key Measures



This priority shows overall “Good” progress for deliverables and a “Good” rating based on 3 rated measures.

Progress on our deliverables and key measures

- 🔴 Delivered a £40m Local Transport Programme to provide well maintained roads and highways and address road safety concerns

Rating: Review

Expected completion date: 31 Mar 2022

Reporting of actual spends is difficult for Quarter 1 due to the delay it takes for invoices and costs to come through. A significant ramp up of the pace of delivery is planned in Quarter 2 after which actual spend will be reported.

The capital finance monitoring that has taken place has not lined up with the financial year but for information the spend for the 4 months between 12 February 2021 and 11 June 2021 was £8.8m with an estimated figure of £5m spent during the quarter. Confirmed Quarter 1 figures will be provided in the Quarter 2 report.

Contract arrangements are in place to deliver the significant resurfacing and surface treatment packages of the capital programme.

Surface treatment works have started with approximately £1m delivered so far of the estimated £6m of works required. The remaining £5m is all programmed to be delivered within Quarter 2.

Resurfacing is continuing utilising in-house resources and the pace of delivery will increase as extra resources are brought into the county by a national contractor to enable them to fulfil the requirement of delivering the full programme by 31 March 2022. Similar arrangements are being put in place to deliver the other key packages of work.

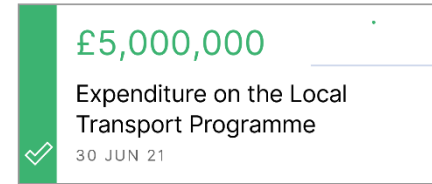
Results of the next Annual Engineering Inspection survey of the highway condition will be available in December and will update the 2020 figures of 17% principal, 17% classified and 27% unclassified roads needing maintenance

Construction is continuing utilising internal resources with firm commitment to programmes of work including footway resurfacing and routine maintenance.

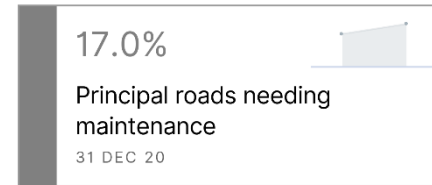
The delivery of surface treatment and resurfacing programmes in forthcoming months is key to adding resilience to the network and preventing and/or minimising damage through the next winter period.

The additional resources employed dealing with potholes on the network has had the desired effect with numbers now down to satisfactory levels.

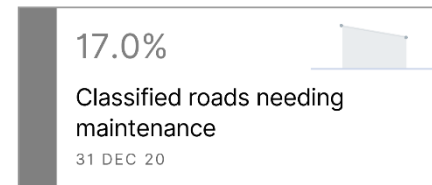
A total of 7,618 defects were fixed in Quarter 1 with 85.6% of defects being completed within target bringing performance nearer to the 90% target and a marked improvement on the 53.4% figure for 2020-21 .



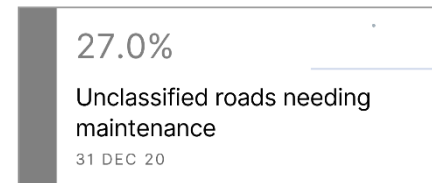
2021-2022	5,000,000
Target	5,000,000
Performance	✓



2019-2020	13.0%
2020-2021	17.0%
Target 20-21	13.0%
Performance	□
2021-2022	Due in Q3
Target 21-22	13.0%



2019-2020	23.0%
2020-2021	17.0%
Target 20-21	23.0%
Performance	★
2021-2022	Due in Q3
Target 21-22	23.0%



2020-2021	27.0%
Target 20-21	31.0%
Performance	★
2021-2022	Due in Q3
Target 21-22	31.0%

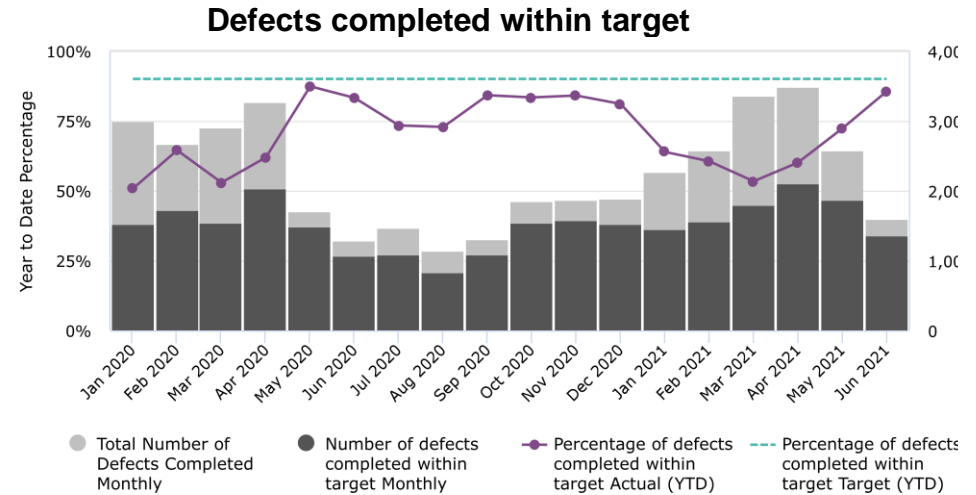


2019-2020	52.9%
2020-2021	53.4%
2021-2022	85.6%
Target	90.0%
Performance	○

✔ Opened the Woodville-Swadlincote Regeneration Route, the Ashbourne Airfield Link Road and Hollis Lane Link Road Phase 1 in Chesterfield to improve road access

Rating: Good Expected completion date: 31 Mar 2022

Woodville-Swadlincote Regeneration Route and Ashbourne Airfield Link Road are both under construction and due for completion/opening to traffic in Quarter 3 2021-22. The first phase of the Ashbourne Airfield Link Road, a new roundabout on the A52, was completed in Quarter 1 2021-22. The first element of the Hollis Lane Link Road, a replacement pedestrian ramp and steps, was completed in Quarter 1 2021-22; remaining highway construction is programmed to begin in Quarter 1 2022-23 following land assembly.



🟡 Prepared a countywide response to the Integrated Rail Plan in relation to HS2, minimising any potential disruption and taking full advantage of the economic growth opportunities linked to the proposals

Rating: Review Expected completion date: 30 Sep 2021

The Integrated Rail Plan is yet to be published. This is now not expected to be released until September 2021. In the meantime lobbying by the HS2 East group continues with stakeholders to support the completion of the project including the eastern leg. The alternative proposal study was completed in June 2021 and proposed that if the whole project is not completed HS2 services should use Erewash Valley and Barrowhill lines to provide services in Derbyshire.

✔ Completed the viability assessment to progress the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area

Rating: Good Expected completion date: 31 Dec 2021

An updated value for money assessment of the scheme was carried out in Quarter 1. This enables discussion with external funders over the grant request to be included in the Outline Business Case.

✓ Reduced carbon emissions from Council property and vehicles, street lighting and procurement

Rating: Good

Expected completion date: 31 Mar 2032

Emissions data is not yet available for Quarter 1 of 2021-22 and data for energy use in property and core fleet for 2020-21 will not be available until the autumn.

However, some data is available for 2020-21. Greenhouse gas emissions from streetlighting were 3,667 tonnes CO2e. This is a reduction of 80% from the baseline year of 2009-10. 1,002 tonnes CO2e were emitted from the Council's grey fleet – a reduction of 78%. This reflects the impact of Covid restrictions on staff travel and new targets have been set to reflect this extremely encouraging reduction and the future opportunities from changed ways of working. However, caution should be attached to this as staff travel has been severely affected by the Covid pandemic and there may be some late travel claims.



2019-2020	55.3%
Target 21-22	63.0%

As part of the development of the Climate Change Strategy the reduction in emissions target for 2021-22 has been increased to 63% of the 2009-10 baseline.

✓ Reduced the level of flood risk to the residents and businesses of Derbyshire through our planning role, the delivery of flood mitigation schemes and working with communities to develop flood resilience measures

Rating: Good

Expected completion date: 31 Mar 2022

The Flood Risk team continues to respond to significant numbers of Planning Consultations and Flooding enquiries. The Lower Hartshay Flood Risk mitigation scheme was completed, affording an enhanced level of protection from flooding to 10 properties. The initial feasibility work for the Eyam/Stoney Middleton and Rosliston Natural Flood Risk Management schemes has been completed.

The Flood Risk Team has also managed the Property Flood Resilience Grant Scheme, and with the Property Surveys now complete (92 in total), residents and business can start to install the required flood resilience measures over the coming months.

✓ Developed and commenced implementation of a Climate Change Strategy and Action Plan which sets out priorities to reduce the county's carbon emissions

Rating: Good

Expected completion date: 31 Oct 2021

The Council is finalising the Climate Change Strategy and Action Plan setting out the Council's priorities and next steps to tackle climate change. Consultants are being appointed to develop a Natural Capital Strategy for the county which complements this work. Funding is being sought to produce a renewable energy study to support the revision of district and borough local plans.

✔ **Developed and agreed the Council's approach to Good Growth to maximise low carbon economic opportunities**

Rating: Good

Expected completion date: 31 Mar 2022

£2m Green Entrepreneur Fund to support the development of low carbon businesses was launched in March 2021. The fund is now operational.

✔ **Developed the Natural Capital Strategy, identifying areas where the natural environment can be further enhanced in order to support healthy thriving communities, a vibrant economy and a healthy environment**

Rating: Good

Expected completion date: 30 Apr 2022

We have tendered for the strategy with 15 good responses. The tenders are to be shortlisted and a consultant will be appointed at the end of July 2021. The work will be delivered over a 9 month period, due to commence in September 2021.

✔ **Explored initiatives to tackle climate change including low carbon local energy generation and working with partners to provide further publicly accessible electric vehicle charge points**

Rating: Good

Expected completion date: 31 Mar 2022

A soft market test exercise is being prepared to determine demand for electric vehicles over the next 5 years. This exercise will also determine where infrastructure needs to be located to help meet this demand. A procurement exercise will follow, likely by Quarter 3. Also 5 local district and borough councils (Amber Valley; Bolsover; Chesterfield; South Derbyshire and Derbyshire Dales) have submitted locations for assessment to determine suitability for further grant funding from the Office for Zero Emission Vehicles (OZEV). The outcome of these assessments and the subsequent applications to OZEV is likely to be known by Quarter 4.

✔ **Developed and delivered a strategic approach to sustainable travel and transport across the county, including the promotion of cycling and walking**

Rating: Good

Expected completion date: 31 Mar 2022


Public consultation on the new east to west cycle route across Chesterfield, as part of Emergency Active Travel Tranche 2 funding, is now complete and a report to Cabinet with results and recommendations is due at the end of July 2021. The Active Travel Fund Tranche 3 process is now underway with a sifting exercise now complete and 7 potential schemes put forward for consideration. An application is due to be made to the Department for Transport on 9 August 2021. Confirmation is also awaited from the Department for Transport regarding Derbyshire's allocation of revenue from the Capability Fund. This will help fund a series of feasibility studies targeting cycling and walking initiatives across the county. Confirmation is expected during July 2021. A feasibility study on Transport Mobility Hubs, centred on both Buxton and the Hope Valley, has been undertaken following grant support through Midlands Connect.

Hubs will enable transport interchanges ensuring people can access various means of co-ordinated transport including trains, buses, and electronic bikes.

 **Implemented year 1 of the Covid-19 Economic Recovery Strategy Action Plan including rolling out a new £1m Business Start-up programme to support business growth and a £2m Green Entrepreneurs scheme to support innovation in low carbon technologies**

Rating: Strong **Expected completion date: 31 Mar 2022**

The Green Entrepreneur fund is now active and small grant and demonstrator fund applications are being processed. The Scholarship fund will be launched in July 2021. Through Vision Derbyshire 3 business advisers have commenced work in July 2021, with further posts to be advertised shortly for an additional 2 advisers. The Growth hub project is to be extended to June 2023, providing an extra 18 months business advice and support. Business support is a key theme within the Community Renewal Fund; this is a government scheme which the Council manages for Derbyshire. Projects have been shortlisted for business support projects which cover High Peak and Derbyshire Dales (2 government priority areas). Government is expected to confirm the funding for these projects in July 2021.

 **Implemented year 1 of the Covid-19 Employment and Skills Recovery Action Plan including delivery and expansion of a careers hub and development and implementation of a youth hub**


Rating: Good **Expected completion date: 31 Mar 2022**

Good progress continues to be made in delivering the Council's Employment and Skills Recovery Action Plan. The expansion of the My Future's Platform and Careers hubs are being launched officially from September, but some elements are currently live. The platform is being extended to include primary school. Vision Derbyshire has agreed to fund a Festival of Business to be held in the Autumn. The quarter was dominated by the delivery of a bidding round for Community Renewal Fund, which resulted in a bid to Government for £6 million to support recovery. The outcome from this is due in the Summer.

 **Developed and secured funding to implement Wi-Fi infrastructure in 27 town centres to better understand how town centres are being used, to help shape future economic renewal programmes**

Rating: Good **Expected completion date: 31 Mar 2022**

The Community Renewal Fund within the market town programme strand will enable Wifi in 6 town centres. This is a key strand of activity (subject to government approval of funding)

 **Implemented the gigabit top up voucher scheme and increased take-up of fibre enabled broadband across Derbyshire, particularly in rural areas, to improve access, speed and reliability for homes and businesses**

Rating: Review

Expected completion date: 31 Dec 2021

Openreach have commenced work to deliver Full Fibre connectivity to an additional 300 premises under contract 2. The change request to support this additional work is still going through the Department of Digital, Culture, Media and Sport (DCMS) assurance process. It is anticipated that this work will be completed by the end of Quarter 2. We will then enter into the contract closure process for the Superfast Programme. DCMS experienced delays with the launch of the new Gigabit Voucher scheme and there has been a period of three months where suppliers were unable to register new voucher applications for community fibre projects in Derbyshire. We are advised that registration will commence 12 July 2021. As a result of this delay there is no Top Up Voucher activity to report for Quarter 1.

 **Provided support and advice to local businesses as the UK leaves the European Union, helping them to maximise new opportunities and ensure compliance with relevant legislation**

Rating: Good

Expected completion date: 31 Mar 2022

Bespoke support has been provided directly to Derbyshire businesses, via one-to-one advice, where particular legislative issues have been identified. In addition the service has introduced amended documentation that provides signposting information for clients. This has included targeted mailings to sectors potentially affected by changes to legislation as a result of the European Union Exit, reaching over 300 businesses.

 **Attracted more businesses to relocate to Derbyshire or expand through delivery of the “Invest in Derbyshire” plan**

Rating: Good

Expected completion date: 30 Sep 2022

An investment prospectus for the county is under development. A 300,000 sq ft Lidl bottling plant, creating 160 jobs at Dove Valley park has been confirmed. The programme is achieving over 85% of spend and outputs against the investment target. A request has been made to Ministry of Housing, Communities and Local Government to extend the programme. A review board chaired by Councillor King is to be established to review international and inward investment strategies.

 **Worked with partners to develop a county wide approach to improve social mobility, targeting underperforming areas across the county**

Rating: Good

Expected completion date: 31 Mar 2022

Work is currently being undertaken to investigate existing models of partnership approaches from across the country to address social mobility along with developing a better understanding of the issues affecting underperforming areas across the county. Social mobility is a key priority for the Vision Derbyshire Achieve Relentless Ambition theme and will form part of the new delivery programme that is being developed for Phase 4 of the approach.

✔ Worked with schools and other education providers to implement new strategies and support, to enable children and young people to achieve their educational potential and begin to catch up on learning they have missed due to Covid-19 restrictions

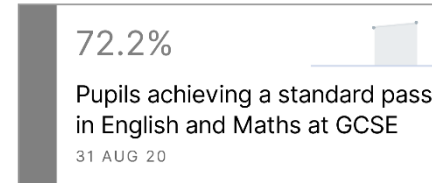
Rating: Good

Expected completion date: 30 Jun 2022

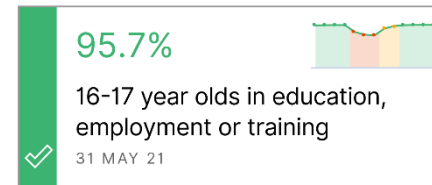
The government has funded children and young people across England to be offered up to 100 million hours of free tuition to help them catch up on learning lost during the pandemic. This includes 15-hour tutoring courses for disadvantaged school children delivered on a one-to-one or small group basis targeting key subjects such as Maths and English. Take up of the offer through the national providers has been low in Derbyshire as well as across the country. In order to rectify this, from September, schools will be able to provide additional tutoring support using locally employed tutors including their own staff. As part of the wider recovery package to support children with their mental health and wellbeing and to catch up on missed face-to-face learning, £200m of funding is available for secondary schools to deliver face-to-face summer schools this year. Almost all Derbyshire Local Authority maintained secondary schools have signed up to deliver the programme.

The GCSE target of 65.9% represents the figure required to remain in the upper-middle national quartile and will be adjusted to reflect the 2021 national results and any adjustments to the exams.

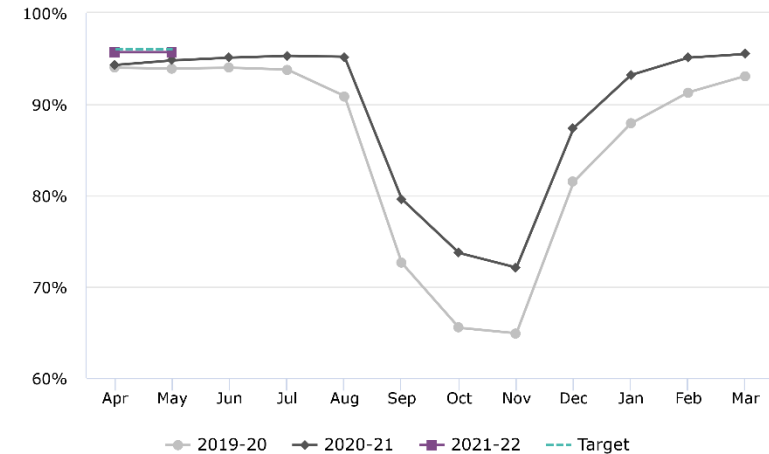
The percentage of 17-18 year olds in employment, education and training has a significant seasonal variation due to school leaving however the May figure of 95.7 % is just below the year end target of 96% and higher than the same period in the previous two years.



2019-2020	65.4%
2020-2021	72.2%
2021-2022	Due in Q2
Target 21-22	65.9%
National Benchmark	65.9%



2019-2020	93.1%
2020-2021	95.5%
2021-2022	95.7%
Target	96.0%
Performance	✔



 **Worked with Derbyshire businesses to support the creation of apprenticeship opportunities in key economic sectors, connecting people to local job opportunities**

Rating: Good

Expected completion date: 31 Mar 2022

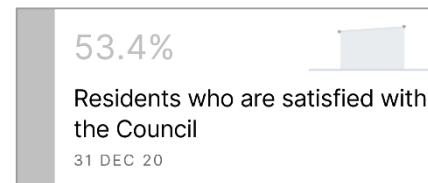
To date via the transfer of Apprenticeship levy we have supported 130 apprentices from 78 different employers across Derbyshire. The total value of funds committed to support this is £960,501.

Overarching Measures

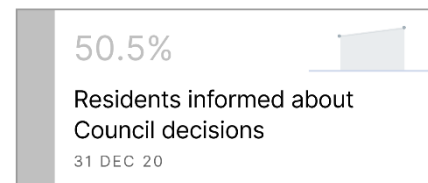
The three overarching measures provide an overview of the performance of the Council using the snapshot taken during Quarter 3 2020-21, via the Council's annual residents survey.

The 2020-21 survey received over 2,000 responses and showed that 53.4% of respondents were satisfied with the Council, 50.5% felt informed about Council decisions and 38.9% agreed that the Council provides value for money. Targets for 2021-22 have been set based on the previous performance trends and where comparable the figure from the Local Government Association national survey. The Council's targets for 2021-22 are 58% for satisfaction, 52% for people feeling informed about Council decisions and 43% for value for money.

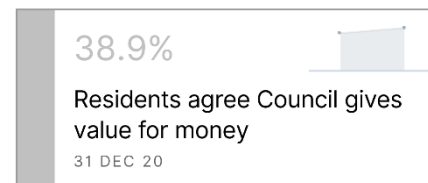
Work continued to take place across the Council, as detailed in this report, to support the achievement of these targets, with key areas being the further development of a resident first approach and the review and transformation of services to improve outcomes and value for money.



2019-2020	48.1%
2020-2021	53.4%
Target 20-21	49.0%
Performance	
2021-2022	Due in Q3
Target 21-22	58.0%
National Benchmark	68.0%



2019-2020	40.8%
2020-2021	50.5%
Target 20-21	43.0%
Performance	
2021-2022	Due in Q3
Target 21-22	52.0%



2019-2020	34.0%
2020-2021	38.9%
Target 20-21	40.0%
Performance	
2021-2022	Due in Q3
Target 21-22	43.0%
National Benchmark	53.0%






Notes

This report outlines progress on deliverables and key measures as outlined in the Council Plan 2021-25. The following table lists the deliverables and measures not included in this report, or where changes have been made:

Deliverables	Reporting
Developed our understanding of the resident experience when interacting with the Council, using that insight to improve our approach	This deliverable is expected to start on 1 July 2021, therefore reporting on progress will be included in the Quarter 2 report.
Delivered Phase 3 of Vision Derbyshire including activity on business support, climate change, homelessness, independent living and skills and employment priorities, creating new arrangements to speed up joint decision making with partners	This deliverable is expected to start on 1 October 2021, therefore reporting on progress will be included in the Quarter 3 report.
Implemented new national performance measures for Adult Social Care to benchmark across the sector to improve outcomes for local people and drive value for money	The start date for this deliverable is dependent on the outcome of a national review
Measures	Reporting
Measure on local COVID-19 testing and contract tracing activity	A national performance measure has not been identified, however information on the volume of testing and contract tracing activity supported by the Council is included in this report
Number of services available online via the Council's Customer Relationship Management System	Performance against this measure will be reported once implementation of the Council's Customer Relationship Management system has commenced

Measures	Reporting
Proportion of children’s social care audit judgements (including children in care and care leavers) graded good or better	This measure has changed to “Percentage of children’s social care reflective case review judgements (including children in care and care leavers) rated as “Good” or “Outstanding””
Number of children returning home after a period of being in care	This measure has changed to “Percentage of children returning home after a period of being in care”

Key

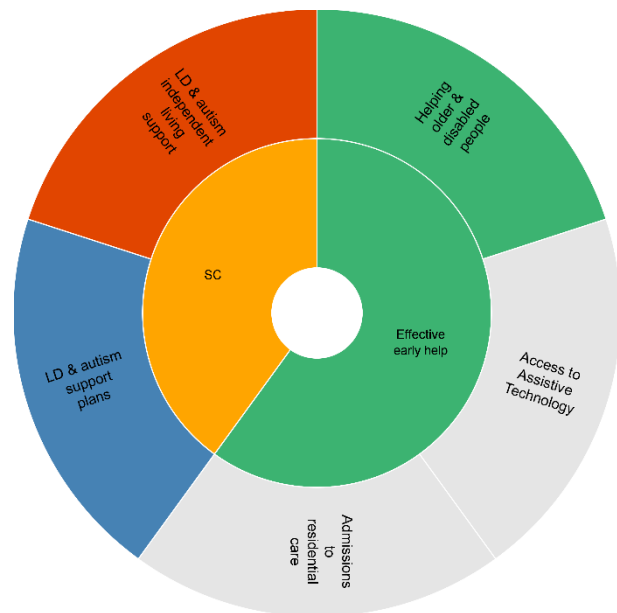
	Deliverables	Measures
	Strong – performing strongly	Strong – more than 5% better than target (2% better than target if the target is greater than 95%).
	Good – performing well	Good
	Review – will be kept under review to ensure performance is brought back on track	Review – more than 2% worse than target.
	Action – additional action will be/is being taken to bring performance is brought back on track	Action – more than 10% worse than target.
	No commentary has been received	Data not available/Target not set.

Adult Care - Portfolio Summary

Deliverable Progress



Key Measures Against Target



Key Strong Good Review Action Data not available/Target not set

The progress of the relevant Council Plan deliverables has been reviewed and four have been rated as "good" and one as "review".

Key areas of success are:

- The central hub of practitioners is successfully working alongside people with a learning disability and/or who are autistic to ensure an outcome focused support plan is in place and a number of people have chosen to access support in the community.
- The Short Term Reablement Service is meeting the target to support more people to access the service ensuring more people can gain back their independence following a crisis or discharge from hospital.

Key areas for consideration are:

- The number of people with a learning disability and/or who are autistic being supported to move to a more independent setting is behind target. The national lockdowns due to the Covid-19 pandemic have impacted and plans are now in place, given national easements, to ensure further people move to a more independent setting.
- Work is underway to establish performance targets for Assistive Technology and support for older people and disabled people to remain living within their own homes.

There is a forecast overspend of £1.038m on the portfolio after the allocation of £4.661m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme. Before the allocation of this funding, the main variances are:

Forecast Outturn against Target Budget



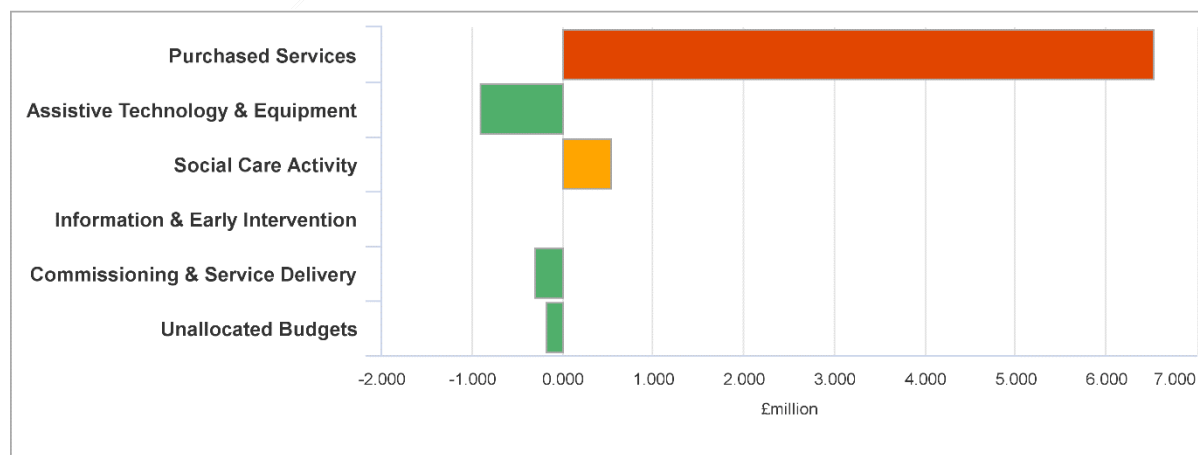
- **Purchased Services, £6.533m overspend** – due to additional costs relating to the hospital discharge services as a result of the Covid-19 pandemic. It also relates to the number of new care packages required to be provided to assessed individuals and the high level of voids (low occupancy) being carried within the Council’s in-house service provision. The Better Lives Project is currently working on a number of areas that directly relate to the level of spend on Purchased Services with reductions expected in this area over the coming years.

- ✓ **Assistive Technology and Equipment, £0.901m underspend** – relates to the Integrated Community Equipment Service (ICES) contract.

- **Social Care Activity, £0.542m overspend** – due to increased spend on Agency staff and independent persons.

- ✓ **Commissioning and Service Delivery, £0.310m underspend** – due to a reduction in expenditure on apprenticeships.

Forecast Under (-)/Over Spend by Service Line



One-off funding of £1.500m, identified in the 2021-22 Revenue Budget Report to fund the development of an Assistive Technology Service, has been

transferred from the Adult Care portfolio to the Risk Management budget. The amount was no longer required after Adult Care transferred this amount from its 2020-21 underspend to an earmarked reserve to fund this initiative.

Ongoing funding of £0.585 relating to Transition Funding approved in the Council's 2020-21 Revenue Budget allocation for Demographic Growth has been transferred from the Adult Care portfolio to the Risk Management budget. This amount had not been utilised as at 31 March 2021.

The budget savings target for 2021-22 is £7.441m, with a further £1.894m target brought forward from previous years. Of this total target of £9.335m, £5.122m is expected to be achieved by the end of the financial year. Therefore, there is currently a £4.213m forecast shortfall in achievement of budget savings. However, this shortfall is underpinned by an allocation of £4.257m of one-off funding to provide flexibility to achieve the target in 2022-23.

Additional funding has been provided in the 2021-22 budget for the main growth items:

- Budget Support - £4.257m (one-off), provided to the Adult Social Care and Health department to provide flexibility to plan to achieve the 2021-22 savings target in later years.
- Demographic Growth - £2.794m, to meet additional costs from increases in the 65+ population, the number of disabled adults accessing services, cases of early onset of dementia, the complexity of need and the complexity of clients transitioning from Children's Services.
- Independent Living Fund (ILF) - £2.534m (one-off), support pending receipt of any further information on whether the Government will continue to provide funding in 2021-22 to support clients previously supported through the ILF.
- Assistive Technology - £1.500m (one-off), to pump prime the development of a county wide Assistive Technology service.

Other significant additional items of funding that the portfolio is expected to receive or has received since the budget was set are:

- Social Care Contingency - £1.000m, from the Social Care Contingency provided in the 2021-22 budget, to support the increase in the cost of Purchased Services including transition cases and independent sector care fees.

Clean Growth and Regeneration - Portfolio Summary

Deliverable Progress



Key ★ Strong ✓ Good ◯ Review 📄 Action ■ Data not available/Target not set

Progress is "Good" or "Strong" for all of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- An updated value for money assessment of the Chesterfield to Staveley Regeneration Route has been carried out that will create new homes and jobs for the area.
- Greenhouse gas emissions from streetlighting have reduced by 80% from the baseline year of 2009-10.
- CO2 emissions from the Council's grey fleet has seen a reduction of 78%, reflecting the impact of Covid-19 restrictions on staff travel.
- Bespoke Brexit support has reached over 300 businesses either through direct support or signposting information.
- To date via the transfer of Apprenticeship levy we have supported 130 apprentices from 78 different employers across Derbyshire.

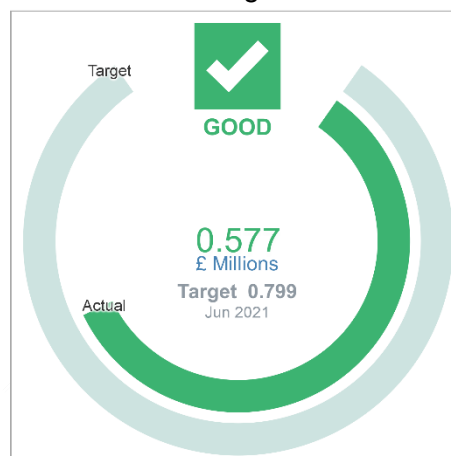
Key areas for consideration are:

- Business support projects which cover High Peak and Derbyshire Dales (2 government priority areas) are awaiting government approval through the Community Renewal Fund.

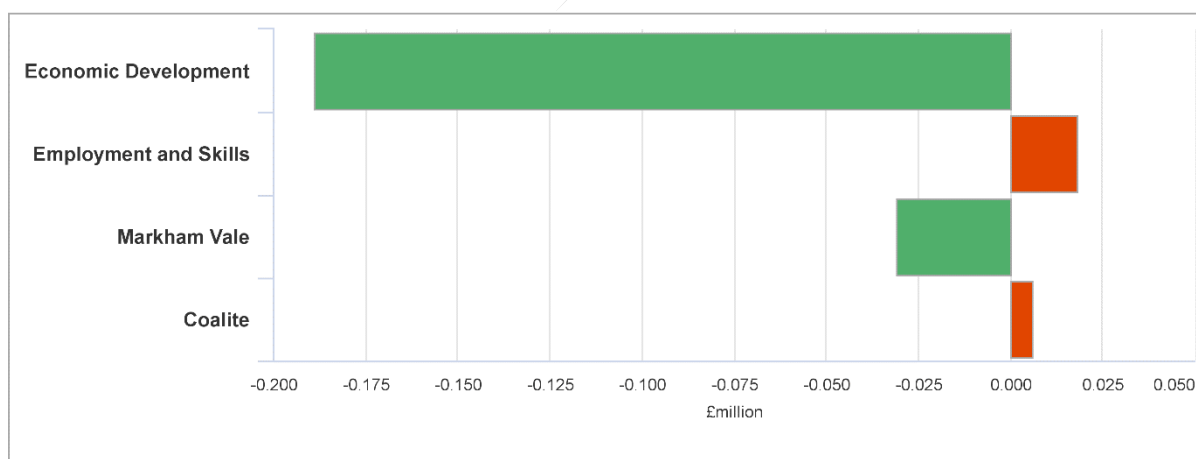
There is a forecast underspend of £0.222m on the portfolio after the allocation of £0.026m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme. Before the allocation of this funding, the main variances are:

- ✓ Economic Development, £0.189m underspend – due to the deferral of many planned projects and activities as a result of the Covid-19 pandemic.

Forecast Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2021-22 is £0.330m. All of this target is expected to be achieved by the end of the financial year.

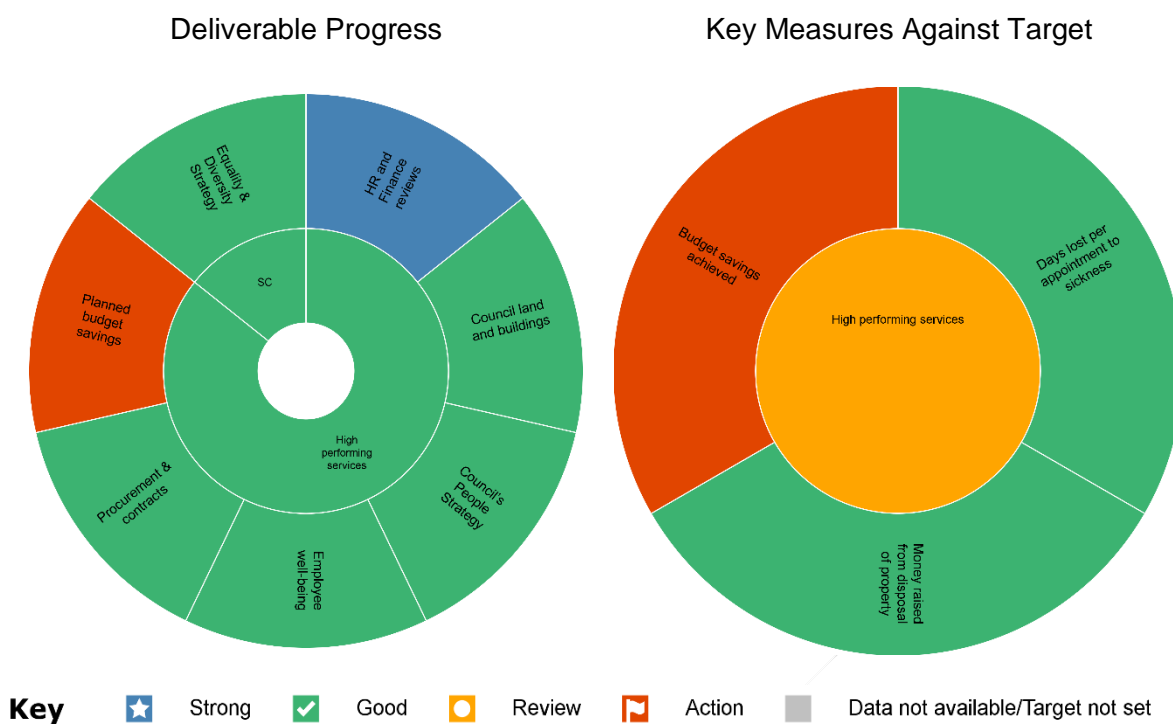
Additional funding has been provided in the 2021-22 budget for the main growth items:

- Regeneration Kick-Start - £2.000m (one-off), preliminary design and feasibility to allow external grant funding to be sought for capital projects

that can bring forward good growth for Derbyshire: providing housing, jobs and skills.

- HS2 - £0.250m (one-off), to support the Council's project delivery team and relevant specialist support to increase its activity during the parliamentary bill process, which will establish how the line will be built, the designs of key elements of infrastructure as well as other measures which HS2 will need to include in the final project.
- Employment and Skills £0.070m - Employment and Skills have been highlighted as a critical part of the Covid-19 recovery for Derbyshire. Additional funding is required to deliver on these priorities.

Corporate Services and Budget - Portfolio Summary



Progress is "Good" or "Strong" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- Reviews of Human Resources and Finance functions are on track and will deliver expected savings.
- The number of days lost to sickness is within the lower target established for 2021-22 and a new measure to show the percentage of hours lost has been established.
- The terms of reference for the Value for Money Board are being widened to consider areas beyond just procurement.

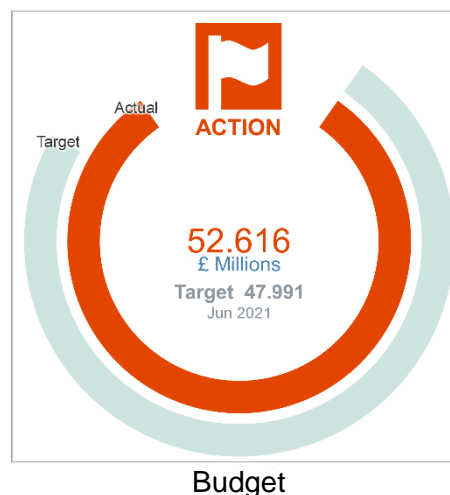
Key areas for consideration are:

- Quarter 1 figures for the overall Council budget savings are £16.282m below target.

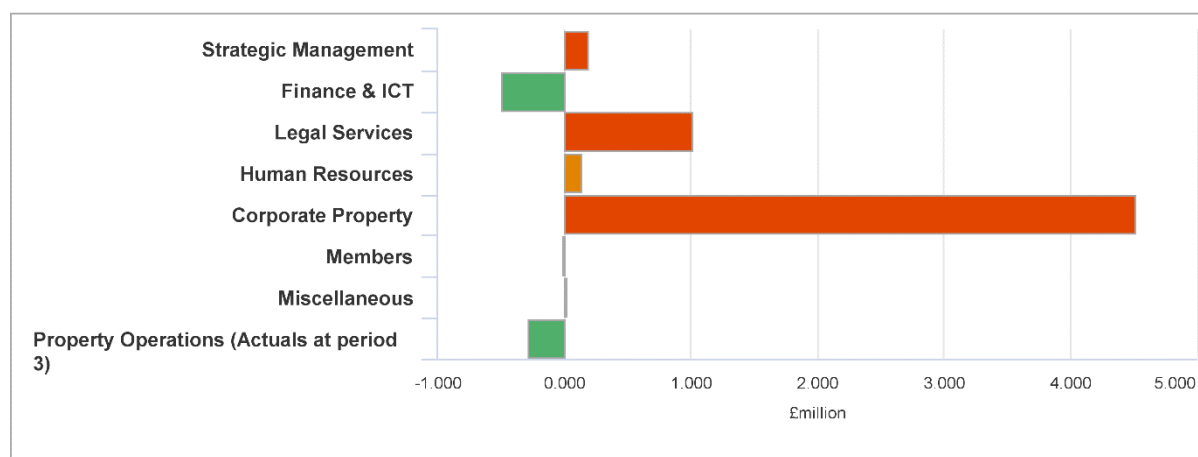
There is a forecast overspend of £4.625m on the portfolio after the allocation of £0.480m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme. Before the allocation of this funding, the main variances are:

- Corporate Property, £4.519m overspend – mainly due to £2.419m of savings targets which are not expected to be achieved this year on top of a budget that was already insufficient to cover current costs by £1.000m. Industrial Development is £0.459m overspent as units cannot achieve 100% occupancy and some rents are offered at below market rates for occupying charities or rent-free periods in exchange for tenants undertaking essential repair and maintenance work. There is a £0.269m overspend on County Buildings due to the running costs of buildings that are awaiting disposal, such as South Normanton Hub.
- Legal Services, £1.014m overspend - due to a delay in the implementation of the “Core/Core-Plus” offer. Under the offer, core legal functions are funded corporately, with all other legal activities funded by alternative means. It is anticipated that the new operating model will be implemented in September 2021. Other contributing factors include salary overspends relating to the Childcare and Environmental/Commercial teams, agency payments, one-off payment of allowances, and a savings target in Democratic Services which has yet to be identified.
- ✓ Finance and ICT, £0.492m underspend - due to vacancies arising from phase 2 of a planned restructure of the ICT function, aiming to deliver significant savings over the three years from 2020-21.
- Human Resources, £0.132m overspend – due to lost training income as a result of the Covid-19 pandemic.

Forecast Outturn against Target



Forecast Actual Under (-)/Over Spend by Service Line

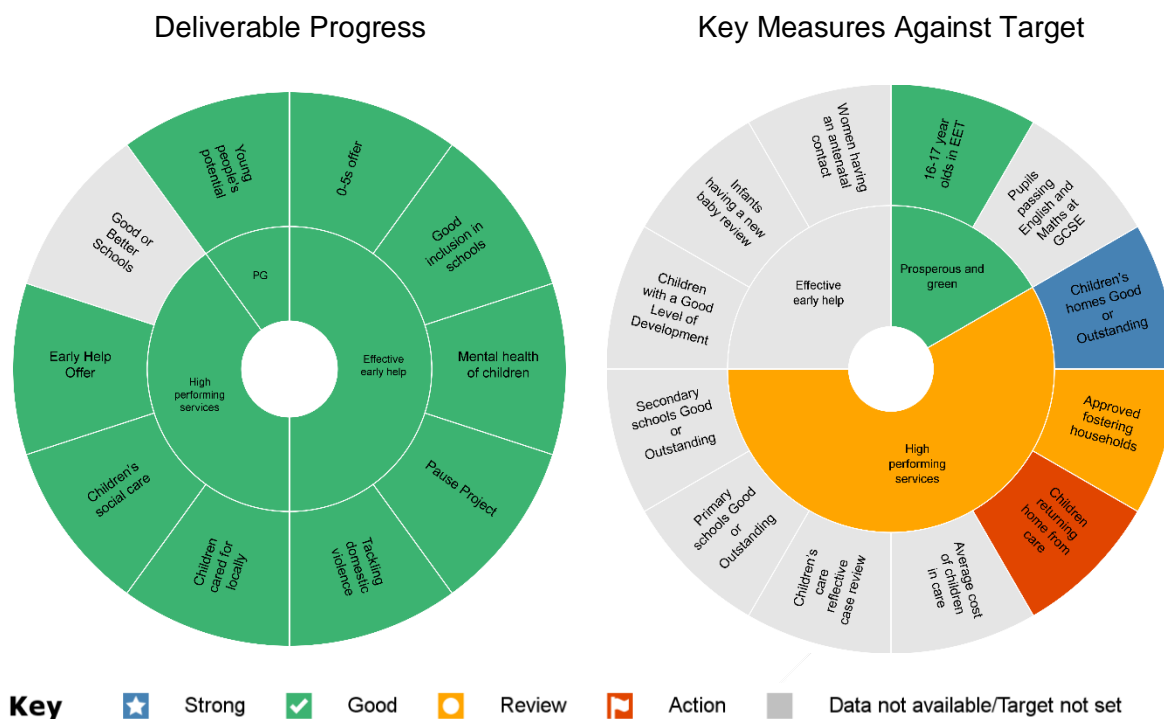


The budget savings target for 2021-22 is £2.197m, with a further £3.363m target brought forward from previous years. Of this total target of £5.560m, £1.163m is expected to be achieved by the end of the financial year. Therefore, there is currently a £4.397m forecast shortfall in achievement of budget savings. However, this shortfall is partially underpinned by an allocation of £0.390m of one-off funding to provide flexibility to achieve some of the target in 2022-23.

Additional funding has been provided in the 2021-22 budget for the main growth items:

- ICT Telephony - £0.433m, to implement a soft telephony solution with the flexibility required to meet the needs for agile and remote working.
- Legal Services - £0.300m (one-off), to support with sustained increase in demand for all services, especially for childcare legal advice and representation.
- Budget Support - £0.390m (one-off) provided to the Commissioning, Communities and Policy department to provide flexibility to plan to achieve the 2021-22 savings target in later years.
- ICT Strategy - £0.200m, to enhance the ICT Service offering to embed remote working to support an agile and flexible workforce.
- Digitisation of Employment Records - £0.100m (one-off), to save employment records in a digital format. This will reduce off-site storage costs and reduce the ongoing cost of maintaining and accessing records.
- ICT Customer Service Platform - £0.075m, investment in a new customer service system to support the ICT Service Desk and self-service offering.

Children’s Services and Safeguarding - Portfolio Summary



Progress is "Good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- The availability of high quality children’s homes – following the re-starting of the inspection regime for children’s homes, all ten of Derbyshire’s children’s homes which have been inspected are now rated good or outstanding. Two additional homes are awaiting their first inspection.
- Pause Project – aimed at supporting the needs of women who have had multiple children removed with the aim of preventing this cycle recurring. This programme is now delivering clear, measurable impact for the women involved across multiple areas of their life. The referral process for the second cohort of women has progressed and the project remains on track.
- Consistent, high quality early help and safeguarding services for children and families - we continue to see positive impact from the changes we have implemented across early help and safeguarding services with improving practice consistency and solid performance across a range of performance measures. We have changed the way we audit and grade our social care cases this year with the introduction of reflective case reviews. These will provide the measure for demonstrating improving consistency going forward.

Key areas for consideration are:

- Implement recommendations to reduce demand, improve outcomes and reduce expenditure across Children's Services – Good progress is being made during the design phase with the implementation phase on target to start in September 2021. Following implementation, this work will help us to address the challenges we face in identifying sufficient, local, high quality placements for children in care. Two indicators identified as a reflection of this impact include increasing the proportion of children returning home to live with parents, where this is safe and appropriate, and reducing the average cost of children in care placements.

The portfolio has a forecast overspend of £5.768m after the allocation of £4.850m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme.

The forecast outturn position includes £1.112m of Dedicated Schools Grant income, as a contribution to the cost of supporting Early Help services and children with additional needs. Income from this source is not guaranteed to continue at the same level in future years.






Forecast Outturn against Target Budget



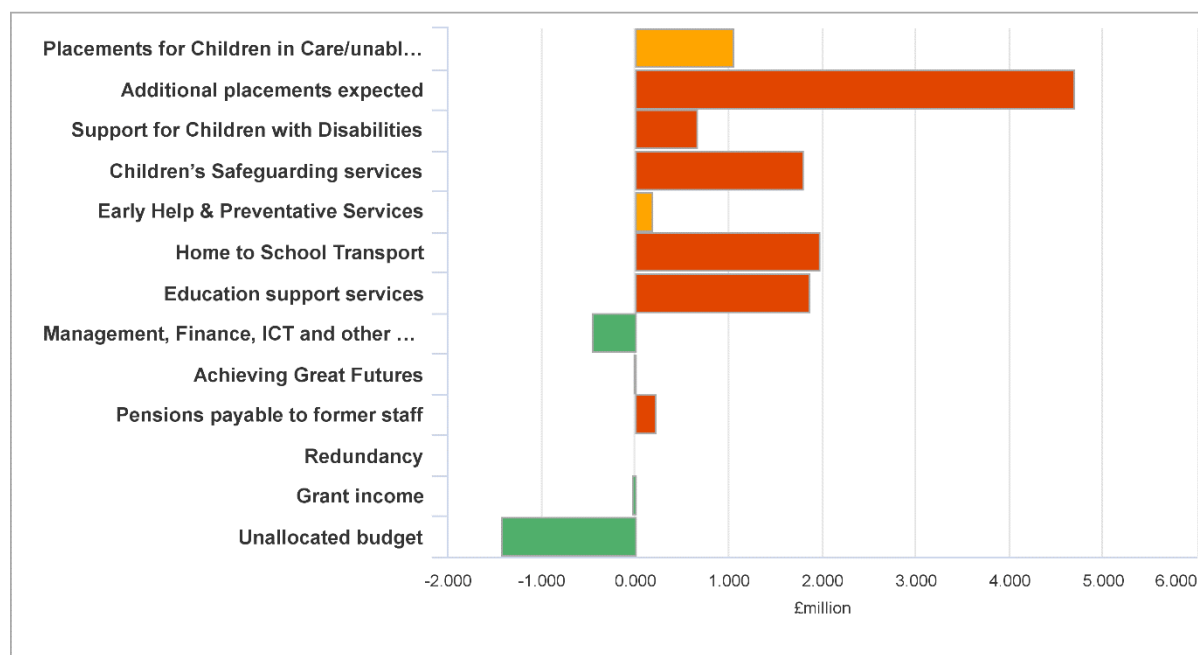
Before the allocation of the Covid-19 grant funding detailed above, the main variances are:

- Placements for Children in Care (or unable to remain at home), £5.761m overspend – there are currently more placements required than can be funded from the allocated budget. The needs of individual children and the availability of placements has also meant that there are an increased number of children placed in both more expensive fostering arrangements and more expensive residential provision. The 2020 Childrens Social Care Forecast Assessment Programme from Grant Thornton (GT) estimated that placements expenditure would exceed allocated budget by between £7m and £16m in 2021-22. GT predicted rising cost and demand pressures continuing over the next two financial years. Like many other councils across England, there has been a higher rate of increase in placements following Covid-19 lockdown periods; it is estimated that an additional £1.6m of costs are related to the pandemic.
- Home to School Transport, £1.965m overspend – an increase in the number of journeys and the average cost per journey being provided,

mostly in respect of children with special educational needs (SEN). Additional specialised vehicles are required to transport individual children and economic factors are affecting contractors.

-  Education Support Services, £1.869m overspend – the ability of the Sport and Outdoor Education service (SORE) to undertake commercial income generation activities has been severely limited by the Covid-19 pandemic. Income losses from April to June 2021 will be included in a claim for funding under the Sales, Fees and Charges compensation scheme.
-  Children’s Safeguarding Services, £1.803m overspend – demand pressures from greater numbers of children in care and children in need; including children in care support expenditure, support for families in need to avoid care admissions and legal services costs. Also, the increased cost of employing agency social workers to cover vacancies and the market supplement for front line social workers.
-  Unallocated Budget, £1.411m underspend – amounts which have not yet been allocated to services. These comprise in-year grant funding, of which the largest unallocated item is £1.112m from the Dedicated Schools Grant. The continuation of these funding streams beyond 31 March 2022 have not been confirmed.
-  Management, ICT, Business services and other support services, £0.411m underspend – temporary vacancies within Business Services.
-  Pensions Payable to Former Teachers and Other Staff, £0.232m overspend – enhanced pension obligations payable to staff who left under efficiency programmes during the early 1990s. Whilst the number of pensions being paid reduces each year, this is outweighed by the annual increase to those pensions still in payment.

Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2021-22 is £0.085m, with a further £1.965m target brought forward from previous years. Of this total target of £2.050m, £0.411m is expected to be achieved by the end of the financial year. Therefore, there is currently a £1.639m forecast shortfall in achievement of budget savings.

Additional funding has been provided in the 2021-22 budget for the main growth items:

- Placement Demand Pressures - £5.400m, to support the increase in the demand for placements and the increasing complexity of children and young peoples' needs. This is consistent with increases in demand experienced nationally.
- Social Worker Recruitment - £1.300m, as part of a four-year recruitment plan, agreed in 2018-19, to increase the number of social workers to ensure caseloads are at a healthy working level consistent with an individual worker's experience.
- Children in Care Legal Proceedings - £0.950m (one-off), reflecting the greater number of court proceedings and the increased use of external legal firms to present cases.
- Home to School Transport (Mainstream) - £0.680m, to cover the increased costs in the sector of fuel, salaries and compliance requirements.
- Home to School Transport (SEN) - £0.620m, to support the increased cost of transporting children and young people to school, pupil resource units or alternative provision when they have been excluded from mainstream schools. The additional cost of service provision is driven

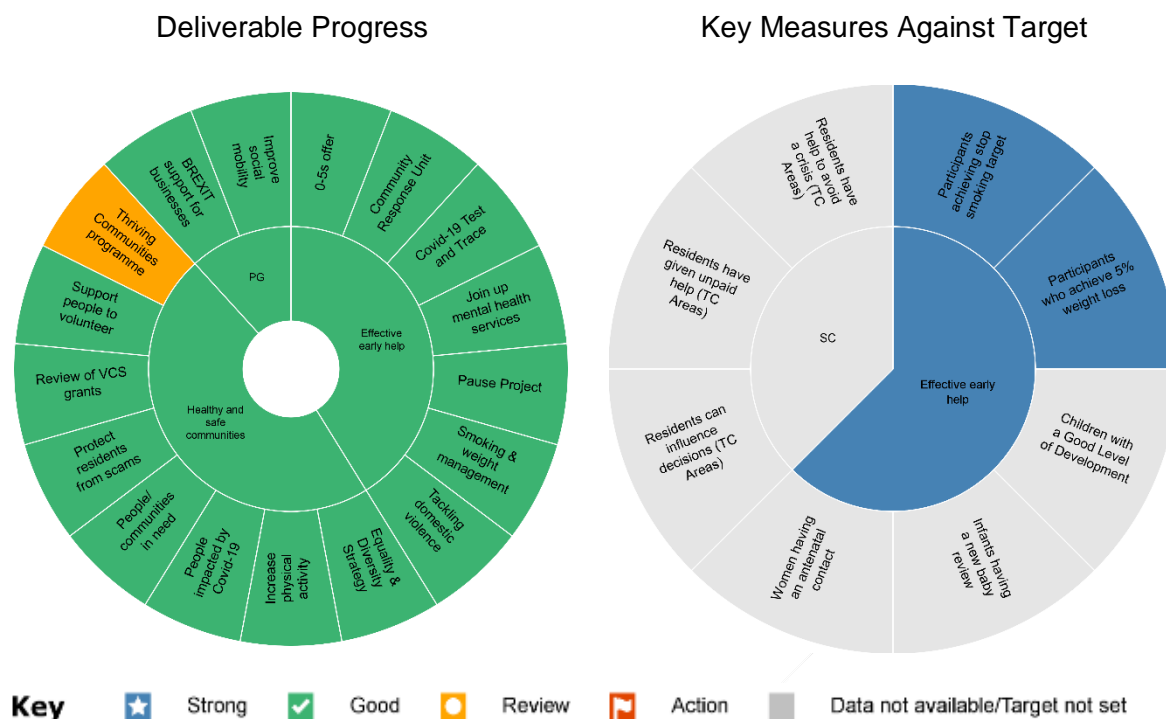
by greater numbers of children and young people with special educational needs an increased cost of journeys.

- Care Leavers - £0.510m (one-off), to meet the cost of additional statutory duties towards care leavers.
- Sports and Outdoor Education (SORE) - £0.362m (one-off), to support the service during 2021-22 pending a review of the needs of the service moving forwards.
- Programme Teams - £0.333m (one-off), to continue dedicated project resource to effect change and deliver one-off initiatives within Children's Services.
- Process Improvement - £0.193m (one-off), to fund a dedicated team to review and improve processes within Children's Services.
- Participation - £0.177m (one-off), to develop a strategic network to replace Derbyshire Youth Council, to increase participation in development of SEND services by children and families in Derbyshire, to increase the participation of care-leavers and to maintain current levels of participation from other children and young people. This allocation covers work proposed for 2021-22 and 2022-23.

Other significant additional items of funding that the portfolio is expected to receive or has received since the budget was set are:

- Social Care Contingency - £9.000m to support the increase in the demand for placements from the Social Care Contingency provided in the 2021-22 budget
- Achieving Great Futures - £5.685m (one-off) from the general reserve, to fund the Children's Services transformation programme that will run over the 2021-22 and 2022-23 financial years.

Health and Communities - Portfolio Summary



Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

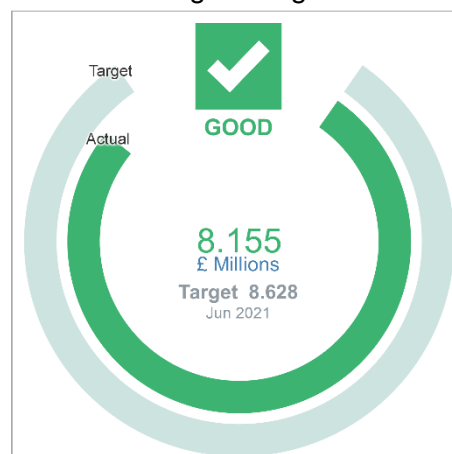
- For Public Health, the performance against key deliverables is good.
- The Active Derbyshire Network is being expanded through the development of a talking space virtual platform which engage more physical activity partners and target activity with people who are currently inactive to help tackle health inequalities.
- Public Health continues to provide support to those impacted by the pandemic alongside Local Resilience Forum partners. 2,598 local contact tracing calls were completed in Quarter 1 and many of these were locally led rather than being by the national team. Public Health responded to a significant outbreak in Erewash and in High Peak and was part of a multi-agency team that undertook targeted local surge testing in these areas.
- The Community Response Unit is supporting individuals to access vaccinations for Covid-19 by providing practical support, such as access to transport where required. The Community Response Unit is now being embedded into the Public Health strategic approach to health and wellbeing across Derbyshire's communities.
- Despite concerns regarding performance in the previous quarter, both weight management and and stop smoking support are now rated as strong. The service continues to be delivered virtually, but more

people are setting a quit date than in any quarter in 2020-21 and 348 people have achieved a four week quit. Live Life Better Derbyshire continue to encourage primary care to make referrals to weight management services to increase the number of people participating in this programme.

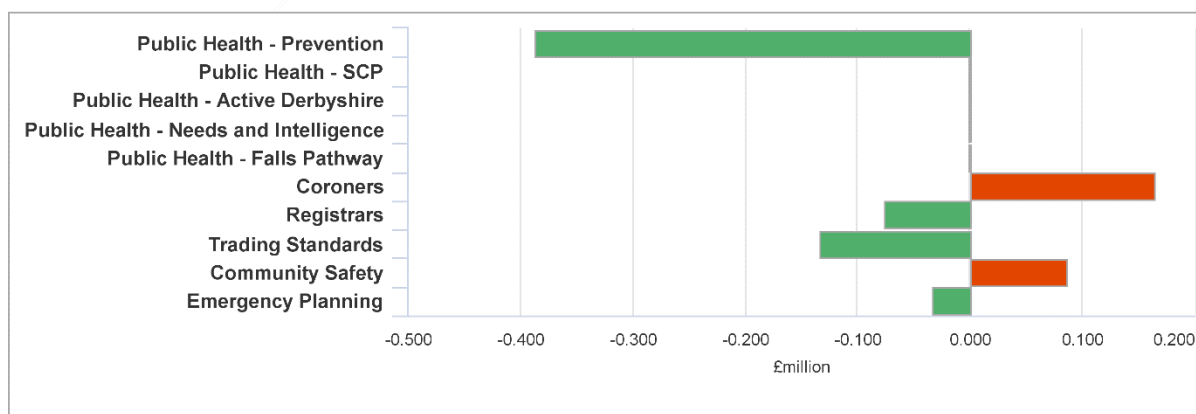
There is a forecast underspend of £0.473m on the portfolio after the allocation of £0.095m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme. Before the allocation of this funding, the main variances are:

- ✔ Prevention, £0.387m underspend – additional contribution from Public Health Grant towards the Disabled Employment Team, Local Area Co-ordinators, Time Swap and Pensions Credit project.
- ✘ Coroners, £0.165m overspend – residual running costs on old premises, a backlog of invoices relating to Pathology fees and an increase in post-mortems and other professional services. There is also a recognition that the basis of apportionment of Coroners’ costs between the Council and Derby City Council needs to be renegotiated, which may result in a change in the Council’s contribution, and therefore may result in there being a Coroners’ underspend for 2021-22. It is expected that this position will be clarified in Quarter 2.
- ✔ Trading Standards, £0.133m underspend – due to vacancy control. This will assist in managing a planned restructure of the function.

Forecast Actual Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line

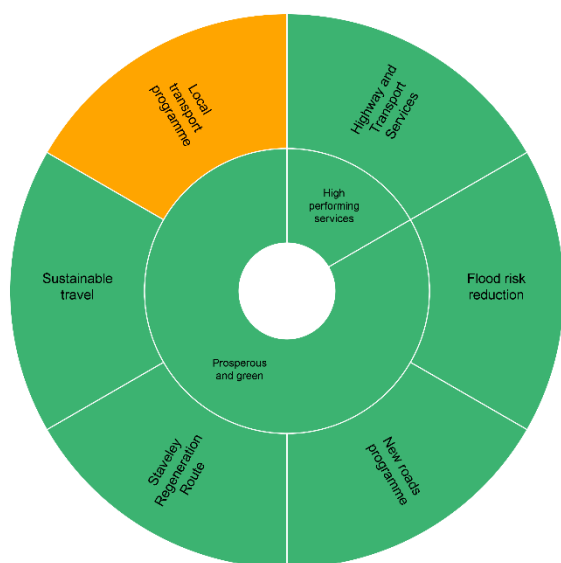


The budget savings target for 2021-22 is £0.271m. An over-achievement of budget savings of £0.016m has been brought forward, resulting in total reductions to be achieved of £0.255m at the start of the financial year. Of this target, £0.213m is expected to be achieved by the end of the financial year. Therefore, there is currently a £0.042m forecast shortfall in achievement of budget savings.

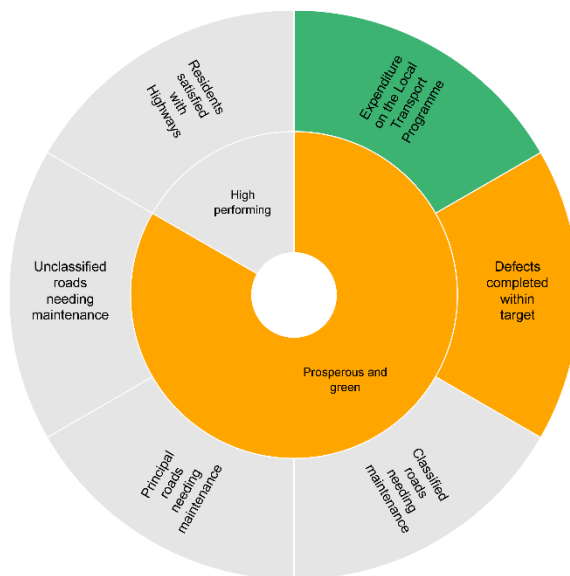
No additional funding has been provided to this portfolio in the 2021-22 budget for growth items.

Highways Assets and Transport - Portfolio Summary

Deliverable Progress



Key Measures Against Target



Key Strong Good Review Action Data not available/Target not set

Progress is "Good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- An updated value for money assessment of the Chesterfield to Staveley Regeneration Route has been carried out, with the project creating new homes and jobs for the area.
- Woodville-Swadlincote Regeneration Route and Ashbourne Airfield Link Road are both under construction and due for completion/opening to traffic in Quarter 3, 2021-22.
- The Property Flood Resilience Grant Scheme has enabled 92 Properties to be surveyed with flood resilience measures now being implemented.

Key areas for consideration are:

- **Local Transport Plan Programme** – during the Quarter 1, Highways works totalling an estimated value of £5m have been undertaken. The exact figure will be confirmed in the Quarter 2 report.

Contract arrangements are in place to deliver significant resurfacing and surface treatment packages as part of this year's capital programme. Surface treatment works have commenced with approximately £1m delivered during the Quarter with a further £5m programme of works scheduled to be completed during Quarter 2. The delivery of surface treatment and resurfacing programmes in forthcoming months is key to adding resilience to the network and preventing and/or minimising damage through the next winter period.

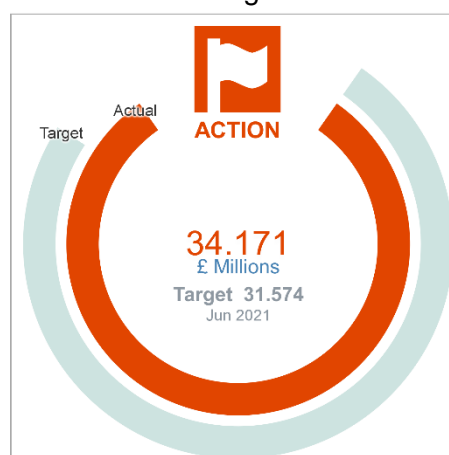
Resurfacing is continuing utilising in-house resources and the pace of delivery will increase as extra resources are brought into the county by a national contractor to enable the delivery of the full programme of works by 31 March 2022.

The additional resources employed dealing with potholes on the network has seen a reduction in the numbers to more manageable levels, with a total of 7,618 road defects being repaired during Quarter1. 85.6% of defects were completed within target, bringing performance nearer to the 90% target and a marked improvement on the 53.4% figure for 2020-21.

The portfolio has a forecast overspend of £2.597m after the allocation of £0.899m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme. Before the allocation of this funding, the main variances are:

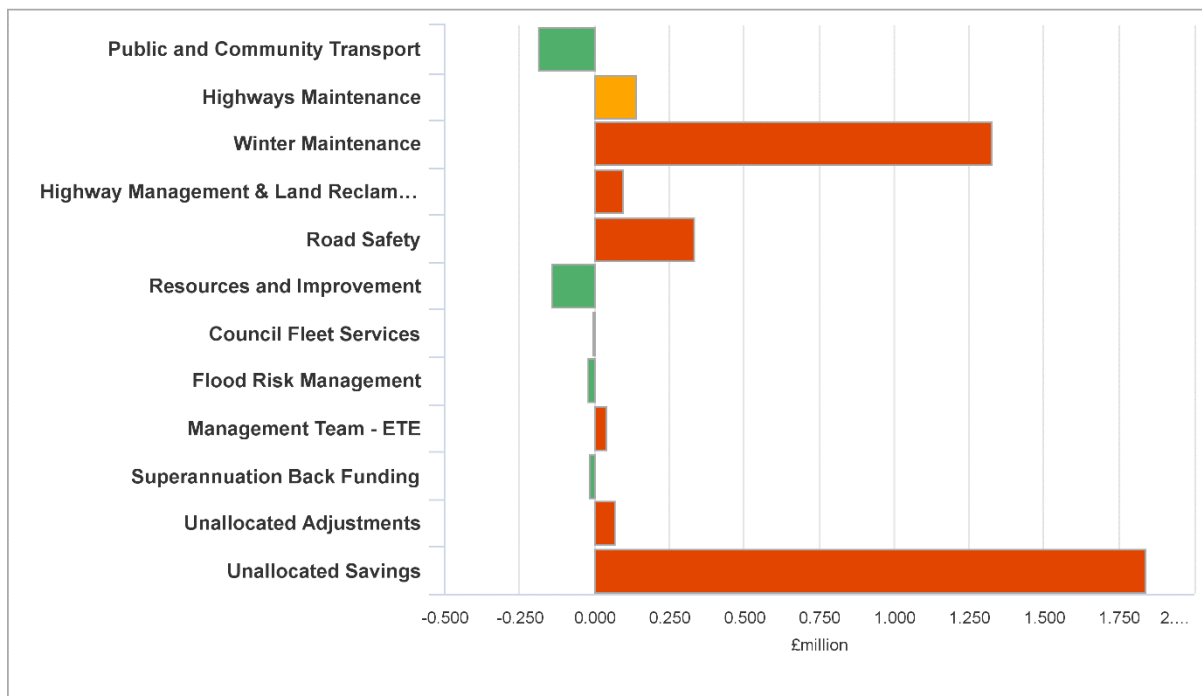
- ❑ Unallocated Budget Savings, £1.842m overspend – savings targets not yet allocated to specific services.
- ❑ Winter Maintenance, £1.327m overspend – the Council has to build up a large stockpile of grit salt to comply with Department for Transport recommendations, based on an average year, prior to the onset of winter. The vehicles associated with gritting are a high fixed cost overhead, irrespective of the severity of the conditions. The Winter Service budget of £2.173m does not provide for more than a mild winter, so the Council is reliant on using contingency reserves for additional costs incurred as a result of a moderate or severe winter.

Forecast Outturn against Target Budget



- Road Safety, £0.334m overspend – implementation of a savings initiative, based on additional income being generated, has been delayed as legislation has yet to be enacted.

Forecast Under (-)/Over Spend by Service Line



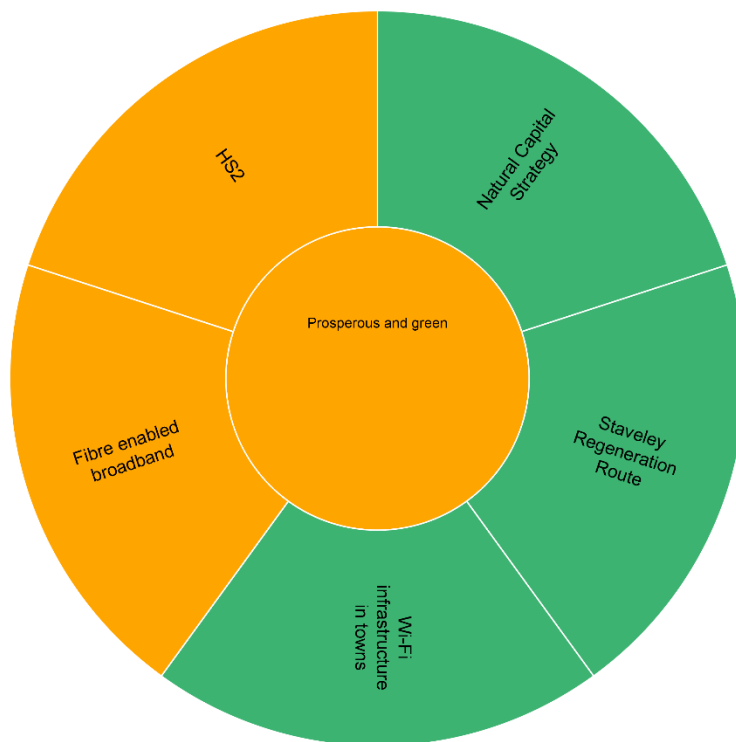
The budget savings target for 2021-22 is £1.239m, with a further £1.842m target brought forward from previous years. Of this total target of £3.081m, £0.175m is expected to be achieved by the end of the financial year. Therefore, there is currently a £2.906m forecast shortfall in achievement of budget savings.

Additional funding has been provided in the 2021-22 budget for the main growth items:

- Winter Maintenance - £0.700m, to realign the winter maintenance budget so it more accurately reflects winter maintenance expenditure required in a mild winter.

Infrastructure and Environment - Portfolio Summary

Deliverable Progress



Key Strong Good Review Action Data not available/Target not set

Progress is "Good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- An updated value for money assessment of the Chesterfield to Staveley Regeneration Route has been carried out, with the project creating new homes and jobs for the area.
- Tender responses have been received for the Natural Capital Strategy with a consultant to be appointed in July 2021.

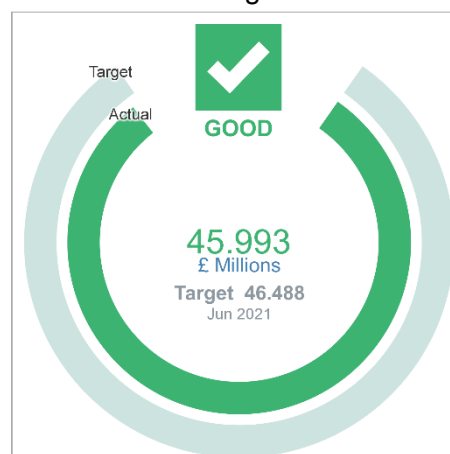
Key areas for consideration are:

- **Fibre enabled broadband** - Openreach have commenced work to deliver Full Fibre connectivity to an additional 300 premises under contract 2. The change request to support this additional work is still going through the Department of Digital, Culture, Media and Sport (DCMS) assurance process. It is anticipated that this work will be completed by the end of Quarter 2. DCMS experienced delays with the launch of the new Gigabit Voucher scheme and there has been a period of three months where suppliers were unable to register new voucher applications for community fibre projects in Derbyshire. It is expected that registration will commence 12 July 2021, but as a result of this delay there is no Top Up Voucher activity to report during Quarter 1.
- **HS2** - There has been a further delay to the publication of the Integrated Rail Plan and it is now not expected to be released until September 2021. In the meantime, lobbying by the HS2 East group continues with stakeholders to support the completion of the project including the eastern leg. The alternative proposal study was completed in June 2021 and proposed that if the whole project was not completed, HS2 services should use Erewash Valley and Barrowhill lines to provide services in Derbyshire.

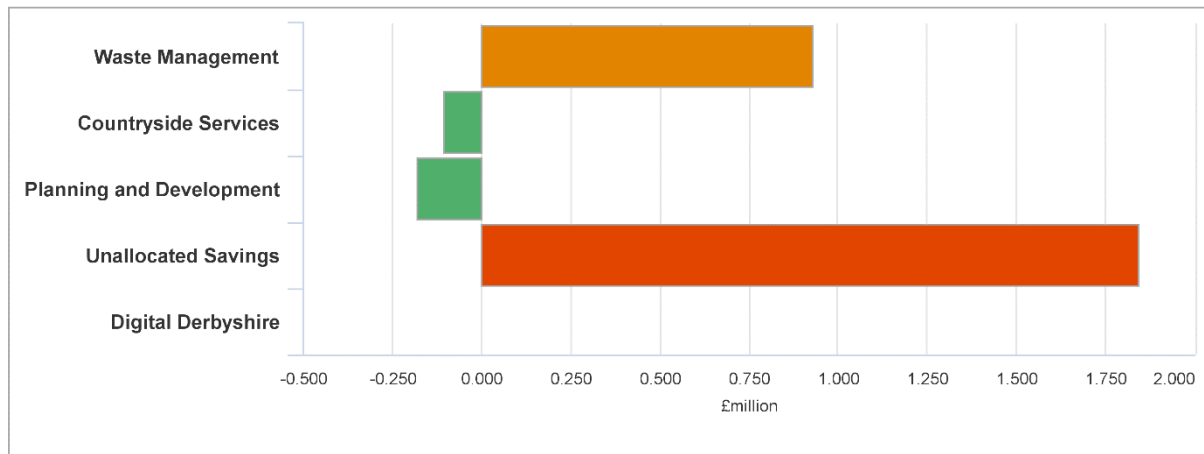
The portfolio has a forecast underspend of £0.495m after the allocation of £2.980m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme. Before the allocation of the Covid-19 grant funding detailed above, the main variances are:

- ❑ Unallocated Budget Savings, £1.842m overspend – savings targets not yet allocated to specific services.
- ❑ Waste Management, £0.930m overspend – an increase in household residual waste tonnages due to more people working from home as part of the Covid-19 response.

Forecast Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2021-22 is £0.444m, with a further £2.472m target brought forward from previous years. Of this total target of £2.916m, £0.844m is expected to be achieved by the end of the financial year. Therefore, there is currently a £2.072m forecast shortfall in achievement of budget savings.

Additional funding has been provided in the 2021-22 budget for the main growth items:

- Elvaston Castle Masterplan - £0.550m (one-off), the Masterplan, a programme of capital investment and income generation at Elvaston Castle, was approved by Cabinet on 20 December 2018. Preliminary studies, assessments and design work are required prior to capital investment.
- Budget Support - £0.230m (one-off), provided to the Place department to provide flexibility to plan to achieve the 2021-22 savings target in later years. This is supporting the phasing of savings planned to be delivered by the Countryside Service.

Strategic Leadership, Culture, Tourism and Climate Change - Portfolio Summary

Deliverable Progress



Key Measures Against Target



Key ★ Strong ✓ Good ◻ Review 📌 Action ◻ Data not available/Target not set

Progress is "Good" or "Strong" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- The 2021-22 Council Tax increase of 2.5% is the second lowest for comparable counties.
- The Climate Change Strategy is being finalised and as part of this the target for the reduction in emissions for 2021-22 has been increased to 63%.

Key areas for consideration are:

- Covid-19 has delayed planned progress in transferring libraries to community management. With Covid-19 restrictions lifting, and services resuming the Library Strategy will be relaunched in September 2021.
- The development of an organisational recovery and renewal strategy has been delayed by Covid-19, however the next phase of work was agreed at Cabinet in June 2021.

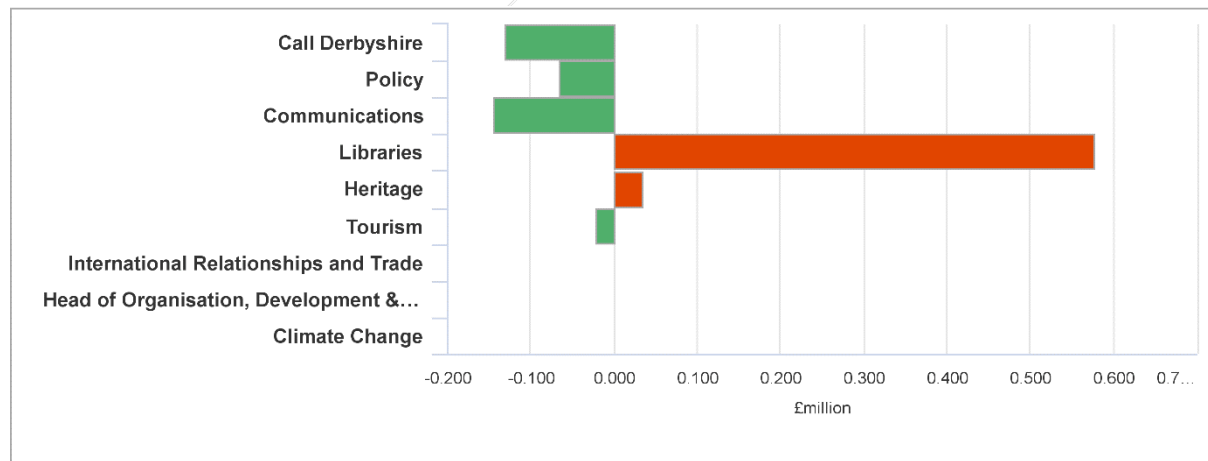
The portfolio has a forecast overspend of £0.169m after the allocation of £0.079m of funding from MHCLG Covid-19 emergency grants and compensation for lost income under the sales, fees and charges scheme. Before the allocation of this funding, the main variances are:

- Libraries, £0.576m overspend – achievement of savings is delayed as the Covid-19 pandemic meant proposals to transfer the Library Service to community management and to transfer the Arts Development service to an alternative provider had to be suspended. The transfer to community managed libraries, proposed in the Library Strategy, is expected to be relaunched in September 2021.
- ✓ Communications, £0.177m underspend – due to vacancy control. This will assist in managing a planned restructure of the function.
- ✓ Call Derbyshire, £0.131m underspend – mainly due to staff turnover.
- ✓ Policy, £0.099m underspend – vacant posts only expected to be filled in the second half of the year.

Forecast Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line











The budget savings target for 2021-22 is £0.284m, with a further £0.595m target brought forward from previous years. Of this total target of £0.879m, £0.234m is expected to be achieved by the end of the financial year. Therefore, there is currently a £0.645m forecast shortfall in achievement of budget savings.

Additional funding has been provided in the 2021-22 budget for the main growth items:

- Voluntary and Community Sector (VCS) Infrastructure - £0.199m, to support the development of a more equitable distribution of funding across the county, recognising the contribution the sector makes in supporting communities across Derbyshire.
- Performance Monitoring and Reporting - £0.140m, to create a performance service partner role to support the ongoing development and implementation of the corporate performance framework, whilst also supporting the annual Council Plan refresh and service planning process.

Traded Services**Fully Traded**








'Fully traded' trading areas are separately identifiable and have a net controllable budget of £0, i.e. there is an expectation that on average all the controllable expenditure within this area will be funded from external income.












Portfolio	Service Area	Trading Area	Projected Gross Controllable Expenditure* £m	Projected Gross Controllable Income £m	Forecast Contribution/ Deficit(-) to General Overheads £m	Performance	Is Contribution/ Deficit transferred to Earmarked Reserves?
CSB	Finance & ICT	IT Support Services	0.675	0.730	0.055		No
CSB	HR	Schools Advisory Service	0.500	0.755	0.255		No
CSB	HR	Work Experience	0.120	0.080	-0.040		No
CSB	Corporate Property	Direct Service Organisation Operations (Turnover at period 3)	5.511	5.233	-0.278		Yes
CSSG	School Catering	School Catering + Free School Meals checking	21.879	21.702	-0.177		No
CSSG	Finance	Budget advice & planning	0.362	0.402	0.040		No
CSSG	SORE	Swimming	0.936	0.856	-0.080		No
			29.983	29.758	-0.225		

*This is the expenditure remaining after any costs have been recharged to other service areas internal to the Council.






Partially Traded

'Partially traded' trading areas do not have a net controllable budget of £0, but they do receive income from external entities which contributes to funding some of the discretionary services they provide.

Portfolio	Service Area	Trading Area	Budgeted Income Target £m	Projected Actual Income £m	Forecast Excess/ Shortfall(-) compared to Target £m	Performance
CSB	Legal and Democratic	Legal Services	0.121	0.115	-0.006	
CSB	Legal and Democratic	Registrars	1.248	1.639	0.391	
CSB	Corporate Property	Disability Design Team (DFG agency fees)	0.080	0.090	0.010	
CSB	Corporate Property	Estates	0.026	0.035	0.009	
CSB	Corporate Property	Energy Management: Commissioning Fees	0.065	0.076	0.011	
CSB	Corporate Property	Energy Management: Display Energy Certificates	0.000	0.009	0.009	
CSB	Corporate Property	Asbestos Surveys	0.053	0.058	0.005	

Portfolio	Service Area	Trading Area	Budgeted Income Target £m	Projected Actual Income £m	Forecast Excess/ Shortfall(-) compared to Target £m	Performance
CSB	Corporate Property	County Buildings incl. External Functions Bar	0.588	0.423	-0.165	
CSB	Corporate Property	Industrial Development	1.889	1.621	-0.268	
CSB	HR	Occupational Health Services	0.080	0.087	0.007	
CSB	HR	Learning & Development	0.379	0.509	0.130	
CSB	HR	H&S	0.295	0.254	-0.041	
CSB	HR	Payroll Services	1.510	1.613	0.103	
CSB	Organisational Development & Policy	Crisis Communications	0.043	0.044	0.001	
CSB	Finance & ICT	Exchequer	0.320	0.232	-0.088	
CSSG	Education Improvement	Various	0.216	0.074	-0.142	
CSSG	Education Psychology	Education Psychology	0.100	0.102	0.002	
CSSG	SORE	Outdoor Education & Sport	1.353	0.565	-0.788	

Portfolio	Service Area	Trading Area	Budgeted Income Target £m	Projected Actual Income £m	Forecast Excess/ Shortfall(-) compared to Target £m	Performance
CSSG	SEMH Services	Behaviour support	0.075	0.075	0.000	✓
CSSG	Adult Education	Adult Education	0.562	0.562	0.000	✓
CSSG	Education Welfare	Education Welfare	0.161	0.226	0.065	✓
CSSG	Music	Music	0.135	0.119	-0.016	✗
CSSG	Children Missing Education	Out of School Tuition	0.114	0.114	0.000	✓
CSSG	SEMH Services	Positive play	0.009	0.009	0.000	✓
CSSG	Early Years	Early Years	0.049	0.049	0.000	✓
CSSG	Early Years	Early Years SEN	0.010	0.010	0.000	✓
CSSG	Information & ICT	Various	0.017	0.039	0.022	✓
HC	Public Health	Mental Health course delivery	0.003	0.003	0.000	✓
HC	Public Health	School Crossing Patrol SLA sites	0.012	0.012	0.000	✓
HC	Central Services to the Public	Registrars	1.248	1.625	0.377	✓

Portfolio	Service Area	Trading Area	Budgeted Income Target £m	Projected Actual Income £m	Forecast Excess/ Shortfall(-) compared to Target £m	Performance
HAT	Highways	Highways Laboratory	0.100	0.100	0.000	
HAT	Fleet Services	Vehicle Maintenance	1.300	1.500	0.200	
IE	Countryside	Shops	0.219	0.205	-0.014	
IE	Countryside	Cycle Hire	0.019	0.014	-0.005	
			12.399	12.208	-0.191	

Earmarked Reserves**Earmarked Reserves as at 30 June 2021**

Adult Care	£m
Older People's Housing Strategy	16.103
Telecare	1.500
Other reserves	0.039
Total Adult Care	17.642
Clean Growth and Regeneration	
Regeneration Kick-Start Feasibility Fund	2.000
Vision Derbyshire Economic Development Pilot	1.000
Markham Environment Centre	0.114
Skills Training	0.111
D2 Growth Fund	0.100
Other reserves	0.294
Total Clean Growth and Regeneration	3.619
Corporate Services and Budget	
Revenue Contributions to Capital	44.584
Loan Modification Gains	25.254
Insurance and Risk Management	17.105
Covid-19 Recovery Fund	13.700
Covid Emergency and SFC Losses Grants	11.248
Budget Management	10.917
Planned Building Maintenance	6.553
Business Rates Pool	6.301
Property Insurance Maintenance Pool	2.849
Prior Year Underspends	2.812
Computer Purchasing	2.850
Investment Losses Contingency	2.500
Business Rates Strategic Investment Fund	1.988
PFI Reserves	1.980
Property DLO	1.541
Covid Tax Income Guarantee Grant	1.267
Change Management	1.163
Other reserves	4.729
Total Corporate Services and Budget	159.341

Childrens Services and Safeguarding	
Tackling Troubled Families	2.313
Childrens Services IT Systems	0.657
Primary Teacher Pooled Premiums	0.653
Home to School Transport Covid Grant	0.230
QA Elective Home Education	0.185
Prior Year Underspends/Commitments	0.166
Other reserves	0.380
Total Childrens Services and Safeguarding	4.584
Health and Communities	
Covid Test and Trace Grant	3.385
Domestic Abuse	1.104
Mass Community Testing Grant	0.771
CEV Individuals Support Grant	0.698
Public Health S256/External Funds	0.240
Other reserves	0.568
Total Health and Communities	6.766
Highways and Transport	
Prior Year Underspends	11.302
Winter Maintenance	2.000
Commuted Highways Maintenance	1.710
Road Safety Public Service Agreement (PSA)	0.852
Derby and Derbyshire Road Safety Partnership Reserve	0.617
Other reserves	1.237
Total Highways and Transport	17.718
Infrastructure and Environment	
Waste Recycling Initiatives	0.598
Elvaston Maintenance	0.198
Other reserves	0.379
Total Infrastructure and Environment	1.175
Strategic Leadership, Culture, Tourism and Climate Change	
Community Managed Libraries	0.742
Policy and Research	0.660
Channel Shift	0.446
Library Restructure	0.429

Derbyshire Challenge Fund	0.343
Derwent Valley Mills World Heritage Site	0.189
Other reserves	0.538
Total Strategic Leadership, Culture, Tourism and Climate Change	3.347

Total Portfolio Earmarked Reserves	214.192
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Schools

Schools Balances	34.925
Dedicated Schools Grant (DSG)	0.000

Total balances held for and on behalf of schools	34.925
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Public Health Grant	8.532
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Budget Savings Monitoring 2020-21

Portfolio	Budget Savings Target			Ongoing Savings Initiatives		Actual Savings Achieved	
	Prior Year not yet achieved Brought Forward £ Millions	Current Year £ Millions	Total Target £ Millions	Total Identified £ Millions	Shortfall (-)/ Additional Identified Savings £ Millions	Achieved by Financial Year End £ Millions	Shortfall (-)/ Additional Achievement of Savings Target £ Millions
Adult Care	1.894	7.441	9.335	5.664	-3.671 	5.122	-4.213 
Clean Growth & Regeneration	0.000	0.330	0.330	0.330	0.000 	0.330	0.000 
Corporate Services & Budget	3.363	2.197	5.560	4.559	-1.001 	1.163	-4.397 
Children's Services & Safeguarding	1.965	0.085	2.050	1.220	-0.830 	0.411	-1.639 
Health & Communities	-0.016	0.271	0.255	0.213	-0.042 	0.213	-0.042 
Highways & Transport	1.842	1.239	3.081	1.239	-1.842 	0.175	-2.906 
Infrastructure & Environment	2.472	0.444	2.916	1.074	-1.842 	0.844	-2.072 
Strategic Leadership, Culture, Tourism & Climate Change	0.595	0.284	0.879	0.871	-0.008 	0.234	-0.645 
Cross Portfolio	0.653	1.000	1.653	1.285	-0.368 	1.285	-0.368 
Portfolio Total	12.768	13.291	26.059	16.455	-9.604 	9.777	-16.282 

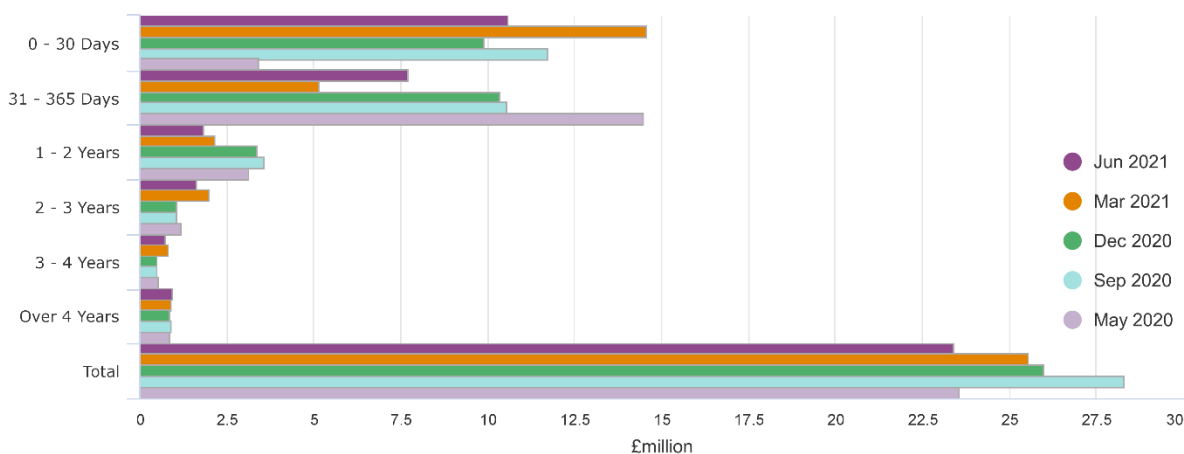
*The Adult Care portfolio is being supported with £4.257m of one-off funding. This reduces the total target for this portfolio from £9.335m down to £5.078m. The savings supported by this funding in 2021-22 are required to be achieved in 2022-23.

**The Corporate Services and Budget portfolio is being supported with £0.390m of one-off funding. This reduces the total target for this portfolio from £5.560m down to £5.170m. The savings supported by this funding in 2021-22 are required to be achieved in 2022-23.

***The Infrastructure and Environment portfolio is being supported with £0.230m of one-off funding. This reduces the total target for this portfolio from £2.916m down to £2.686m. The savings supported by this funding in 2021-22 are required to be achieved in 2022-23.

Aged Debt**Age profile of debt, relating to income receivable, at 30 June 2021**

	0 - 30 Days £m	31 - 365 Days £m	1 - 2 Years £m	2 - 3 Years £m	3 - 4 Years £m	Over 4 Years £m	Total £m
Adult Social Care and Health	6.495	4.356	1.416	0.781	0.323	0.706	14.077
	46.1%	30.9%	10.1%	5.5%	2.3%	5.0%	100.0%
Children's Services	0.001	0.014	0.028	0.007	0.001	0.009	0.060
	1.7%	23.3%	46.7%	11.7%	1.7%	15.0%	100.0%
Place	2.331	2.229	0.307	0.800	0.232	0.021	5.920
	39.4%	37.7%	5.2%	13.5%	3.9%	0.4%	100.0%
Commissioning, Communities and Policy	1.741	1.121	0.090	0.041	0.177	0.185	3.355
	51.9%	33.4%	2.7%	1.2%	5.3%	5.5%	100.0%
All Departments	10.568	7.720	1.841	1.629	0.733	0.921	23.412
	45.1%	33.0%	7.9%	7.0%	3.1%	3.9%	100.0%

Aged Debt over Time**The value of debt written off in the 12 months up to 30 June 2021**

Department	£m	
Adult Social Care and Health	0.224	↑
Children's Services	0.016	↓
Place	0.023	↓
Commissioning, Communities and Policy	0.036	↑
All Departments	0.299	↑

Covid-19 Financial Impacts and Funding**Covid-19 Forecast Gross Costs and Additional Income by Portfolio**

	Covid-19 related Costs	LESS: Specific funding for Portfolio Covid-19 Costs	Use of MHCLG Covid-19 and SFC Grant Funding
	£m	£m	£m
Adult Care	20.409	(15.748)	4.661
Clean Growth and Regeneration	0.026	0.000	0.026
Corporate Services and Budget	0.480	0.000	0.480
Childrens Services and Safeguarding	8.656	(3.806)	4.850
Health and Communities	6.091	(5.996)	0.095
Highways and Transport	0.889	0.000	0.889
Infrastructure and Environment	2.980	0.000	2.980
Strategic Leadership, Culture, Tourism and Climate Change	0.079	0.000	0.079
Total Portfolio Outturn	39.610	(25.550)	14.060

Forecast use of Specific funding for Portfolio Covid-19 Costs

Adult Care	£m
Hospital Discharge Recharge	6.520
Infection Control Fund	9.228
Total Adult Care	15.748
Childrens Services and Safeguarding	
Home to School Transport	0.325
Wellbeing for Education return	0.032
Winter Grant Scheme	0.883
Covid Local Grant Scheme	2.566
Total Childrens Services and Safeguarding	3.806
Health and Communities	
Test and Trace	0.062
Contain Outbreak Management	5.840
Support CEV Individuals	0.076
Practical Self-Isolation Support	0.018
Total Health and Communities	5.996
TOTAL	25.550

Register of Covid-19 Funding Receivable (updated July 2021)

Portfolio	Description	Type	Awarding Body	Residual Funding Brought Forward from 2021-22 £m	Additional Funding Expected by 31 March 2022 £m	Expenditure Forecast 1 April 2021 to 31 March 2022 £m	Ring-Fenced	Purpose
N/A	Coronavirus (COVID-19): emergency funding for local government	Grant	MHCLG	11.248	15.337	15.506	No	Unringfenced funding for local authorities to use to respond to the Covid-19 pandemic.
Page 195 N/A	Sales Fees and Charges Income Losses Compensation Scheme	Grant	MHCLG	0.000	0.000	0.000	No	To compensate lost sales, fees and charges income, as a result of the Covid-19 pandemic, at a rate of 75p in every pound, over and above the first 5% of budgeted income for the Apr 2021 to Jun 2022.
AC	Clinical Commissioning Group (CCG) funding for additional costs associated with COVID-19 including hospital discharge	Recharge	NHSE	0.000	6.520	6.520	Yes	NHS/CCG funding to help local authorities with Covid-19 associated costs including those relating to hospital discharge and follow-on care.

Portfolio	Description	Type	Awarding Body	Residual Funding Brought Forward from 2021-22 £m	Additional Funding Expected by 31 March 2022 £m	Expenditure Forecast 1 April 2021 to 31 March 2022 £m	Ring-Fenced	Purpose
AC	Adult Social Care Infection Control Fund (ICF) - Round 3	Grant	DHSC	0.000	5.293	5.293	Yes	To support adult social care providers, to reduce the rate of Covid-19 transmission in and between care homes and support wider workforce resilience.
AC	Adult Social Care Infection Control Fund (ICF) - Round 4	Grant	DHSC	0.000	3.935	3.935	Yes	As above.
HC	Test and Trace Service Support Grant	Grant	DHSC	3.385	0.000	0.061	Yes	For local authorities in England to develop and action their plans to reduce the spread of the virus in their area.
HC	Contain Outbreak Management Fund (COMF)	Grant	DHSC	19.058	3.958	6.073	Yes	To support local authorities to deliver their non-pharmaceutical interventions and to support their Covid response. The funding is a non-recurring payment for the 2020–21 financial year.

Portfolio	Description	Type	Awarding Body	Residual Funding Brought Forward from 2021-22 £m	Additional Funding Expected by 31 March 2022 £m	Expenditure Forecast 1 April 2021 to 31 March 2022 £m	Ring-Fenced	Purpose
HC	Clinically Extremely Vulnerable (CEV) Funding	Grant	MHCLG	0.698	0.000	0.268	No	Funding to support clinically extremely vulnerable (CEV).
Page 197 HC	Mass Community Testing	Grant	DHSC	0.771	0.000	0.000	Yes	To provide support to the Local Authority towards expenditure in relation to Community Testing in response to the Covid-19 outbreak.
HC	Practical Support for those Self-isolating	Grant	DHSC	0.000	0.647	0.019	Yes	Support for those self-isolating in accessing food where they are unable to rely on family, friends or other support networks and to carry out essential tasks and social / emotional support
CSSG	Additional Home to School Transport	Grant	DfE	0.231	0.094	0.349	No	To create extra capacity and allow more students to use alternatives to public transport, while social distancing measures remain in place.

Portfolio	Description	Type	Awarding Body	Residual Funding Brought Forward from 2021-22 £m	Additional Funding Expected by 31 March 2022 £m	Expenditure Forecast 1 April 2021 to 31 March 2022 £m	Ring-Fenced	Purpose
PSSG Page 198	COVID Winter Grant Scheme	Grant	DWP	0.253	0.758	0.883	Yes	Made available in early December 2020 to support those most in need with the cost of food, energy (heating, cooking, lighting), water bills (including sewerage) and other essentials.
CSSG	COVID Local Grant Scheme	Grant	DWP	0.000	2.566	2.566	Yes	Made available in early December 2020 to support those most in need with the cost of food, energy (heating, cooking, lighting), water bills (including sewerage) and other essentials.
CSSG	Well-Being for Education Return	Grant	DfE	0.032	0.121	0.032	No	To better equip education settings to support pupils and students' wellbeing and psychosocial recovery as they return to full-time education following the disruptions to schooling because of Covid.

Portfolio	Description	Type	Awarding Body	Residual Funding Brought Forward from 2021-22 £m	Additional Funding Expected by 31 March 2022 £m	Expenditure Forecast 1 April 2021 to 31 March 2022 £m	Ring-Fenced	Purpose
				35.676	39.229	41.505		

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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Director of Finance & ICT

Capital budget monitoring and forecast as at Quarter 1 2021-22
(Strategic Leadership, Culture, Tourism and Climate Change)

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 No.

3. Purpose

3.1 To inform Cabinet of the latest Capital budget monitoring position as at 30 June 2021.

4. Information and Analysis

4.1 The report includes Cabinet approved schemes that have been active during this financial year, including schemes closed in year. Each scheme has a nominated budget holder who is responsible for ensuring the scheme stays within budget, and who provides the projected spend figures. The schemes have been approved over several previous years in addition to the current year.

4.2 The 2021-22 Capital programme is £73.343m, approved adjustments to this figure increased this to £81.630m. Together with active schemes rolled forward from previous years it makes a total of £643.906m being monitored this year. There is a forecast underspend of £4.114m over

the life of these projects (see Appendix 2). The budget for all open schemes as at 30 June is £613.666m, this reduction reflects the closed projects since 1 April 2021.

- 4.3 The prolonged effect of the Covid-19 virus is continuing to have an impact on some schemes and where necessary the profile of expenditure has been adjusted to reflect this fact.
- 4.4 Adult Social Care & Health - projected underspend of £0.666m

Adult Care has a budget of £106.818m comprised of 65 schemes. Five schemes account for 58% of the budget.

Major Schemes	£m
Belper Integrated Specialist Facilities Centre	15.613
Bennerley Avenue – Care Home	15.000
Disabled Adaptations 2020 & 2021	10.727
Darley Dale Specialist Community Centre	10.520
Heanor Specialist Community Care Centre	9.750

The Belper Integrated Specialist Facilities Centre

The Belper Centre offers accommodation for up to 40 older persons and includes a library on site. The Care Home opened in June 2020 and the residents from Ada Belfield moved in during September 2020. The financial risk of remediating the site due to the asbestos clearance prior to occupancy previously reported remains to be resolved at this point in time. The cost of this work has increased the project by £1.5m and the Council is pursuing an asbestos claim which is still in progress. The building is still in the defect period, and inspections are taking place.

Bennerley Avenue - Care Home

The contractor for this is Wates and construction commenced in February 2021 with completion estimated to be Spring 2022. The Home will replace the nearby Hazelwood Care home and will have 30 general needs beds and 10 Community support beds. The budget estimate is £15.000m. The Council is considering options for development of accommodation on the remaining site.

Disabled Adaptations

The Disabled Adaptations schemes are the currently open programmes which relate to aids and adaptations installed in people's own homes so they may remain as independent as possible. They will be fully financed from borrowing together with contributions from clients and district councils. Currently the spend is over budget by £0.516m. The agreed funding for 2021-22 will meet the current projected overspend.

Specialist Care Centres

The scheme covers three specialist centres, providing care for physically frail, elderly clients with dementia, which are all operational, some schemes are complete, and others are approaching sign-off.

- Darley Dale - opened in March 2016 and had issues with its roof and remediation is now complete. This scheme is due for sign off pending the completion of some minor works and retention fees. The Council is awaiting the final certificate. The budget is £10.520m and spend to date is £10.418m.
- Heanor - opened in August 2015 and is now complete and the final certificate has been issued, no further costs are envisaged. The spend was £8.968m giving an underspend of £0.782m
- Long Eaton - opened in August 2015 with the floor defects rectified. The project is now closed. The spend was £2.275m, giving an underspend of £0.225m.

4.5 Children's Services - projected underspend of £2.689m

Children's Services has a budget of £135.725m comprised of 623 schemes. Five schemes account for 28% of the budget.

Major Schemes	£m
Alfreton Park School Replacement	13.350
Tibshelf New Primary School	7.013
Highfield Farm School	6.253
Boulton Moor School	5.911
Bramley Vale Primary Replacement	5.500

Alfreton Park School

The scheme comprises the delivery of a replacement new build Special School on the site of Highfield Plantation, Alfreton Park, which has been acquired from Amber Valley Borough Council.(AVBC) The scheme is currently on site and is programmed to achieve practical completion of the school in November 2021. Following completion, the scheme will also incorporate the demolition of the existing school and return it to AVBC as parkland together with a replacement adventure playground, this is programmed to take place in January 2022, with practical completion anticipated March 2022.

Tibshelf New Primary School

The feasibility for the new 'Federated' school at Tibshelf had commenced but has been suspended whilst the schools consider whether Federation is to be undertaken. An update on this is expected in September 2021.

Highfield Farm School

The new build at Highfield Farm School was completed in August 2020 and the project is currently within the defects period. The defects identified are to be addressed. The ventilation provided in the design of the school has been identified as insufficient and may require additional expenditure, this would change the forecast underspend of £0.273m. This is currently being investigated and an update is anticipated by September 2021.

Boulton Moor School

Boulton Moor is S106 driven and negotiations around the contract value and contract terms are ongoing, additional funding of approximately £1.000m will be required from the current Children's Services budget to commence. Issues have arisen around the land transfer and consultations with Legal Services are being made. An update regarding the land and what the final budget will be, is expected in September 2021.

Bramley Vale

The project at Bramley Vale Primary School is for the replacement of all the school, except for the Foundation Unit and a single block. The Scheme is currently in design and due to start on site in Summer 2022.

4.6 Commissioning, Communities & Policy - projected underspend of £0.415m

Commissioning, Communities & Policy has a budget of £69.743m comprised of 248 schemes. Five schemes account for 71% of the budget.

Major Schemes	£m
Buxton Crescent	41.263
Green Deal and Fuel Poverty grant	2.521
SAP S/4 upgrade	2.000
SRM Upgrade & TASK Replacement	1.790
County Hall - Winter Gardens Refurbishment	1.700

Buxton Crescent

The main contractor reached practical completion of Buxton Crescent Hotel & Thermal Spa at the start of March 2020, just prior to the COVID 19 outbreak. All building works are complete, and the hotel is now open. The formal launch event will be arranged when conditions allow. A final completion report has been issued to the National Lottery Heritage Fund for approval and sign off. The Council's involvement with the Buxton Crescent Hotel and Thermal Spa is to provide funding through enabling development to address the conservation deficit, with the

objective to secure the future conservation of a heritage asset and boost the local economy.

Green Deal and Fuel Poverty Grant

Capital works proposed this financial year will comprise up to 15 replacement or first-time central heating systems installed in the homes of fuel poor Derbyshire residents as part of the Derbyshire Healthy Home Programme. In some cases, this will assist with hospital discharge.

SAP S/4 upgrade

This project which is for a major computer system has been delayed from initial forecasts due to issues with the ICT infrastructure and staff availability. The go-live date is now the beginning of April 2022. This delay has caused some extra costs which are currently being calculated as part of a re-planning exercise with the implementation partner HCL.. Work is continuing and good progress is being made in all workstreams that are not directly affected by the infrastructure or staffing issues.

SRM Upgrade & TASK Replacement

This project which is for a major computer system has progressed well with forecast budgets. It will complete within this financial year.

County Hall - Winter Gardens Refurbishment

Planning has now been approved in principle only, and a measured survey has been commissioned. Shortly after the receipt of this survey a Phase 1 condition survey of works will be commissioned.

4.7 Place - projected underspend of £0.344m

Place has a budget of £331.620m comprised of 99 schemes, this is approximately 52% of the total Capital budget. Five schemes account for 70% of the Place budget. Some changes to the budgets have been made in accordance with the Department's plans – these have not yet been approved.

Major Schemes	£m
Local Transport Plan 2016-2021	104.337
Markham Vale Employment Zone (MEGZ)	41.641
LED Street Lighting	33.100
Pothole and Challenge Fund 2020-2021	29.267
Waste Project, Derby	25.000

Local Transport Plan

Management of the Local Transport Plan has been and continues to be developed providing greater clarity, accountability, and transparency

with the focus on realistic timescales for project delivery to ensure the benefits of this investment are realised in a timely manner. Whilst achieving those benefits in line with the Future Highways Model, the objective remains to deliver the Highways Infrastructure and Integrated Transport Programme. These are managed within the Council's Local Transport Plans and funded from grants provided by the Department for Transport (DfT). All grants are fully utilised, and subject to approval, reallocated to other infrastructure projects. The separate yearly schemes that make up the budget total relate to schemes from 2017 - 2021. These schemes totalling £104.337m are forecast to have spent up to budget by 2024-25.

Markham Vale

An amount of £3.389m remains to be spent from the approved capital budget, this is earmarked to complete the site remediation infrastructure elements which will complete the project. Whilst the market for larger industrial units has been buoyant, uncertainties over the past three to four years has had a slowing effect on the market for smaller to medium sized units which is likely to extend the life of the project by a further 2 to 4 years beyond the existing December 2022 partnership expiry date. Inflationary pressures may necessitate for a slight increase in the capital budget. Potential time extensions and budget increases are currently being assessed and will be the subject of a future Cabinet Report.

Many companies have continued operating successfully throughout the past year whilst others have operated on a much-reduced staffing level. Markham Vale overall has shown substantial resilience. The project is projected to spend the remainder of its budget of £0.756m in 2022-23 and remains to be in line with its expenditure profile.

Grant funding has been secured towards the costs of constructing the planned walking and cycling route-to-work alongside the A632 between Bolsover Business Park and Markham Vale, and work is currently underway. (construction started 4 January 2021). In addition, a Masterplan has been prepared for a mixed-use development at Staveley Town Basin; a business case and green Book valuation have been drafted by Focus Consultants who were appointed to assist in this task. These documents are awaiting final review before publication.

Architects Jefferson Sheard have been employed to prepare initial layout plans for the proposed Staveley Town basin - Waterside Hub. Once details are available, this project will be submitted to the respective Cabinet Member for approval before the idea is developed further. In the meantime, a funding bid has been submitted. The Staveley Waterside project comprises a mixed-use development opportunity which is the subject of a provisional capital grant offer of

£2.664m from the Staveley Town Deal fund towards the £3.064m project. Proposed match funding comprises in-kind land value and £0.150m of DCC Reclamation capital already secured. Subject to securing Cabinet Approval, construction start on this project could commence in early 2022.

LED Street Lighting

The street lighting LED Invest to Save Project is expected to be complete by the end of 2022-23. This figure includes an additional £1.000m for which the Department is in the process of seeking approval. Currently the project has installed over 81,500 LEDs and is approximately 92% complete having spent £25.934m to date. There are approximately 7,500 LEDs left to convert. The focus now is to replace 550 streetlights on the main road network and replace the old sodium lights on existing lighting columns that are suitable for conversion. There are some sections of road where total asset replacement is needed including underground cables. Design and procurement exercises for these elements are underway.

Waste Project

The Council and Derby City Council entered into an Inter Authority Agreement (IAA) on 20 August 2014 in relation to the operation and management of a Public Private Partnership contract with Resource Recovery Solutions (Derbyshire) Ltd (RRS) for the construction of the long term Waste Treatment Facility in Sinfin and provision of associated services. It has been previously reported the contract with RRS was terminated on 2 August 2019.

Work has been progressing on the facility to determine its condition and capability, this will allow the councils to ascertain what measures need to be in place for the facility to become fully operational.

The councils are in negotiations to pay an 'estimated fair value' for the plant considering all the costs of rectifying ongoing issues at the plant and the costs of providing the services to meet the agreed contract standards.

To date £20.580m of the £25.000m budget has been spent.

A further update report will be presented to Members to ensure they are fully appraised of developments.

Pothole and Challenge Funds

The Pothole Fund has been used to supplement other efforts to reduce the number of potholes across the county and the numbers now being identified and reported has reduced to acceptable levels. Resurfacing

schemes continue to be carried out throughout the county which will prevent formation of potholes in future. The funds have also enabled significant progress to be made to deal with flooding/drainage issues resulting from the 2019 floods including the two major landslips of Abney and Lea.

The Challenge fund bid is to restore and maintain assets along the A6. The work undertaken so far has primarily been to prepare for the construction phase. A major part of this involves long running ecology studies to develop measures required to mitigate environmental risks. A specialist contractor has now been engaged with plans to start construction in 2021 and follow on to 2022 for completion.

Three projects make up the budget with the 2020 schemes projected to spend £5.990m in 2022-23 at which point it they will be complete. The 2021 project is estimated to spend the remainder of its funding of £7.000m by the end of 2023-24. The projects are on schedule to complete in line with their forecast end dates and agreed funding.

4.8 Top Ten Capital schemes by value

Set out in Appendix 3 is a summary of the ten largest current capital schemes, representing approximately 51% of the current budget. These schemes are currently projected to underspend by £0.006m.

5. Consultation

5.1 Not directly arising out of this report.

6. Alternative Options Considered

6.1 Do Nothing - The Council's Financial Regulations require the preparation and submission of reports to Cabinet on the projected expenditure and resources compared with approved estimates, on a regular basis.

7. Implications

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

8.1 Files held by the Director of Finance & ICT.

9. Appendices

- 9.1 Appendix 1- Implications.
- Appendix 2- Summary of Projected Capital spend by Department
- Appendix 3-Top Ten Capital Projects According to Budget Value

10. Recommendation(s)

- 10.1 That Cabinet notes the current position on the monitoring of Capital schemes.

11. Reasons for Recommendation(s)

- 11.1 To ensure that the Council is complying with best practice in providing regular capital reports to all key stakeholders regarding capital estimates and expenditure.

12. Is it necessary to waive the call in period?

- 12.1 No

Report Author: Pam Taylor, Senior Finance Officer

Contact details: pam.taylor@derbyshire.gov.uk

This report has been approved by the following officers:

<p>On behalf of:</p> <p>Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)</p>	
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Implications

Financial

1.1 As set out in Section 4 of the report.

Legal

2.1 None directly arising out of this report.

Human Resources

3.1 None directly arising out of this report.

Information Technology

4.1 None directly arising out of this report.

Equalities Impact

5.1 Not directly arising out of this report.

Corporate objectives and priorities for change

6.1 None directly arising out of this report.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None directly arising out of this report.

Summary of Projected Capital Spend by Department									Appendix 2	
Department	Current Budget	Total spend to date inc commitments	Estimated spend remaining 2021-22	Total projected spend to date	Planned spend 2022-23	Planned spend 2023-24	Planned spend 2024-25	Planned spend 2025 +	TOTAL Revised planned spend	(Under) / Over
	£m	£m	£m	£m	£m	£m	£m	£m	£m	£m
Adult Social Care & Health	106.818	85.112	5.595	90.707	5.800	9.145	0.500	0.000	106.152	(0.666)
Children's Services	135.725	68.332	53.698	122.030	10.792	0.214	0.000	0.000	133.036	(2.689)
Commissioning Communities & Policy	69.743	53.030	13.396	66.426	2.748	0.154	0.000	0.000	69.328	(0.415)
Place	331.620	223.829	55.796	279.625	36.396	12.343	2.752	0.160	331.276	(0.344)
Grand Total	643.906	430.303	128.485	558.788	55.736	21.856	3.252	0.160	639.792	(4.114)

Top Ten Capital Projects According to Budget Value										Appendix 3	
	Approval Year	Current Budget	Total spend to date inc commitments	Estimated spend remaining 2021-22	Total projected spend to 31 March 2022	Planned spend 2022-23	Planned spend 2023-24	Planned spend 2024-25	Planned spend 2025+	TOTAL Revised planned expenditure	(Under) / Over
		£m	£m	£m	£m	£m	£m	£m	£m	£m	£m
Local Transport Plan	17 - 21	104.337	59.905	19.513	79.418	14.228	8.137	2.554	0.000	104.337	0.000
Markham Employment Growth Zone	88 - 89	41.641	38.252	2.632	40.884	0.757	0.000	0.000	0.000	41.641	0.000
The Crescent Buxton	06 - 07	41.263	40.814	0.449	41.263	0.000	0.000	0.000	0.000	41.263	0.000
Street Lighting LEDs	15 - 16	33.100	25.934	5.166	31.100	2.000	0.000	0.000	0.000	33.100	0.000
New Waste Treatment Facility Derby	19 - 20	25.000	20.580	2.420	23.000	2.000	0.000	0.000	0.000	25.000	0.000
Pothole Challenge Fund 2020 & 2021	20 - 21	29.267	10.218	6.059	16.277	9.990	3.000	0.000	0.000	29.267	0.000
Belper Integrated Specialist Facilities	12 - 13	15.613	14.674	0.933	15.607	0.000	0.000	0.000	0.000	15.607	(0.006)
Bennerley Avenue Care Home	20 - 21	15.000	2.781	0.219	3.000	4.000	7.500	0.500	0.000	15.000	0.000
Alfreton Park Special School	17 - 18	13.350	6.245	6.605	12.850	0.500	0.000	0.000	0.000	13.350	0.000
Woodville Swadlincote Regeneration Route	19 - 20	12.690	9.297	2.943	12.240	0.450	0.000	0.000	0.000	12.690	0.000
TOTAL		331.261	228.700	46.939	275.639	33.925	18.637	3.054	0.000	331.255	(0.006)



Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 SEPTEMBER 2021

Report of the Director of Finance & ICT

Financial Strategy
(Corporate Services and Budget)

1. Divisions Affected

1.1 All

2. Key Decision

2.1 This is not a Key Decision

3. Purpose

3.1 To note and approve the Council's Financial Strategy.

4. Information and Analysis

4.1 The purpose of the Financial Strategy is to set out the Council's financial priorities, and provide a financial framework for the Council to ensure the Council's financial operations contribute to the Council's strategic and policy objectives as outlined in the Council Plan 2021-2025.

4.2 The key components of the Strategy are to:

- Set out the framework for the financial operation of the Council which supports the strategic and policy objectives in the Council Plan.
- Ensure resources are allocated to achieve Council Plan objectives.
- Ensure cost effective, affordable service delivery that delivers value for money.

- Support delivery of the Council's Enterprising Council Programme.
- Maximise resources available to the Council.
- Ensure Council Tax levels are kept within reasonable levels.
- Integrate Service Plans into the budget process.

4.3 The Council's Financial Regulations state that the Strategy should be reviewed annually to remain consistent with the Council Plan and to ensure that the Strategy drives the Five-Year Financial Plan, Capital Programme and Revenue Budget. The Strategy has been reviewed and updated to reflect:

- Links with other strategies and initiatives
- Additions to the Financial Management section
- Additional sections
 - Delivering the Financial Strategy
 - Revised Operating Model
 - Delivering Finance for the Future

4.4 A copy of the Financial Strategy is shown at Appendix Two.

5. Consultation

5.1 There is no requirement to consult in relation to the preparation of the Financial Strategy.

6. Alternative Options Considered

6.1 Do Nothing – in ensuring compliance with the Chartered Institute of Public Finance and Accountancy's Financial Management Code, local authorities should have an over-arching strategic vision of how it intends to deliver outputs and achieve outcomes for which it is responsible. This should include a statement that sets out both the vision and the underlying strategy, together with the mix of interventions that the organisation will adopt in delivering services to achieve the intended outcomes. Therefore, in ensuring compliance with the Code it is necessary to develop a Financial Strategy.

7. Implications

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

8.1 Chartered Institute of Public Finance and Accountancy – Financial Management Code.

9. Appendices

9.1 Appendix 1- Implications.

9.2 Appendix 2 – Financial Strategy

10. Recommendation(s)

That Cabinet:

a) Approves the Financial Strategy.

11. Reasons for Recommendation(s)

11.1 Having a Financial Strategy ensures that the Council is following good financial management practices in compliance with the Financial Management Code.

12. Is it necessary to waive the call in period?

12.1 No.

Report Author: Paul Stone

Contact details: paul.stone2@derbyshire.gov.uk

This report has been approved by the following officers:

<p>On behalf of:</p> <p>Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)</p>	
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Implications

Financial

1.1 None.

Legal

2.1 None.

Human Resources

3.1 None.

Information Technology

4.1 None.

Equalities Impact

5.1 None.

Corporate objectives and priorities for change

6.1 The Financial Strategy sets out the overall framework on which the Council plans and manages its financial resources and ensures that it fits with, and supports, the direction of the Council's priorities, including delivery of the Council's Enterprising Council Programme.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None.

Financial Strategy

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September 2021

Peter Handford BA(Hons) PGCert FCPFA
Director of Finance & ICT

CONTROLLED

Approval and Authentication

Name	Job Title	Signature	Date
Peter Handford	Director of Finance & ICT		
Cabinet			

Introduction

The Financial Strategy (Strategy) sets out the overall framework on which the Council plans and manages its financial resources and ensures that it fits with, and supports, the direction of the Council's priorities, including delivery of the Council's Enterprising Council Programme.

Links with other strategies and initiatives

The Strategy is closely aligned with the Council Plan and Service Plans. It sits alongside the Council's Five-Year Financial Plan, which sets out the Council's financials assumptions, spending plans and savings over the medium term.

The Strategy is, by design, a brief document and is presented as a series of linked elements supported by more detail in other published documents.



In preparing the Strategy, the requirements of the Financial Management Code (FMC) have been considered. The FMC provides guidance for good and sustainable financial management in local authorities, giving assurance that authorities are managing resources effectively. It requires authorities to demonstrate that the processes they have in place satisfy the principles of good financial management, which is an essential part of ensuring that public sector finances are sustainable. It also identifies risks to financial sustainability and introduces a framework of assurance. This framework is built on existing successful practices and sets explicit standards of financial management.

The Council Plan sets out a vision which is to work with partners and communities to be an enterprising council delivering value for money and enabling local people and places to thrive. Our strategic approach governs how we work, as a council, with and for communities, and in collaboration with partners. Three key areas of activity are taking the approach forward – Enterprising Council, Thriving Communities and Vision Derbyshire.

The Council Plan makes a number of headline commitments over the next 12 months, which include:

leading the county's economic and community recovery from the COVID-19 pandemic creating a £15 million fund to support local businesses and residents in need	investing £40 million in well maintained roads and pathways and developing sustainable methods of travel
acting on climate change, providing community grants for sustainable and green projects and launching the Green Entrepreneurs scheme to help local people and businesses to reduce carbon emissions	continuing our ongoing transformation of social care to improve outcomes and make the most effective use of resources

mainstreaming the Thriving Communities approach, working alongside **a further 8 communities**, to reduce demand for high cost services

promoting our employees' wellbeing and developing their potential

Over the next four years the Council will focus its efforts and resources on the following priorities:

- Resilient, healthy and safe communities
- High performing, value for money and resident focused services
- Effective early help for individuals and communities
- A prosperous and green Derbyshire

To achieve our priorities will require investment whilst ensuring that Derbyshire residents receive value for money for their services. This is against a backdrop of funding uncertainty as local government awaits decisions from Government regarding the medium-term funding of local government beyond 2022. We will continue to lobby government to ensure a fairer funding settlement for the county, whilst ensuring we maintain low Council Tax increases.

The Council is working hard to ensure it delivers value for money for its residents by continuing to review the delivery of its services, whilst investing in our priorities such as improving our highways and delivering on our commitments in respect of climate change.

The Council Plan makes the following finance and procurement actions:

- Keep Council Tax within the lowest 25% of county council areas and lobby Government to secure a better funding settlement
- Keep on track to achieve all planned budget savings in the medium term
- Demonstrate value for money through excellent procurement and contract management

The finance function will move to a revised operating model in 2021 (see below) to support the Enterprising Council approach. The new operating model will take time to embed but the foundations of good financial management are embedded evidenced by a history of strong external audit reports and systems which support robust internal controls, together with resilient financial planning and reporting mechanisms.

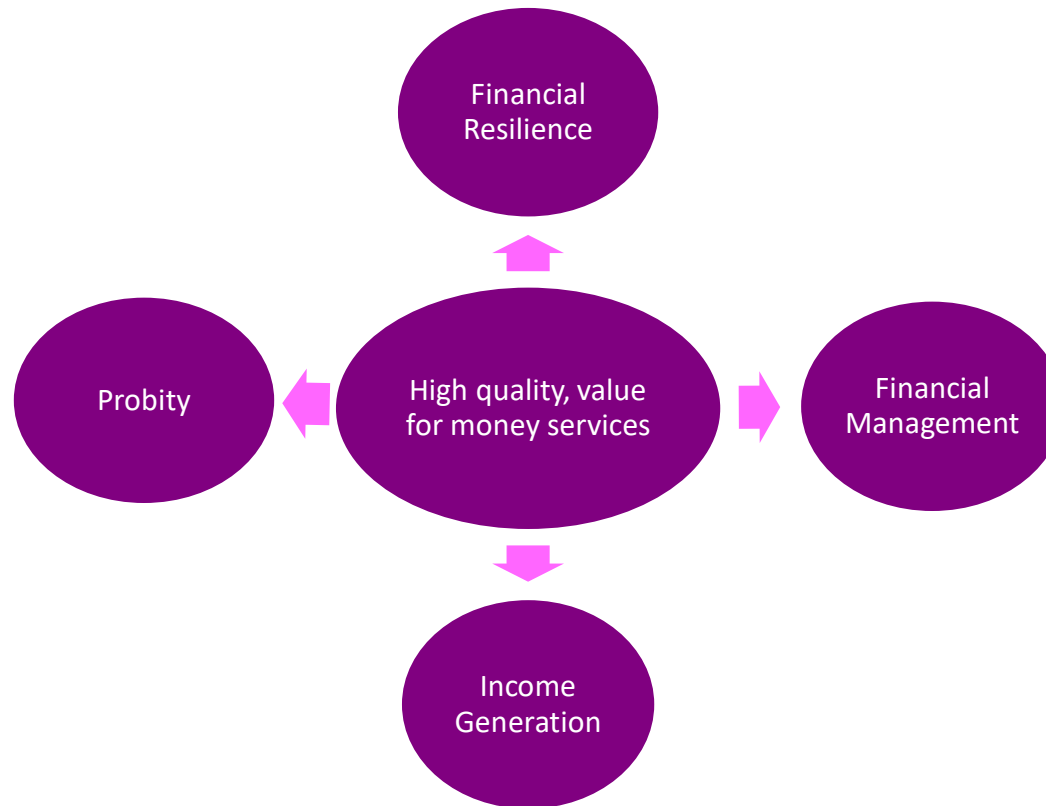
Revised Operating Model

During 2021, the Council will move to a revised operating model for the delivery of its financial services. In doing so, the service will adopt standard operating practices and procedures across its functions following the good industry standards of Simplify, Standardise and Share.

Adopting the above three principles alongside the introduction of enhanced financial reporting through SAP HANA, which is scheduled for implementation in Winter 2021, will provide finance officers and budget holders with a range of tools to support decision making.

Strategic Aims

This Strategy is made up of four strategic aims.



Financial Resilience

The Council has managed the achievement of a balanced budget in a robust and planned manner over the period of the current downturn in general government support for local authority spending, as well as the financial challenges of the Covid-19 pandemic.

The level of savings required over the medium term remains significant. By 2025-26, the Council needs to have reduced expenditure by a further £72m in real terms. This is in addition to budget reductions of nearly £300 the Council has already made to services since 2010. The Council continues to look at ways to save money and generate income, whilst trying to protect and deliver services suitable for the residents of Derbyshire. The Council's Enterprising Council approach supports a more strategic and coordinated approach to the delivery of our services, ensuring that there is a single and joined-up One Council approach to the Council vision, our ways of working and transformation that is understood by everyone.

The Covid-19 pandemic has seen the Council play an active role in supporting communities. This has brought additional financial burdens which have been funded by Government, however, it has resulted in slippage to some of our savings programmes. Despite this, the Council has now identified measures which should help balance the budget over the medium term. There is a clear challenge to ensure the Council achieves those savings over the next few years. Understandably, the Council will focus its priorities on supporting our communities throughout the pandemic which is likely to result in further slippage to planned savings. Notwithstanding, there is a clear plan in place to support the non-achievement of in-year savings with the use of earmarked reserves.

Revenue Budget 2021-22	Budget Reductions
<ul style="list-style-type: none"> ➤ £540m Net Budget ➤ £1.3bn Gross Budget 	<ul style="list-style-type: none"> ➤ £72m reductions required by 2026 ➤ £330m reductions since 2010
Reserve balances as at 31/3/2021	Council Tax 2021-22
<ul style="list-style-type: none"> ➤ £77m General Reserve ➤ £261m Earmarked Reserves 	<ul style="list-style-type: none"> ➤ 2.5% increase ➤ Band D rate £1,383.07

There is already a planned use of General and Earmarked Reserves from 2021-22 to 2025-26 in order to achieve a balanced budget. Whilst the General Reserve remains at a prudent risk assessed level to 2024-25, any overspends arising from demand management issues or slippage on the achievement of savings will require a further call on the General Reserve.

Significant consultation and planning timeframes are required to achieve many of these savings. In many cases the cost savings proposals will be subject to consultation and equality analysis processes. Throughout the process it will be essential to ensure that the Council continues to meet its statutory and contractual obligations. Delays in agreeing proposals could result in overspends by departments, which would then deplete the level of General Reserve held by the Council, decreasing its ability to meet short term, unforeseeable expenditure.

The UK has experienced two consecutive single year Spending Reviews in 2019 and 2020. Local authorities have long argued for a multi-year funding settlement to support which will help with medium-term financial and service planning. Further, there is uncertainty over future funding because of changes the Government is committed to making in the funding of councils via retained Business Rates and remaining Government grant funding regimes. At present the Council has no indication of how these technical changes, alongside the results of the next Comprehensive Spending Review, will affect the Council's funding position.

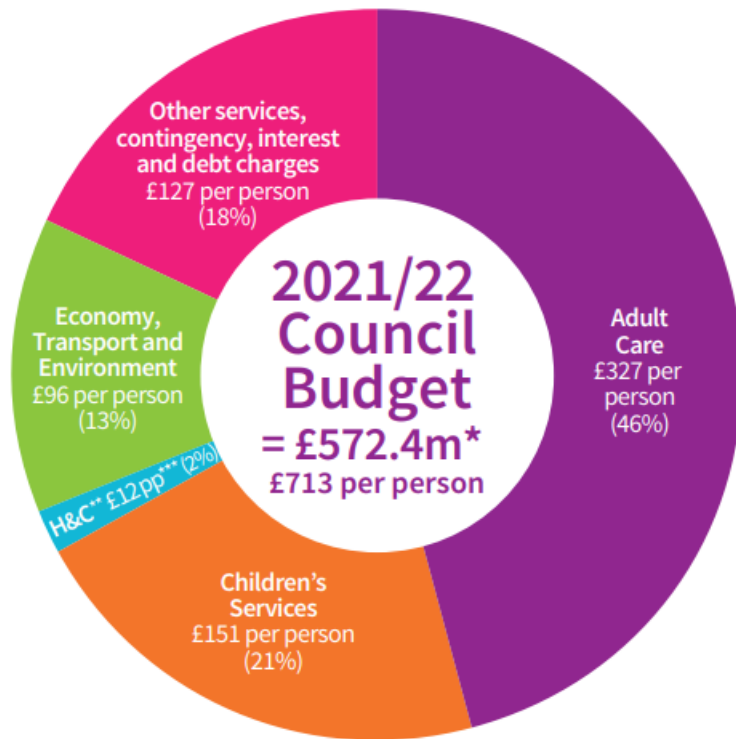
The Government has committed to completing the both the Fair Funding and Business Rates Retention Scheme Reviews. As this period of consultation between local government and central government continues over the coming



months, to establish a distribution methodology that is fit for purpose, local authorities are struggling to set medium term financial plans due to this element of uncertainty. Whilst the Government has made a commitment to continue with the Fair Funding Review, it is difficult to predict the likely impact of the proposed changes to the scheme and the financial impact until further detailed information is provided by the Government.

The introduction of 75% Business Rates Retention is currently assumed to be fiscally neutral to the Council. To ensure that the reforms are fiscally neutral, local government will need to take on extra responsibilities and functions at the point that full localisation occurs, as currently, more is raised from business rates than spent locally. The Government will also need to take account of future events such as transfers of responsibility for functions between local authorities, mergers between local authorities and any other unforeseen events.

The Covid-19 pandemic has seen a significant impact on the business rates taxbase which in turn will impact on the Council's business rates funding.



The Local Government Finance Settlement 2021-22 has confirmed the continuation of social care funding seen in the two previous financial years as well as an additional £300m social care funding for local authorities. Furthermore, local authorities have been afforded the flexibility of the Adult Social Care Precept of 3%, some of which may be deferred until 2022-23. It is also assumed that the use of the improved Better Care Fund allocations will support the base budget, through collaboration with clinical commissioning groups and that existing allocations of the improved Better Care Fund continue to be paid beyond 2021-22. If the funding ceases beyond this period, local authorities will experience a 'cliff edge' funding effect that will significantly impact on the delivery of adult social care services.

The Settlement also provided details of additional Covid-19 funding for 2021-22 which will help to fund the additional costs associated with the pandemic.

The Council Plan sets out the Council's priorities which include working efficiently and effectively. The Council will be pursuing new delivery and commissioning models to achieve its priorities. It will review the way it works, ensuring its operation is fit for purpose.

To ensure an element of transparency in its decision making, a value for money board has been established which will examine and review Council contracts.

Wherever possible, the Council aims to protect service delivery and has clear ambitions to be an efficient and high performing Council by moving towards an Enterprising Council approach. However, with the Council having already

made significant budget savings, it will not be possible to make all the savings over the next four years without affecting frontline services.

In a challenging financial environment, the Council will need to prioritise its limited resources to protect the service areas the Council considers to be the most important, supporting the most appropriate approach to service delivery. In doing so, it must look at ways of raising additional income and reduce its reliance on Government funding. The Council will make savings by reviewing all areas of expenditure and considering new ways of working which require additional investment.

We will achieve this by:

- Reviewing and updating the Council's Five-Year Financial Plan at least annually to assist the budget planning process and identify funding gaps at the earliest opportunity to help develop appropriate savings plans.
- Setting a balanced budget that addresses service priorities but is achievable within acceptable Council Tax limits and the context of the Five-Year Financial Plan.
- Utilising the Council's budget priority framework when considering proposals for service development and new investment.
- Ensuring Council Tax is within acceptable levels. This will include setting an increase for the Adult Social Care Precept to raise additional income for Adult Social Care where it is appropriate to do so.
- Developing and implementing a robust savings programme, which is regularly monitored and reported to Members and incorporates consultation and equality impact assessment processes where these are required.
- Benchmarking service costs and performance on a regular basis.
- Actively considering the best delivery model for the Council's services in order to deliver quality services at a reasonable cost.
- Reviewing the minimum level of General Reserve to ensure that the balance is sufficient to act as a contingency against any unforeseen events or unexpected liabilities.
- Monitoring local government funding, lobbying Government to ensure Derbyshire's interests are represented.
- Using one-off support from reserves balances to support budget management.

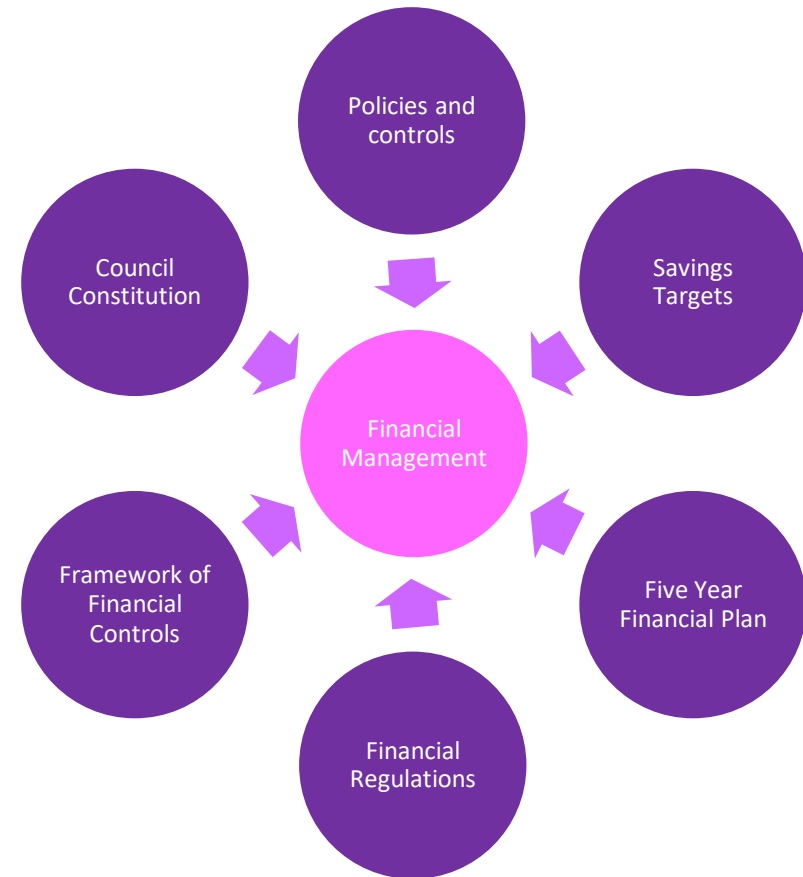
Financial Management

The Council will maintain sound financial management policies and controls to ensure its resources are used economically, efficiently and effectively. The Council has achieved a positive value for money opinion from the external auditors demonstrating that the Council has embedded a culture of economy, efficiency and effectiveness.

The Council's constitution makes clear how the Council operates and makes decisions. It describes how decision makers can be held to account. The Constitution explains the composition of the Council as well as the roles and functions of all councillors.

There is a framework of financial controls and procedures for managing financial risks, accounting processes and financial management. These are embedded in various policy documents including Financial Regulations, Standing Orders, internal control processes.

The Council's Financial Regulations provides a practical source of advice to assist the Council to deliver its services. It supports the operation of sound financial management policies and that they are strictly adhered to. The Regulations provide clarity about the role of individuals including Cabinet Members and officers.



The Council has a Five Year Financial Plan sets out the overall shape of the Council's budget by establishing what resources are available for allocation to reflect Council and community priorities. The Council Plan sets out service and organisational priorities.

The Five Year Financial Plan (the Plan) is a medium term financial plan which sets out the overall shape of the Council's budget, by establishing what resources are available for allocation to reflect Council and community priorities. The Council Plan sets out service and organisational priorities. This is reflected in the development of the Plan. The Plan explicitly sets out:

- Baseline position
- Expected commitments over the medium term
- Income streams with estimated increase in reductions in mainstream funding
- Services pressures over the medium term
- Capital financing requirements

The Council's savings targets over the medium term are significant and need to be managed. Budget managers need to demonstrate strong financial management whilst maintaining the delivery of high-quality services.

We will achieve this by:

- Ensuring compliance with the principles of the Financial Management Code.
- Providing a framework for managing the Council's financial affairs through the Constitution and Financial Regulations.
- Utilising the Council's budget priority framework when considering proposals for service development and new investment. The framework is based on a robust system of scrutiny that is constructed to favour proposals that are aligned to the Council's priorities, together with national targets.
- Identifying budget savings which will build capacity and redirect resources to priority areas. The Budget Strategy Group (BSG) will be responsible for the monitoring of savings and will report to the Corporate Management Team.
- Aligning and examining spending against priorities on an ongoing basis. This may from time to time include fundamental reviews of specific areas of the Council's base budget.

- Monitoring and controlling both revenue and capital budgets, taking correcting action where appropriate. There will be strong budgetary control and scrutiny with clear lines of responsibility for preparation, approval and control. Reports will be provided to Members on a regular basis in accordance with the Council's Budget Monitoring Policy which includes integrated finance and performance information.
- Setting a minimum level of General Reserve. The Director of Finance & ICT will report on the level of reserves as part of the annual Revenue Budget Report.
- Reviewing Earmarked Reserves' balances at least annually and reporting the outcomes to Members in accordance with the Council's Reserves Policy.
- Managing the Council's investment and borrowing on a daily basis. The Council is also responsible for the Derbyshire Pension Fund Investments. The objective is to place security of investment above return, but to maximise investment income.
- Complying with the principles of the Treasury Management Code of Practice.
- Keeping long-term borrowing within reasonable limits in accordance with the principles in the Prudential Code.
- Procuring goods, services and works in accordance with Value for Money (VfM) principles.
- Ensuring capital investment decisions reflect the Council Taxpayer meeting the majority of all new debt financing costs.
- Maintaining and holding assets to meet the Council's objectives as identified within the Council Plan and Service Plans, subject to affordability within the context of the Council's Five-Year Financial Plan.
- Adopting the most appropriate funding package for overall capital expenditure.
- Ensuring loans for 'Spend-to-Save' schemes are financed from the schemes' savings. The loan repayments will be made as quickly as savings allow. It is not intended that 'Spend-to-Save' schemes will be repaid over as long a period as other debt.
- Recommending Capital priorities to Cabinet using the Council's appraisal methodology which involves the following criteria:
 - Council goals, objectives and performance indicators being met
 - Funding requirements, considering any conditional grants/allocations and other external sources
 - Statutory obligations including legal and health and safety
 - Revenue implications

- Surplus assets available for sale
- The Local Transport Plan influences investment in the highway infrastructure

- Establishing the level of capital investment available which is currently projected over a three-year rolling programme.
- Providing training and information in the financial skills and competencies to officers, budget holders and Members who are accountable for finance within their roles.
- Establishing clear budget responsibility and accountability, recognising budget management as an essential part of good management.
- Ensure that the implementation of the SAP HANA system scheduled for Winter 2021 provides officers with enhanced reporting for better decision making.
- Ensuring that officers involved in the financial process are aware of key legislation and Council policies when supporting budget holders and project leads i.e. data protection

Income Generation

The Council will optimise the generation and effective use of external funding to achieve the Council's priorities.

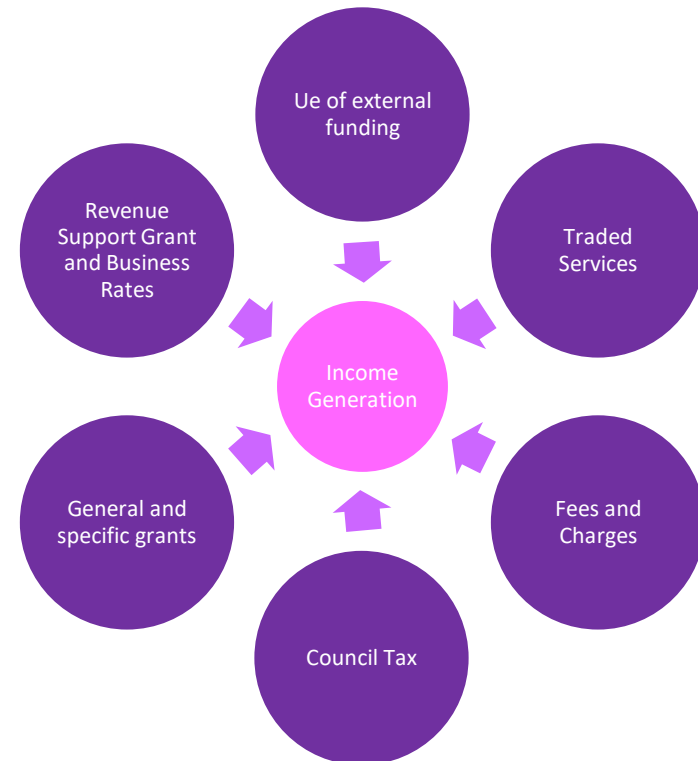
A large element of the Council's funding, both revenue and capital, is derived from external funding, primarily specific grants, Revenue Support Grant and business rates. Availability of grants can be subject to a detailed and complex bidding process. Care needs to be taken that the availability of such funding does not distort the Council's priorities, divert resources from higher priorities, or set up unreasonable future commitments for the Council Taxpayer to meet. Exit strategies need to be developed in all material cases where there is uncertainty over future external funding.

Income from service users – fees and charges – is an important part of the Council's financial strategy. Factors considered in setting the levels include – the Council's specific priorities, market forces where applicable, affordability, inflation and the balance with income demanded from Council Taxpayers.

Maximising income, although clearly an important consideration, is not the only factor in deciding on the level of fees and charges. The Council has a Charging Policy which sets out best practice in the setting of charges, which stipulates that charges should be subject to an annual review.

We will achieve this by:

- Exploring and implementing opportunities for greater trading and income generation to help the Council be more self-sufficient and less reliant on Government funding.



- Examining different investment opportunities to maximise investment returns.
- Collecting all income due promptly and taking effective and appropriate recovery action where appropriate.
- Ensuring officers across the Council are provided with the appropriate tools and support to make informed decisions in respect of commercial and trading activity
- Ensuring that the Council has a robust financial template to support the evaluation of trading costs and income generation for individual activities
- Periodically reviewing traded services to ensure original business objectives are still being achieved and income generation maintained, without detriment to the Council's other service provision

Probity

The Council has a duty to safeguard public money by ensuring there are appropriate levels of financial control, internal audit and governance. The Council's approach to financial management is to provide Members and Officers with a high level of flexibility to optimise service delivery within a controlled framework. The control framework is outlined in the Annual Governance Statement which is reviewed and approved annually by Council and published in the annual Statement of Accounts.

The Council's Internal and External Audit have an approved plan of work that supports the finance function and service delivery. Reviews cover key risk areas across the Council to identify potential weaknesses or issues with compliance that may affect the delivery of the enterprising council model. The Council's Audit Committee enables independent challenge to both the Audit coverage and senior management where appropriate actions have not been taken to address agreed recommendations.



We will achieve this by:

- Providing an open and transparent budget process that ensures budgets reflect the Council's strategies and focuses resources on the priority areas as set out in the Council Plan.
- Scrutinising and challenging all significant planned investment to ensure projects are consistent with the Council's Capital Strategy.

- Producing an annual statement on the adequacy of the Council's control framework.
- Ensuring the costs, benefits and risks of any partnership working are fully assessed, that governance and reporting arrangements are appropriate and that there are financial arrangements to safeguard the Council's interests.
- Reviewing Council contracts to ensure that they are delivering value for money and demonstrating this to the Value for Money (VfM) Board.
- Scrutinising proposed project and service delivery models to ensure that they have robust business cases and deliver acceptable returns on investment.
- Identifying, assessing and reviewing financial and business risks as part of the corporate and departmental risk registers.
- Undertaking an annual review of the effectiveness of the system of internal control.
- Prioritising areas for improvement in service provision through appropriate management of risk
- Implementing recommendations from Internal and External reviews
- Achieving a 'Qualified' opinion in Internal Audit reviews relating to the administration of the Council's financial management

We will know we have been successful in delivering the Strategy by:

Outcome	Link to other Strategies/Policies/Priorities
<p>Being a key facilitator in the achievement of Council Plan goals which includes:</p> <ul style="list-style-type: none"> ○ Kept Council Tax within the lowest 25% of county council areas and lobbied government to secure a better funding settlement ○ Kept on track to achieve all planned budget savings in the medium term ○ Demonstrated value for money through excellent procurement and contract management 	<ul style="list-style-type: none"> ➤ Council Plan ➤ Fair Funding for Derbyshire ➤ Procurement Strategy ➤ Financial Regulations ➤ Capital Strategy and Asset Management Plans ➤ Financial Management Code
<p>Providing reports to Committees, Cabinet and Council on setting out the financial implications of providing new or existing services.</p>	<ul style="list-style-type: none"> ➤ Financial Regulations ➤ Budget Monitoring Policy ➤ People Strategy ➤ Procurement Strategy ➤ ICT Strategy ➤ Financial Management Code
<p>Reviewing and updating the Five-Year Financial Plan at least annually.</p>	<ul style="list-style-type: none"> ➤ Financial Regulations ➤ Council Constitution – Budget Framework ➤ Financial Management Code
<p>Ensuring our borrowing levels are affordable under the statutory prudential framework which are supported by a range of Treasury Management Indicators which are set out in the Treasury Management Strategy.</p>	<ul style="list-style-type: none"> ➤ Capital Strategy ➤ Capital Programme ➤ Asset Management Plans ➤ Treasury Management Strategy ➤ Financial Management Code
<p>Providing regular budget monitoring reports to Cabinet and Committees on the Council's latest financial position as defined in the Budget Monitoring Policy.</p>	<ul style="list-style-type: none"> ➤ Financial Regulations ➤ Budget Monitoring Policy

	<ul style="list-style-type: none"> ➤ Financial Management Code
Receiving an opinion from the external auditor that provides a true and fair view of the Council's financial standing.	<ul style="list-style-type: none"> ➤ Council Plan – ➤ Financial Management Code
Receiving a positive external audit opinion on value for money from external audit in the annual Statement of Accounts review.	<ul style="list-style-type: none"> ➤ Council Plan – ➤ Financial Management Code
Receiving an Internal Audit Annual Report that confirms the Council's risk, governance and control framework are satisfactory, with a positive Audit Opinion	<ul style="list-style-type: none"> ➤ Annual Audit Internal Plan ➤ Annual Governance Statement ➤ Risk Management Strategy ➤ Council Plan
Achieving departmental savings targets.	<ul style="list-style-type: none"> ➤ Council Plan ➤ Budget Management Policy ➤ Five Year Financial Plan
Regular monitoring of the savings targets by the Budget Strategy Group/CMT	<ul style="list-style-type: none"> ➤ Five Year Financial Plan ➤ Budget Monitoring Policy
Reviewing the Council's Earmarked Reserves at least annually.	<ul style="list-style-type: none"> ➤ Reserves Policy ➤ Financial Regulations
Developing and implementing schemes that deliver additional income to the Council	<ul style="list-style-type: none"> ➤ Charging Policy ➤ Financial Regulations ➤ Procurement Strategy
Utilising benefits realisation processes to ensure that projects have delivered the anticipated paybacks.	<ul style="list-style-type: none"> ➤ Financial Management Code ➤ Capital Strategy ➤ Asset Management Plans ➤ ICT Strategy ➤ Procurement Strategy
Ensuring all finance staff are provided with ongoing training including an annual My Plan/PDR review	<ul style="list-style-type: none"> ➤ People Strategy ➤ Financial Management Code

Ensuring agreed KPI's re transparent and consistent with defined targets, with action taken to address under achievement.	➤ Council Plan

Delivering the Financial Strategy

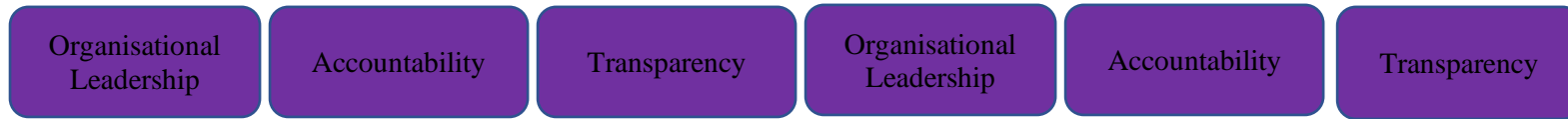
Financial Management Code

The Financial Management Code provides guidance for good and sustainable financial management in local authorities. By complying with the principles and standards within the Code, authorities will be able to demonstrate their financial sustainability.

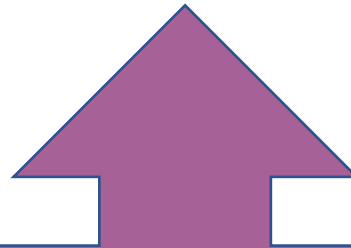
Complying with the standards set out in the Financial Management Code is the collective responsibility of elected members, the chief finance officer and their professional colleagues in the leadership team. Complying with the Code will help strengthen the framework that surrounds financial decision making.

The Code establishes an approach based on six principles of good financial management. The Council has undertaken a gap analysis in ensuring that it meets the requirements of the Financial Management Code. It is evident that the Council already has a strong level of compliance with many aspects of the Code

Six Principles of Good Financial Management



Areas of Good Practice Identified within the Council



- Risk arrangements
- The Chief Financial Officer's role within the Council
- Budget and treasury management and strategy
- Budget setting
- Delivery of statutory accounts
- Auditor Value for Money opinion
- Capital strategy
- Stakeholder engagement
- Using reports to identify correct emerging risk to the Council's financial sustainability

The significant areas where further work is required to document how the Council is compliant with the Code relate to governance and financial management style; that:

- The Council applies the CIPFA/SOLACE Delivering Good Governance Framework in Local Government
- The Council's leadership team demonstrates in its actions and behaviours responsibility for governance and internal control

Audit Services will be able to document compliance in these areas, based on the substantial work they have already performed on the Local Code of Corporate Governance.

Areas which have been identified as less strong, with a need for improvement and action include:

- Adequate staff resources and processes to project manage transformation programmes.
- Planning and managing capital resources well.
- Reviewing and re-engineering financial processes to ensure they are efficient, effective and delivery of agreed outcomes is optimised.
- Sensitivity analysis in financial planning.
- Scenario planning inf financial forecasts.
- Adequate use and reporting of options appraisals.

The FM Code will be at the heart of everything we do in delivering the Financial Strategy and ensuring that the Council adheres to good financial management practice.

Revised Finance Operating Model

In 2021, the Council will implement a revised operating model for the finance function.

The key drivers for change include:

- Embedding the One Council approach to the delivery of services.
- Streamlining service delivery and embedding modern practices.
- Potential benefits of alternate operating structures.
- Consistent working practices.
- Improved communications and workflow.

The revised model will bring:

- Improved collaboration, processes, and workflow
- Enhanced communication
- Development of career pathways
- Regular training and knowledge sharing for finance staff

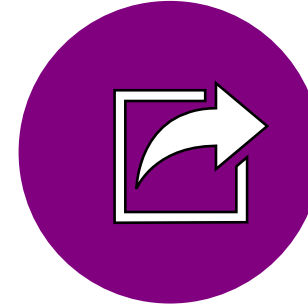
Our Three Underlying Principles



SIMPLIFY



STANDARDISE



SHARE

These will be the three underlying principles which are fundamental in helping to shape our revised operating model for the finance function. We will utilise modern technology to support the transformation of the service, providing not only finance colleagues, but also other key stakeholders, with a set of tools to enhance decision making.

We will examine our processes and look to implement workflows where it is appropriate to do so.







The function will adopt practices that ensure consistent working practices across the function.

Colleagues will be afforded the opportunity to share knowledge and experience in supporting the development of people in finance roles.

In delivering the revised model the following objectives have been set which sit alongside the underlying principles outlined above.

	Simplify	Standardise	Share
Processes	Utilising modern technology such as SAP HANA and Office 365 to streamline existing processes.	A consistent approach in the way we operate our service.	Ensuring that our processes are understood by all key stakeholders.
Workflow	Remove unnecessary steps in existing processes.	Automation to support the Council's Chart of Authority and Schemes of Delegation.	Seamless flows between stakeholders in the authorization process.
Communication	Ensure the range of technology available is embraced by all finance officers.	Documentation including all financial reports should be in a consistent format.	Finance should be at the heart of all decisions made by the Council.
Learning	Provide a suite of training that is suitable for modern ways of working.	Ensure there is a consistent programme of relevant training during the annual financial cycle.	Provide a platform where finance officers can share ideas and knowledge.
Career Pathways	A structure that provides grades to support career development	Job descriptions, person specifications and task briefs that are fit for purpose.	The finance function is recognized as providing career opportunities for all.
Finance Business Partnering	Tools are developed to provide decision makers with accurate and timely financial information.	The guiding principles of budget monitoring activity as set out in the Council's Financial Regulations are undertaken.	All stakeholders understand their role in ensuring that capital and revenue budgets are accurately monitored.

Our objectives in delivering a revised operating model

	<p>The 'go to' finance partner for services to provide expert advice and informed decision making.</p>		<p>Collaboration to promote knowledge-sharing, connecting teams across the finance function.</p>
	<p>Provide a service that is built on providing analysis and insight from good quality data delivered through analytics and visualisation tools.</p>		<p>Equip finance officers with a diverse range of core skills and knowledge to deliver a high-performing function.</p>
	<p>Our budget holders and key stakeholders understand their responsibilities in terms of monitoring budgets and good financial management practice as defined in the Council's Financial Regulations.</p>		<p>Enhance our systems and processes to unlock efficiency in our workflows and workstreams.</p>

Delivering Finance for the Future

In 2021/22 we will:

Implement our revised structure and operating model.

Implement a Finance Office 365 Teams site that provides tools for greater knowledge sharing and training.

Start the journey to ensure that a regular suite of relevant and practical training is provided to finance officers.

Examine and review processes which will deliver an enhanced experience for the end-user.

Ensure that all officers are afforded the opportunity to complete a My Plan/PDR with their manager.

Review the way we deliver our insurance claims ensuring there is a consistent approach and will have provided appropriate training to our insurance officers.

Review our transactional procurement activity.

In 2022/23 we will:

Implement a team to ensure our procurement transactional activity is efficient.

Ensure all budget holders have received training in the use of SAP and are afforded the opportunity to attend regular finance briefings.

Continue to offer finance officers a suite of appropriate training in supporting their roles.

Implement SAP HANA ensuring financial reporting data is greatly enhanced

Have progressed the finance business partnering approach to ensure that finance officers and budget holders understand the revised approach to budget monitoring activity.

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Agenda Item No

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Director of Finance & ICT

Preparation of Budget 2022-23
(Corporate Services and Budget)

1. Divisions Affected

1.1 County-wide.

2. Key Decision

2.1 This is not a Key Decision.

3. Purpose

3.1 To seek Cabinet approval for the proposed timetable for the Council's 2022-23 budget preparation and procedures and the associated consultation arrangements.

4. Information and Analysis

Preparation of Budget 2022-23

4.1 The production of the Council's budget is undertaken in accordance with the requirements of the Council's Constitution. The Constitution requires that a timetable is publicised by Cabinet for making proposals to the full Council in relation to the annual Revenue Budget, along with

arrangements for consultation with stakeholders, which should be for a period of not less than six weeks. The proposed timetable is attached at Appendix 2.

4.2 A key element of the Council's budget setting process is consultation with stakeholders.

4.3 It is proposed to undertake the following consultation activities:

- The annual online "Your Council, Your Voice Survey", which will be available in hard copy on request. The consultation will run for six weeks during September and October 2021 to enable the findings to better align with the budget setting, Council Plan and service planning timetables. The survey will build on previous surveys which have been undertaken over the last two years, combining both budget and residents' consultation and enabling the tracking of resident perception on a range of key issues.
- The consultation will be publicised as follows:
 - Direct survey e-mail to residents who have previously expressed an interest in being involved in further consultation with the Council.
 - Articles on the Council's website, in the 'Members' News' Councillors' briefing, in the 'Our Derbyshire' employee newsletter and in the 'Derbyshire Now' Council's residents' magazine, should scheduling of the consultation allow.
 - Short Facebook paid-for advertising campaign.
 - E-newsletters to community groups, parish councils, partner organisations and community publications.
 - Media releases and blogs.
 - Organic social media campaign on Twitter and Facebook.
- Whilst carrying out the survey has provided useful public budget consultation information and enabled a tracking of changes over time, information gathered is limited to direct responses to the questions posed and therefore will provide an overview rather than providing a detailed understanding of the reasons behind respondents' choices. As in 2020, it is therefore intended to undertake additional activity, to explore a number of issues in greater detail. This will begin with a series of virtual conversations and focus groups with residents, which will take place throughout October 2021 during the survey period. By inviting residents to be involved in this way, it is hoped that the Council will gain a better understanding of

what residents think about the Council, their local area and which services are important to them and why.

- Residents who have expressed an interest in being involved in the survey will be invited to take part in focus group activity. As part of the approach for 2021, weekly headline findings will be gathered and these will support the conversations and discussions with residents through focus group activity.
- As a result of this combined approach, the Council will have a rich blend of both quantitative and qualitative information available, to not only support this year's budget setting, Council Plan development and service planning but to also form the basis for further work, to build a better insight into residents' views about the Council, their local area and services.
- Statutory consultation with business ratepayers, corresponding with representatives of the Derbyshire and Nottinghamshire Chamber of Commerce and the Federation of Small Businesses on the Council's budget proposals.
- Consultation with the trade unions at a Corporate Joint Committee.
- Consultation with the Council's Resources Improvement and Scrutiny Committee.

4.4 Cabinet will take account of the consultation when drawing up firm proposals to the Council. Results will be communicated after the consultation has ended.

4.5 As in previous years, an initial Equality Impact Assessment (EIA) will be undertaken at a corporate level. It is anticipated that this corporate assessment will help identify areas where there is a significant risk of adverse impact which would then be subject to a full equality impact assessment process. Alongside the budget consultation, the budget saving proposals in the updated Five Year Financial Plan will be considered to assess which proposals will need a separate targeted consultation with staff, the public and/or with current/potential service users. The outcomes of these processes will be reported to Council/Cabinet as part of the budget process and specific assessments/consultation outcomes reported to Cabinet before decisions are made on individual services.

Five Year Financial Plan

- 4.6 The Council's Five Year Financial Plan 2021-22 to 2025-26 (FYFP) is being updated during 2021-22.
- 4.7 The FYFP will be updated again as part of the budget setting process, to reflect the Government's Autumn Budget, the outcome of the Comprehensive Spending Review 2021, which is thought likely will be for a multi-year period but is not yet confirmed, and the Provisional Local Government Finance Settlement. Announcements are expected in November/December 2021, although the Autumn Budget could again be postponed to the following Spring due to the Covid-19 pandemic.

Reserves

- 4.8 The Council has in place a Reserves Policy which sets out the framework within which decisions will be made regarding the level of reserves. In line with this framework the balance and level of reserves over the medium term are regularly monitored to ensure they are adequate to manage the risk of the Council. This covers both the General and Earmarked Reserves.
- 4.9 A review of the Earmarked Reserves Position is being undertaken and the results will be included in a report to Cabinet in November 2021.

5. Consultation

- 5.1 Budget consultation proposals are included in Section 4 of the report.

6. Alternative Options Considered

- 6.1 Do nothing – the Council is required to outline its budget preparation timetable each year. Not doing this would be contra to Appendix 7 of the Council's Constitution – Budget and Policy Framework Procedure Rules, which requires that Cabinet will publicise a timetable for making proposals to the Council for the adoption of any plan, strategy or budget that forms part of the budget and policy framework, and its arrangements for consultation after publication of those initial proposals. The consultation period shall in each instance be not less than 6 weeks.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 Papers held electronically by Technical Section, Finance & ICT Division, Room 137, County Hall.

9. Appendices

- 9.1 Appendix 1 – Implications
- 9.2 Appendix 2 – Budget Preparation Timetable

10. Recommendations

That Cabinet:

- 10.1 Approves the timetable for completion of the 2022-23 budget, including arrangements for consultation with stakeholders and the carrying out of an assessment of the need for full equality impact assessment on budget saving proposals.
- 10.2 Notes the proposals for reviewing and updating the Five Year Financial Plan.
- 10.3 Notes the arrangements for reviewing Earmarked Reserves and updating the General Reserve projections.

11. Reasons for Recommendations

- 11.1 The Council's Constitution contains Budget and Policy Framework Procedure Rules, which must be followed when the Council sets its budget. These require that Cabinet will publicise a timetable for making proposals to the Council for the adoption of any plan, strategy or budget that forms part of the budget and policy framework, and its arrangements for consultation after publication of those initial proposals. Cabinet must propose a budget by early February to allow the Council, should it so wish, to raise objections and refer the budget proposals back to Cabinet for further consideration, allowing time to finalise the precepts before 1 March.
- 11.2 When setting the budget, the Council must be mindful of the potential impact on service users. The consultation activities which are proposed in the preparation of the 2022-23 budget are relevant in this respect.
- 11.3 Section 149 of the Equality Act 2010 imposes an obligation on Members to have due regard to protecting and promoting the welfare and

interests of persons who share a relevant protected characteristic (age; disability; gender re-assignment; marriage and civil partnership; pregnancy and maternity; race; religion or belief; sex and sexual orientation). The high-level initial Equality Impact Assessment (EIA) which will be undertaken at a corporate level will help identify areas where there is a significant risk of adverse impact which would then be subject to a full equality impact assessment process.

11.4 The Council is responsible for approving the Five Year Financial Plan proposed by Cabinet.

11.5 Section 43 of the Local Government Finance Act 1992 requires precepting authorities in England and Wales to assess the level of reserves needed for meeting estimated future expenditure when calculating the budget requirement.

12. Is it necessary to waive the call in period?

12.1 No

Report Authors:
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Contact details:
Eleanor.Scriven@derbyshire.gov.uk

This report has been approved by the following officers:

On behalf of: Director of Legal Services and Monitoring Officer Director of Finance and ICT	
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Implications

Financial

1.1 As outlined in the body of the report.

Legal

2.1 Under section 65 of the Local Government Finance Act 1992, as a major precepting authority, the Council has a statutory duty to consult ratepayer representatives on its annual expenditure proposals, ahead of setting its budget. Certain prescribed information must be sent to the persons the Council proposes to consult as soon as practicable and, in any event, not later than 15 February by virtue of the Non-Domestic Ratepayer (Consultation) Regulations 1992.

2.2 The Council also has a statutory duty under the Local Government Act 1999 to secure continuous improvement in the way in which its functions are exercised, having regard to a combination of economy, efficiency and effectiveness and when determining how to discharge this duty has to consult representatives of a wide range of local persons.

2.3 In performing these statutory duties the Council must have regard to statutory guidance issued by the Secretary of State.

2.4 The obligations set out in the Budget and Policy Framework included in the Constitution are as outlined in the body of the report.

2.5 Case law has established minimum requirements of consultation, which are:

- Consultation must be at a time when proposals are at a formative stage.
- Sufficient information must be given to permit a person to “give an intelligent consideration and response”.
- Adequate time must be given for consideration and response.
- The results of the consultation must be conscientiously taken into account in finalising any proposal and provided to the decision maker to inform their decision.

- 2.6 The type and extent of consultation must be proportionate to the potential impact of the proposals. The consultation exercise will be conducted in a timely fashion to enable sufficient time for the budget to be approved by Cabinet and Council in accordance with the timescales set out in the report.
- 2.7 The proposals set out in the report meets the necessary legislative and Constitutional requirements.

Human Resources

- 3.1 None.

Information Technology

- 4.1 None.

Equalities Impact

- 5.1 An initial Equality Analysis will be carried out in relation to the Council's proposed Revenue Budget Report 2022-23. This outlines the overall likely impacts upon the different protected characteristic groups and is based on those areas which have been identified for savings. It also reflects upon the ongoing work to develop cumulative impact analysis and to consider the linkages between the Council's budget savings and those being made elsewhere in Government and by public sector partners.
- 5.2 Increasingly budget savings are resulting in reductions or changes to frontline services, which directly affect the people of Derbyshire. In particular, they are likely to pose a potential adverse impact for some older people, disabled people, children and younger people and families. In part this is because many of the Council's services are targeted at these groups and these services command the largest parts of the Council's budget. At the same time, other national and local changes are also likely to continue to affect these groups in particular. As indicated above, an initial budget Equality Analysis will be carried out. As explained above, this assessment helps identify areas where there is a significant risk of adverse impact which would then be subject to a full equality impact assessment process prior to Cabinet decisions on individual services.
- 5.3 Also as outlined in the body of the report.

Corporate objectives and priorities for change

- 6.1 The Council Plan values commit to spending money wisely, making the best use of the resources that the Council has.
- 6.2 The Covid-19 pandemic has increased the financial uncertainty for all local authorities. The Council is committed to ensuring that it sets a balanced budget over the medium-term, therefore ensuring good financial management and use of reserve balances to meet the costs of any unforeseeable events arising from the pandemic.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

- 7.1 None.

Budget Preparation Timetable

<i>Mid-September 2021</i>	Deadline for 2022-23 budget savings proposals and service pressures to be submitted to the Director of Finance & ICT and approved by Executive Directors for direct inclusion in Revenue Budget Report.
<i>September - October 2021</i>	Public budget consultation, forming part of the "Your Council, Your Voice Survey 2021".
<i>September - October 2021</i>	Virtual conversations and focus groups with residents.
<i>October 2021</i>	Results of public budget consultation available for informing the Revenue Budget Report and reporting in the Budget Consultation Report.
<i>November - December 2021</i>	Priorities for revenue and capital spending, budget savings and risks facing the Council are evaluated. Improvement and Scrutiny Committee consulted. Trade Unions consulted. Business Ratepayers consulted. Outcome of the Government's Autumn Budget and Comprehensive Spending Review 2021 analysed.
December 2021	Government announces details of Provisional Local Government Finance Settlement.
15 January 2022	Deadline for collection fund and business rates figures to be submitted to the Council by district/borough councils.
20 January 2022	Cabinet considers report on final revenue/capital settlements, results of consultation/budget priorities, reserves position and Five Year Financial Plan and determines budgets and Council Tax recommendations to Full Council.
31 January 2022	Deadline for taxbase figures to be submitted to the Council by district/borough councils.
2 February 2022	Council debates Cabinet recommendations/results of consultation and determines revenue/capital budgets and Council Tax level for 2022-23.
1 March 2022	Notify district/borough councils of precepts.

* Dates in bold are statutory deadlines. Date in italics is a key milestone in the timetable. These dates must be met. The other dates are for indication only.



Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Director of Finance & ICT

Corporate Risk Management Strategy 2021-2025
(Corporate Services and Budget)

1. Divisions Affected

1.1 Not applicable.

2. Key Decision

2.1 This is not a Key Decision.

3. Purpose

3.1 The purpose of this report is to present the Corporate Risk Management Strategy 2021-2025 for Cabinet approval.

3.2 Cabinet are asked to:

a) Approve the Corporate Risk Management Strategy 2021-2025.

4. Information and Analysis

4.1 The Corporate Risk Management Strategy is reviewed thoroughly every four years alongside the Council Plan. Effective risk management is vital for delivering the Council's strategic and operational objectives. It reduces levels of uncertainty in delivery which increases the likelihood

of the Council achieving the outcomes described in the Council Plan and operational service delivery plans.

- 4.2 The proposed Corporate Risk Management Strategy for 2021-2025 is shown in Appendix 2. It builds on the principles and aspirations in the previous Strategy and includes a new target to place the Council among the best performing local authorities for risk management by December 2024.
- 4.3 The Strategy uses national and international standards and promotes recognised risk management practices. This is kept under constant review, drawing on best practice and learning shared between local authorities, ALARM¹ and insurance companies on a regular basis.
- 4.4 The key changes from the previous Strategy are:
 - 4.4.1 Improved definitions of strategic and operational risks and introduction of the term 'major risk' to describe a risk assessed as 'Red' and 'Amber'.
 - 4.4.2 The adoption of national and international standards for organisational resilience (BS6500:2014 and ISO 22301:2019) to strengthen business continuity practices required to meet the Council's obligations under the Civil Contingencies Act 2004.
 - 4.4.3 Clearer steps to embed 'enterprise risk management' to better manage portfolios of risk linked to corporate objectives and deliverables, including a more systematic approach to identifying, assessing, recording and managing risks.
 - 4.4.4 Strengthened requirements for risk assessments in new proposals and business cases to improve corporate decision-making.
 - 4.4.5 Deepening and strengthening the culture and governance of risk management at all levels of the Council.
 - 4.4.6 Introduction of a public-facing corporate risk register setting out the Council's strategic risk environment and attitude to risk, published each year alongside the Council Plan beginning in 2022-23.
 - 4.4.7 A greater emphasis on risk impact when scoring risks; and scoring reputational risk separately to provide better visibility.
 - 4.4.8 Recording corporate risks in APEX (the Council's performance information system) to improve monitoring, analysis and reporting.
- 4.5 An outline implementation plan is shown in Appendix 3. Leadership and oversight of the Strategy and progress in meeting performance targets

¹ Association of Local Authority Risk Managers (ALARM)

will be provided by the Council's Corporate Management Team and Corporate Risk Management Group (CRMG). Progress will be reported regularly to the Audit Committee.

- 4.6 The Council is using the CIPFA²/ALARM risk management maturity framework to drive and monitor risk management performance improvement. A self-assessment is currently being undertaken in all parts of the Council to set the baseline for measuring progress towards the December 2024 performance target. Progress will be reviewed and reported regularly to the Audit Committee, with an external independent assessment provided each year.

5. Consultation

- 5.1 The Audit Committee has reviewed and endorsed the Corporate Risk Management Strategy 2021-2025 for Cabinet approval.

6. Alternative Options Considered

- 6.1 Option 1 - Do nothing: Work using the current Strategy identified several areas which, if left, would hamper continued improvements in corporate risk management performance, including the implementation of 'enterprise risk management' across the Council. This option was therefore rejected.
- 6.2 Option 2 - Strengthen the Strategy: The areas for improvement summarised in paragraph 4.4 have been incorporated in the revised Strategy for 2021-2025.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 Derbyshire County Council Risk Management Strategy & Implementation Plan 2020-2021 (Version 2.7).

9. Appendices

- 9.1 Appendix 1 – Implications.
Appendix 2 – Corporate Risk Management Strategy 2021-2025
Appendix 3 – Outline Implementation Plan

² Chartered Institute for Public Finance and Accountancy (CIPFA)

10. Recommendation(s)

10.1 That Cabinet:

a) Approves the Corporate Risk Management Strategy 2021-2025.

11. Reasons for Recommendation(s)

11.1 The Cabinet is responsible for approving the Corporate Risk Management Strategy following consideration by the Audit Committee (Derbyshire County Council Constitution Articles 6 and 10).

12. Is it necessary to waive the call-in period?

12.1 No.

Report Author: Jane Morgan,
Risk and Insurance Manager, Finance & ICT Division

Contact details: jane.morgan@derbyshire.gov.uk

Implications

a) Financial

The cost of implementing the Corporate Risk Management Strategy and APEX risk management module will be absorbed within existing budgets.

The Strategy is expected to deliver increased value for money through improvements to decision making, managing service delivery outcomes and contingency planning. Improved risk management is also expected to reduce financial liabilities arising from losses which are met from within the Council's insurance deductible (excess) and to help in controlling the authority's insurance premium.

b) Legal

The Strategy will help the Council to deliver its obligations as a Category 1 responder under the Civil Contingencies Act 2004 more effectively. Improved risk management is also expected to help to reduce the likelihood of legal claims against the Council and the number of occasions the Council would need to pursue legal action.

c) Human Resources

Subject to senior officer approval, it is proposed to recruit a corporate business continuity management specialist to advise and support with development and updating of the Council's business continuity plans. The officer will be managed within the Council's Emergency Planning Team. All other implementation of the Strategy will be met using existing human resources.

d) Information Technology

The risk management module is an extension to existing InPhase software already used to support APEX. The module is designed for full compatibility and integration with the other InPhase modules.

The InPhase software offers considerable user configuration to meet business needs, including bespoke dashboards and customised reporting formats.

e) Equalities Impact

An equality impact analysis has not been completed as there are no substantial proposals being made to alter a policy, service, or function in the delivery of risk management. Implementation of the strategy will improve the identification and assessment of risks, including the impact on equality, reducing the potential for legal challenges under the Equality Act 2010.

f) Corporate objectives and priorities for change

CCP service plan 2021-2025 deliverable: Rolled out the revised Risk Management Strategy (April 2021-March 2022). Owner: Jane Morgan (Finance & ICT).

This also contributes to the CCP deliverable: Further developed and embedded the Council's performance management framework (April 2020-June 2021). Owner: Sarah Eaton (Strategy & Policy).

Implementation of the Corporate Risk Management Strategy will support the better delivery of all corporate aims and objectives.



**CORPORATE RISK MANAGEMENT STRATEGY
2021-2025**

Version History			
Version	Date	Detail	Author
3.01	10.05.2021	First draft	Tony Kearsy
3.02	04.06.2021	Second draft	Tony Kearsy
3.03	11.06.2021	Third draft	Tony Kearsy
3.04	29.06.2021	Fourth draft	Tony Kearsy
3.05	13.07.2021	Audit Committee approval	Tony Kearsy
3.06	21.07.2021	Cabinet approval	Tony Kearsy

Contents

1. Introduction
2. Definition of risk management
3. The benefits of good risk management
4. Policy statement
5. Standards and performance
6. Enterprise risk management
7. Acceptable levels of risk
8. Culture
9. Governance and management
10. Corporate planning and delivery
11. Risk management process
12. Data protection
13. Risk management training
14. Implementation
15. Further support

Appendices

- A. Risk assessment scoring tables
- B. Glossary

1. Introduction

The Council is committed to improving its risk management performance to deliver better outcomes and greater public value for Derbyshire residents and businesses.

The Council's ambition is to be among the best performing local authorities for risk management by December 2024. This strategy sets out how we will deliver this transformational ambition using a 'one council' framework to actively manage risk at all levels of the organisation, from elected members and senior officers to managers and all those on the frontline delivering services to the public.

Feedback

This strategy is kept under constant review and feedback is always welcome. Any comments, best practice ideas and suggestions on how the Council can improve its risk management should be sent to: riskandinsurance@derbyshire.gov.uk

2. Definition of risk management

The Council has adopted the following definitions of risk and risk management:

(a) Risk

A 'risk' is an internal or external opportunity, event, issue, relationship, process or resource which presents a degree of uncertainty in delivering a desired outcome.

A 'strategic risk' is a risk which has a fundamental impact on the Council's purpose, constitution, strategic aims and objectives and ability to carry out its statutory and other major obligations.

An 'operational risk' is either a 'process' or 'resource' risk:

- 'Process risk' - a risk which concerns the policies, procedures, plans, practices and related vulnerabilities in successfully delivering the Council's strategic and operational aims and objectives.
- 'Resource risk' - a risk which concerns the human, financial, physical, information and intellectual resources of the Council required to successfully deliver its strategic and operational aims and objectives.

A 'major risk' is a risk which has been assessed as 'Red' or 'Amber' using the Council's corporate risk assessment criteria.

(b) Risk management

'Risk management' is the proactive identification, assessment, acceptance and management of risks to successfully deliver the Council's vision, aims, objectives and statutory obligations.

3. The benefits of good risk management

Good risk management offers the Council many benefits, including:

- Creating greater public value by reducing uncertainty, leading to the delivery of better services and outcomes.
- Protecting and enhancing the Council's reputation as a local authority.
- Ensuring statutory and other obligations are met.
- Achieving greater organisational and community resilience.
- Protecting the Council's assets, including property and information.
- Better decision making, management control, and resource allocation.
- Increasing value for money from public funds and the Council's other resources.
- Minimising liabilities, including legal action and claims against the Council.
- Improving assurance and public accountability.

4. Policy statement

The Council is committed to being among the highest performing local authorities in England for risk management. To deliver this ambition, the Council has adopted relevant risk management standards and an enterprise risk management (ERM) operating framework.

The Council overall has a moderate tolerance of risk. Acceptance of specific risks will be exercised flexibly according to business needs, benefits and priorities.

The Council will exercise a proportionate approach, focussing management attention on those risks with the highest potential impact on delivery and greatest uncertainty.

5. Standards and performance

The Council's strategy is guided by the principles and aims of risk management, resilience and business continuity management set out in the following standards:

- BS ISO 31000:2018 - Risk management - Guidelines.

- BS 65000:2014 – Guidance on organizational resilience
- ISO 22301:2019 – Security and resilience – business continuity management systems - requirements

Progress will be measured using the CIPFA risk management capability framework. The Council aims to achieve the following levels of performance in all framework categories by the dates shown below:

- Level 3 (Working) – by March 2022
- Level 4 (Embedded and Working) – by March 2023
- Level 5 (Driving) – by December 2024

All Departments are collectively and individually responsible for delivering the Council's ambition and targets.

Departments are accountable for progress to the Corporate Management Team (CMT) and Audit Committee, with advice and support available from the governance and corporate risk management groups, corporate risk and insurance team and internal audit.

6. Enterprise risk management

The Council's strategy is based on the 'enterprise risk management' (ERM) approach. This means greater public value is delivered as:

- The Council takes a 'one council' view of risk, focussed on the risks associated with corporate objectives and deliverables rather than Departmental boundaries.
- Risk management is an integral part of good management and decision-making, embedded in the structure, operations, and processes of the Council at strategic, operational, programme and projects levels.

This approach offers many benefits, including:

- A consistent approach to risk management across the Council.
- An overview of risks related to a service and deliverable, and their importance.
- Ensuring statutory and other obligations are met.
- Protecting and enhancing the Council's reputation as a local authority.
- Protecting the Council's assets, including property and information.
- Better decision making, management control, and resource allocation.
- Increasing value for money from public funds and the Council's other resources.
- Minimising liabilities, including legal action and claims against the Council.
- Achieving greater organisational and community resilience.
- Improving assurance and public accountability.

The key elements in delivering this approach are:

Culture

- Creating a risk-aware culture – embedding risk awareness and management at all levels of the Council (described further in Section 8 below).

Strategy and decision making

- Horizon scanning – regular horizon scanning for external risks likely to have a strategic impact on the Council's purpose and activities.
- Critical decision making - informed risk assessment and recommendations for all critical decisions taken by Cabinet, CMT and departmental management teams, including how major risks will be managed.

Management

- Portfolio risk management - identifying and managing all strategic, process and resource risks in the context of specific services and objectives (i.e. deliverables).
- Managing combined risk exposure – assessing and managing the exposure of all related risks across the Council.
- Benefits management – ensuring that desired outcomes are achieved, by actively managing significant hazard risks and having effective contingency arrangements in place.
- Minimising disruption – ensuring that unexpected disruption to delivery of critical objectives, services and core processes is minimised through effective business continuity management and planning.

Reporting and assurance

- Integrated performance reporting - corporate performance reporting on the delivery of objectives to include all directly related major risks and combined risk exposure.
- Assurance - structured assurance arrangements for CMT, the Audit Committee and Cabinet, focussed on risk portfolios for Council objectives and core processes.

7. Acceptable levels of risk

The Council accepts that risk is an inherent part of innovation, pursuing new opportunities and delivering high quality services. It also accepts that it is not possible, practical, or desirable to eliminate all risk from its activities.

The Council therefore seeks to manage all risk within acceptable levels (its 'risk appetite' or 'tolerance'). While overall having a moderate tolerance of risk, the level of risk accepted will vary between the opportunities being sought, activities being delivered and the overall risk exposure from combined risk of a similar nature.

A flexible approach will be used, with balanced decisions made on a case-by-case basis to decide how much risk the Council will accept, taking account of the:

- General guide to the Council's risk appetite, shown in Table 1.
- Potential benefits and disbenefits of accepting each risk.
- Related portfolio of risk (e.g. property, financial investments, client groups etc.).
- Impact on the Council's aims, objectives, and reputation if the risk materialised.

Table 1 – Risk appetite guide

Acceptability (or 'tolerance')	Examples
Lower acceptability	<ul style="list-style-type: none"> • Statutory responsibilities. • Safeguarding of vulnerable adults and children. • Health and safety of the public and employees. • Community safety. • Safety critical maintenance. • Larger investments with smaller returns or limited public benefit.
Limited acceptability	<ul style="list-style-type: none"> • Unproven policy and service innovations with a significant risk of failure, but with the potential for substantial public or economic benefit (a limited number of such innovations will be pursued at any time).
Higher acceptability	<ul style="list-style-type: none"> • Smaller investments with higher returns or wider public benefit. • New opportunities, potentially with substantial public or economic benefit, which have an existing evidence base and offer more certainty of a successful outcome. • Organisation and service efficiency measures which offer significantly improved performance, including 'spend to save' measures, with a high likelihood of success.

8. Culture

Risk management is the responsibility of everyone in the Council. The Council therefore aims to promote a culture of active risk management at all levels of the organisation.

Building a strong risk-aware culture offers many benefits, including:

- A clear understanding by everyone that good risk management is fundamental in delivering the best outcomes.
- Much greater awareness of different types of risk, and how it impacts on the wider aims, objectives, and reputation of the Council.

- Routinely considering risk in all decision making, with more significant risks quickly identified and escalated for higher-level management attention as needed.
- Improved dynamic risk management, by developing an individual's judgement in routinely considering and managing risk in all day-to-day activities.
- Greater community satisfaction and fewer claims against the Council.

9. Governance and management

Responsibility and accountability for overseeing and delivering the risk management strategy, including promoting a risk-aware culture, are distributed throughout the Council. Specific roles and responsibilities are:

(a) Governance

Council Leader and Cabinet members

The Council Leader and Cabinet members have governance responsibility, including:

- Approving the Council's risk management strategy and framework.
- Receiving assurance from the Audit Committee on the Council's risk management strategy, performance and implementation
- Receiving the Managing Executive Director's annual risk management report.
- Receiving occasional reports on strategic risks affecting the Council.

Audit Committee

The Audit Committee has responsibility for detailed oversight and scrutiny of the Council's risk management arrangements and performance on behalf of the Council Leader and Cabinet members, including:

- Overseeing the corporate risk management strategy and framework and its implementation.
- Overseeing risk management by officers, including ensuring that risks are adequately considered when setting Council and departmental objectives and that only appropriate risks are accepted.
- Regularly reviewing the corporate risk register and progress with managing major risks.
- Monitoring the adequacy of the risk management arrangements and their implementation.
- Overseeing delivery of the Council's ambition to achieve Level 5 (Driving) on the CIPFA risk management capability framework, by December 2024.
- Providing assurance to the Council Leader and Cabinet members on all aspects of risk management.

(b) Management

Corporate Management Team

The Managing Executive Director is accountable to the Council Leader and Audit Committee for risk management in the Council. All members of the Corporate Management Team (CMT) have responsibility for:

- Promoting a strong risk management culture.
- Having a clear understanding of the external and internal risk environment, and the impact of this on the successful delivery of the Council and service delivery plans.
- Ensuring that major risks and overall risk exposure are fully assessed and reflected in advice and recommendations for the Cabinet to make informed decisions.
- Challenging risk management performance, including seeking assurance of satisfactory progress in managing all significant risks.
- Leading and driving delivery of the risk management strategy, including the Council's ambition to achieve Level 5 (Driving) on the CIPFA risk management capability framework, by December 2024.

Corporate Risk Champion - the Corporate Risk Champion is an Executive Director nominated by the CMT to actively promote the aims of the corporate risk management strategy in the Council.

Corporate Risk Management Group (CRMG)

The Corporate Risk Management Group (CRMG) has responsibility for:

- Providing oversight of corporate risk management issues, including delivery of the Council's corporate risk management strategy.
- Regularly reviewing strategic risks to the Council for the attention of CMT and Audit Committee, including those for potential inclusion in the annual update to the Council's corporate risk register.
- Promoting the principles of enterprise risk management in the Council.
- Maintaining an overview of the Council's business continuity and contingency planning arrangements, including its interface with the Derbyshire Local Resilience Forum.
- Considering corporate risk management training and development for Councillors and officers, including the development of specialist risk expertise.

Senior management teams

Each Executive Director is accountable to the Managing Executive Director for risk management in their department. All senior department, directorate and division management teams have responsibility for:

- Having a clear understanding of the external and internal risk environment, and the impact of this on the successful delivery of the service delivery plan.
- Ensuring that significant risks and overall risk exposure are fully assessed, regularly reviewed, and reflected in advice and recommendations for the CMT and Cabinet to make informed decisions.
- Regularly reviewing and challenging risk management performance, including seeking assurance of satisfactory progress in managing risks within the department and by each directorate and division.
- Conducting a periodic self-assessment of progress by their department and each directorate and division in meeting corporate risk management performance targets detailed in Section 5 above.

Managers

All managers have responsibility for:

- Understanding and implementing the corporate risk management strategy.
- Communicating and supporting good risk management practice in their teams.
- Keeping abreast of all risks related to their responsibilities.
- Ensuring that risks are actively managed, recorded and progress regularly updated on APEX and local risk action plans as necessary.
- Promptly escalating issues to senior managers as necessary.

All other employees

All employees have responsibility for:

- Managing risk effectively in their own jobs.
- Using risk assessments effectively and suggesting to a manager where an assessment would be beneficial.
- Reporting unassessed hazard risks to their manager.

10. Corporate planning and delivery

The consideration, understanding and informed acceptance of risks is an integral and vital part of corporate planning, delivery management and performance monitoring.

Sufficient time will be given for the CMT, Audit Committee and Cabinet to consider and agree their appetite for proposed activities and risks prior to publication of the Council plan, corporate risk register and service delivery plans.

Council plan

When preparing the four-year Council Plan, due consideration will be given to the risks to the Council in setting specific aims, objectives, and key deliverables. This will include, but is not limited to:

- The Council's vision, mission and values.
- The social, cultural, political, legal, regulatory, financial, technological, economic and environmental context at international, national, regional and local levels as appropriate.
- Key drivers and trends.
- External and internal stakeholders' relationships, perceptions, values, need and expectations.
- Statutory obligations, contractual relationships and commitments.
- The Council's strengths, weaknesses and capabilities, in terms of resources and knowledge.
- Any limits set by statute or government policy on what the Council can do.
- The overall portfolio of risk and risk exposure.

Corporate risk register

The corporate risk register will be compiled from the corporate planning process and published alongside the Council Plan from 2022-2023.

The corporate risk register will contain those strategic and operational risks that could materially threaten the Council's operating model, future performance, ability to deliver its statutory obligations and a balanced budget, may substantially affect its reputation, or which could prevent the Council pursuing and delivering its strategic objectives and significant new opportunities.

Both the Council Plan and corporate risk register are public documents. They are reviewed and updated annually.

Service delivery plans

Service delivery plans set out in greater detail how the aims, objectives and key deliverables described in the Council Plan will be delivered in each service area.

Following the principles of enterprise risk management, service delivery plans should refer to all major risks related to the delivery of core services, regardless of where responsibility for managing a risk rests within the Council.

The proposed core services and related resource requirements form the basis for risk identification and assessment. Many of the risk factors considered in preparing the Council Plan will be addressed in more detail, together with consideration of other factors. Risk factors will include, but are not limited to:

- The relative public value created by each activity in relation to the risk appetite.
- Relevant statutory requirements, standards, guidelines, and delivery models.
- Available data, information systems and information flows.
- Allocated capital and revenue budgets.
- Savings targets.

11. Risk management process

Risk management is a dynamic, collaborative, and structured process. The risk management process underpins decision making about service planning, including which new opportunities to pursue, through to the successful delivery and realisation of the intended outcomes and benefits.

Service delivery

All current services, programmes and deliverables should be supported by a full assessment of strategic, process and resource risks and a risk action plan.

New opportunities

The Council will pursue new opportunities where there is a justified benefit and the related risks are acceptable. All new proposals and business cases should include a full assessment of strategic, process and resource risks to support informed decision making by senior officers and members.

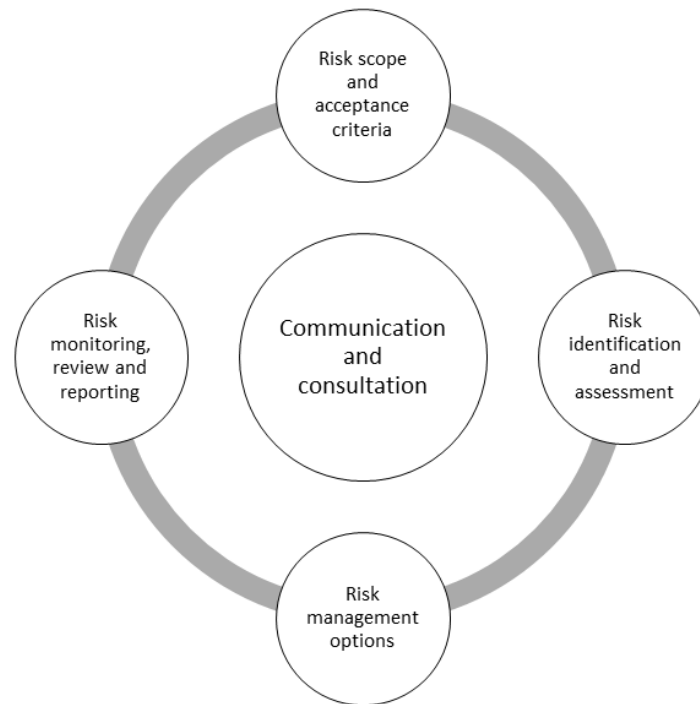
Risk management process

The key elements of the risk management process are:

- Communication and consultation.
- Risk scope and acceptance criteria.
- Risk identification and acceptance.
- Risk management options.
- Risk monitoring, review and reporting.

These are shown in Figure 1 and described further below.

Figure 1 – Risk management process



(a) Risk scope and acceptance criteria

New proposals, services, programmes, and key deliverables in service delivery plans will provide the focal point and context for risk identification and assessment.

The scope of risks covered will include:

- All relevant internal and external strategic, process and resource factors which contribute to the successful delivery of each outcome and deliverable.
- Wider considerations, including the potential overall exposure from related risks across the Council and significant impacts on the community, businesses, and partners.

The criteria for risk acceptance will include consideration of:

- The Council's risk appetite in relation to the new opportunity, service, programme or deliverable, weighing the intended benefits with hazard risks.
- The nature and type of uncertainties (both tangible and intangible) related to the intended outcome and deliverable.
- How wider impacts will be assessed.
- The Council's capacity to manage the risks during the period of delivery, including the robustness of management arrangements to deliver the intended benefits.

(b) Risk identification and assessment

All key risks which could prevent or disrupt achieving an objective or deliverable should be identified and assessed systematically. The best available information should be used, drawing on the knowledge and views of internal and external stakeholders as necessary.

Risk should be assessed using the scoring tables in Appendix A. The risk impact scoring criteria may be reworded for customised use within programmes and projects, provided the risk impact grading criteria remain consistent with Appendix A for corporate reporting purposes.

The complexity of the assessment needed will take account of the potential impact of a risk, both positive and negative, on the intended outcome and reputation of the Council.

Relevant quantitative and qualitative information should be used in assessing the severity of a risk, which may include:

- Tangible and intangible sources of risk.
- Potential changes in the internal and external context, including emerging risks.
- The potential for unintended, different or multiple impacts and outcomes.
- The actual and potential consequences for internal and external stakeholders, and their perception of the Council.
- The nature, value and availability of resources.
- Time-related factors.

Due care should be taken to understand the limitations in knowledge and information for decision making, including reliability, assumptions, biases, and beliefs.

Assessments will be documented and used in evaluating the acceptability of risks, obtaining approvals, managing the delivery of benefits, and for evaluating overall success in delivering the intended outcomes and organisational learning.

(c) Risk management options

Decisions to accept risks will depend on a range of factors, including the Council's overall risk appetite, obligations, discretionary goals and commitments, stakeholder views, financial and legal exposure, and reputational impact.

Risk acceptance

Risk appetite and acceptance will depend on how well a risk can be managed, considering:

- The balance of benefits and disbenefits of taking the risk, including the Council's overall exposure to similar risks.
- The benefits balanced with the cost, management effort, potential impact and likelihood of the risk occurring.
- Acceptability to stakeholders.
- Whether the risk has a higher or lower impact assessment.

- The severity of the risk, and if management action can contain the risk within the Council's risk appetite.

Management options

Four main options are available for managing risk (known as the '4T's').

Management action for risks with lower impact will tend towards:

- **Toleration** – accepting the risk with no active management action.
- **Treatment** – active management action to control or reduce the risk exposure to an acceptable level.

Action for risks with higher impact will tend towards:

- **Transfer** – transferring the risk to a third party, usually through a contract or insurance.
 - **Termination** – not accepting the risk if it is judged too high, even after mitigation.
- The most appropriate option or combination of management options will be used for each risk and recorded in APEX.

Risk management plans for major risks

More detailed risk management plans will be produced for major risks (assessed as Amber or Red). Plans will specify management action, how it will be implemented and used for communication and monitoring progress.

These plans should include the rationale for selecting risk management options, actions and intended impact, resources needed, any performance measures, constraints, when actions are expected to be undertaken and completed, and reporting and monitoring arrangements.

The risk management plans for major risks will be recorded in APEX.

Business continuity and contingency planning

A risk impact assessment should be completed for all core services, deliverables and supply chains, and appropriate business continuity and contingency arrangements put in place as necessary and maintained.

These arrangements should be effective in managing the potential impact of a risk and building organisational resilience for critical services and functions in both emergency and non-emergency situations.

The Civil Contingencies Act 2004

The Council's statutory responsibilities for business continuity are defined in The Civil Contingencies Act 2004. As a Category 1 responder, the Council must maintain plans to ensure it can continue to exercise its functions in the event of an emergency so far as is reasonably practicable.

The Council's duty under the Act relates to all the functions of a Category 1 responder, which include:

- Making provision for ensuring that ordinary functions can be continued to the extent required.

- Maintaining plans to deal with emergencies.
- Having arrangements to warn and inform the public in the event of an emergency.
- Having a training programme for those directly involved in the execution of the business continuity planning.
- Promoting business continuity management to businesses and voluntary organisations.

In developing business continuity and contingency plans, departments should work closely with the Council's emergency planning team as necessary, which is also responsible for co-ordinating with other Category 1 and 2 responders represented on the Derbyshire Local Resilience Forum.

(d) Monitoring, review and reporting

Management actions must be actively monitored and reviewed regularly to provide assurance that risks are being managed effectively. Changes should be made if actions are not working or have created new risks which need managing.

Risk recording

Corporate strategic and operational risks will be recorded and monitored using the Council's APEX performance reporting system being introduced during 2021-22.

The use of APEX for recording strategic and operational risks does not replace the need for specific local risk assessment, recording and monitoring arrangements where these are appropriate. This includes the safeguarding of individual vulnerable adults and children, legal cases, local health and safety assessments and similar examples.

The risk management component in APEX will be developed progressively during 2021-2024 to provide further analysis and management information as needed.

Management team risk reviews

Senior management teams should regularly review progress with risk management and identify any 'rising star' risks. Priority should be given to the most significant corporate risks, with reviews as follows:

- Red risks – at least every month
- Amber risks – at least every two months
- Green risks – at least every three months
- Blue risks – at least every six months

Corporate risk reviews and reports

The timetable for corporate risk reviews and reports to support formal governance and senior management oversight is published by the Corporate Risk and Insurance Team at the beginning of each financial year.

A summary of main reviews and reports, including their audience and frequency, is shown in Table 2.

Table 2 – Corporate risk reviews and reports

Report	Audience	By whom	Frequency
Corporate risk register (alongside Council Plan)	Public	Cabinet	Annually
Annual report on corporate risk management	Cabinet	Audit Committee and Managing Executive Director	Annually
Performance and Finance Reports (by Cabinet portfolio)	Cabinet Portfolio Holders	Lead Executive Director and Director of Finance & ICT	Quarterly
Service delivery plans (incorporating major service delivery risks)	Cabinet	Executive Directors	Annually
Review of corporate and major service delivery risks by department or service area	Audit Committee	Executive Director/Directors	Annually (each department or service area)
Corporate risks exception report	Audit Committee	Risk and Insurance Manager	Quarterly
Corporate risks review (APEX report)	CMT	Risk and Insurance Manager	Quarterly
Service delivery risk action plans (APEX report)	Senior management teams	Executive Directors, Directors, and heads of teams	Ongoing
Cabinet/CMT Papers (incorporating risk assessments)	Cabinet and CMT	Lead Executive Director/Director	As submitted
Corporate risk reports (specific topics)	Audit Committee and CMT	CRMG	As required

Lessons learned

Consideration of lessons learned should be included in regular management reviews to identify best practice, understand and learn from failures in all areas of activity, including projects, incidents, events, complaints, breaches, claims and accidents.

Lessons which may have relevance for the wider Council should be reported to the corporate risk and insurance team, which will also support significant post-incident lessons learned reviews as required.

12. Data protection

No personal data will be recorded in the corporate risk register or APEX.

Where personal data is required for risk management, this will be recorded locally in a confidential register maintained separately by the relevant department or team and

managed in compliance with the Council's information governance strategy and data protection legislation.

Other sensitive non-personal data can be recorded and protected within APEX.

13. Risk management training

The CRMG will consider and advise on risk management training and development for members (in consultation with the Governance Group), Directors, managers and other employees. This will include both general and specialist training and development needs and their delivery.

All managers should ensure that risk management performance, skills development and training is included in all employees' 'My Plan' and discussed during reviews.

14. Implementation

An implementation plan to deliver the Council's ambition and strategy will be approved and overseen by the CRMG, which will act as the programme board.

Regular reports on progress will be provided to the CMT and Audit Committee by the Director of Finance & ICT.

15. Further support

Further information, advice and support in implementing this strategy is available from the Corporate Risk and Insurance Team:

RiskandInsurance@derbyshire.gov.uk.

Appendix A – Risk assessment scoring tables

A risk is assessed by taking account of:

- Impact – the potential consequences if the risk materialised.
- Likelihood – the likely time period in which the risk could materialise.

Each risk is categorised according to its severity using the traffic light system shown in Table A1.

Greatest emphasis is given to impact scores to highlight those risks needing the most management attention.

Table A1 – Risk severity

Impact Score	Extremely high	4	Green	Amber	Red	Red	Red
	High	3	Green	Green	Amber	Red	Red
	Moderate	2	Blue	Green	Green	Amber	Amber
	Low	1	Blue	Blue	Green	Green	Green
	None	0	Blue	Blue	Blue	Blue	Blue
			1	2	3	4	5
			Rare	Unlikely	Possible	Probable	Almost certain
			Likelihood Score				

Tables A2 and A3 show the detailed criteria for assessing likelihood and impact.

Table A2 – Likelihood scoring

5	Almost certain	The event is expected to occur every year
4	Probable	The event could occur every year
3	Possible	The event could occur every two years
2	Unlikely	The event could occur every five years
1	Rare	The event could occur every 10 years or longer

Table A3 – Impact scoring

All relevant impact areas should be graded, with the highest scoring area (the ‘primary impact’) used to assess risk severity.

Note 1: Confidential risk assessment of specific individuals, legal action or claims should be undertaken separately and recorded locally by the relevant Division.

	Impact grading	Public and employee health, safety and wellbeing	Community	Economy	Environment	Service Disruption	Skills capability	Legal	Contracts and Partnerships	Information Security
4	Extremely high	Substantial level of harm to the health, safety and wellbeing of the community, members of the public or employees	Substantial disadvantage to large parts of the community and/or many vulnerable residents	Substantial negative impact on the County's economy, including hard infrastructure	International and/or national environmental damage	Substantial external or internal disruption and/or loss of service (more than seven days)	Substantial under-performance from skills gaps and/or shortages	Substantial legal action, claims and/or penalties against or by the Council	Substantial impact on service delivery from a contract and/or partnership failure	Substantial breach; Information Commissioner Office (ICO) fine; loss of ISO 27001 certification
3	High	Significant level of harm to the health, safety and wellbeing of the community, members of the public or employees	Significant disadvantage to large parts of the community and/or some vulnerable residents	Significant negative impact on the County's economy, including hard infrastructure	Significant regional environmental damage and/or failure to meet all or most internal climate change targets	Significant external or internal disruption and/or loss of service (between three to seven days)	Significant under-performance from skills gaps and/or shortages	Significant legal action, claims and/or penalties against or by the Council	Significant impact on service delivery from a contract and/or partnership failure	Significant external breach with no loss of sensitive data; or minor external breach with loss of sensitive data

	Impact grading	Public and employee health, safety and wellbeing	Community	Economy	Environment	Service Disruption	Skills capability	Legal	Contracts and Partnerships	Information Security
2	Moderate	Moderate level of harm to the health, safety and wellbeing of the community, members of the public or employees	Moderate disadvantage to large parts of the community and/or some vulnerable residents	Moderate negative impact on the County's economy, including hard infrastructure	Moderate regional and/or major local environmental damage and/or failure to meet many internal climate change targets	Moderate external or internal disruption and/or loss of service (between 24 to 48 hours)	Moderate under-performance from skills gaps and/or shortages	Moderate legal action, claims and/or penalties against or by the Council	Moderate impact on service delivery from a contract and/or partnership failure	Significant internal breach with no loss of sensitive data; or minor internal breach with loss of sensitive data
1	Low	Minimal level of harm to the health, safety and wellbeing of the community, members of the public or employees	Minimal disadvantage to the community and/or some vulnerable residents	Minimal negative impact on the County's economy, including hard infrastructure	Minimal regional and/or local environmental damage and/or failure to meet some internal climate change targets	Minimal external or internal disruption and/or loss of service (less than 24 hours)	Minimal under-performance from skills gaps and/or shortages	Minimal legal action, claims and/or penalties against or by the Council	Minimal impact on service delivery from a contract and/or partnership failure	Minor external or internal breach with no loss of sensitive data
0	None	No impact	No impact	No impact	No impact	No impact	No impact	No impact	No impact	No impact

All risks have the potential to impact on the Council’s reputation. Each risk is given a separate reputation impact assessment, as shown in Table A4.

Table A4 – Reputation impact assessment

Extremely High	Lasting or permanent national/local brand damage resulting from adverse comments in national press and media. Members/Officers almost certainly forced to resign.
High	Temporary national/local brand damage lasting up to two years from coverage in national and/or regional press/media. Members/Officers potentially forced to resign.
Moderate	Temporary local brand damage lasting up to one year from extensive coverage in regional press/ media.
Low	Temporary local brand damage lasting up to a few weeks from minor adverse comments in regional press/social media.
Extremely Low	Negligible local brand damage from limited adverse comments with minimal press/social media.

Each risk is assessed for the potential range of capital and/or revenue loss to the Council if the risk materialised, as shown in Table A5.

Table A5 – Financial impact assessment

Band 8	Loss over £20 million
Band 7	Loss between £10 million and £20 million
Band 6	Loss between £5 million and £10 million
Band 5	Loss between £3 million and £5 million
Band 4	Loss between £1 million and £3 million
Band 3	Loss between £100,000 and £1 million
Band 2	Loss between £50,000 and £100,000
Band 1	Loss under £50,000
Band 0	No financial loss

The information from these assessments is recorded in APEX. An additional classification of risks is also included in APEX to enable analysis and reporting as required.

Appendix B – Glossary

4T's	The four management options to tolerate, treat, transfer or terminate a risk
APEX	The Council's performance management information system
BS	British Standard
Category 1 responder	Designation of corporate statutory obligations for business continuity and contingency planning under the Civil Contingencies Act 2004
CIPFA	Chartered Institute of Public Finance and Accountancy
CMT	Corporate Management Team
CRMG	Corporate Risk Management Group
DMT	Department Management Team
ERM	Enterprise risk management
Impact	The potential consequence of a risk if it occurred
ISO	International Organisation for Standardisation
Likelihood	The probability of a risk materialising
Major risk	A risk which has been assessed as 'red' or 'amber'
Operational risk	A process or resource risk
One Council	Collective and unified action by the whole Council focussed on delivering successful public service outcomes from the objectives stated in the Council and service delivery plans
Process risk	A risk which concerns the policies, procedures, practices and related vulnerabilities in delivering the Council's operational objectives
Resource risk	A risk which concerns the human, financial, physical, information and intellectual resources of the Council required to successfully deliver its strategic and operational aims and objectives
Risk	An internal or external event, issue, relationship, process or resource which presents a degree of uncertainty in delivering a desired outcome
Risk appetite	The acceptability or tolerance of a risk
Risk management	The proactive identification, assessment, acceptance and management of risks to successfully deliver the Council's vision, aims, objectives and statutory obligations
Strategic risk	An external or internal risk which could have a fundamental impact on the Council's purpose, constitution, strategic aims and objectives and ability to carry out its statutory and other major obligations
Terminate	Rejection of a risk if it is judged too high, even after management action is applied

Tolerance	The acceptability of a risk after necessary management actions are applied
Tolerate	Accept a risk with no further management action
Transfer	Passing a risk to third party, usually through a contract or insurance
Treat	Active management action to control or reduce the risk exposure to an acceptable level

Outline implementation plan

Timescale	Action	Owner
Ongoing	Strategic Risk Register quarterly reviews by CMT and Audit Committee	Risk and Insurance
Ongoing	Departmental Risk Register monthly reviews by department management teams (prioritising major risks)	All Departments
Ongoing	Executive/Service Directors attend Audit Committee to discuss service delivery risk management (at least annually)	All Departments
May 2021 – ongoing	Council and committee reports requirements strengthened to include risk assessments as appropriate	
May 2021 – ongoing	Communicate with all Directors, managers and other staff	Risk and Insurance
June - July 2021	Risk management maturity baseline assessment (CIPFA/ALARM framework) – with quarterly progress updates and an independent annual review thereafter	All Departments/Risk and Insurance
July 2021	Agree Corporate Risk Management Strategy 2021-2025	Audit Committee
July 2021	Install APEX risk management module	Strategy and Policy/ICT/ InPhase
July – September 2021	DCC and ‘Our Derbyshire’ websites – update risk management information	Risk and Insurance
July – September 2021	Financial Regulations – update risk management sections as required	Risk and Insurance
July – September 2021	Procurement risk management framework developed and published for all significant procurement/commissioning	Risk and Insurance

Timescale	Action	Owner
July – October 2021	Departments identify and assess risk portfolios for all service delivery plan deliverables	All Departments
July 2021 – ongoing	Training sessions delivered to teams and Members as required	Risk and Insurance
July – November 2021	Online risk management induction and annual refresher training modules developed and published for all staff and Members	Risk and Insurance
December 2021	Q3 performance and risk reports – first reports using APEX data	Risk and Insurance
September 2021 – March 2022	Corporate Risk Register developed and published alongside Council Plan	Risk and Insurance
September 2021 – March 2022	Service delivery planning 2022-2023 – risk-based decision making about deliverables	Strategy and Policy/ All Departments
October 2021 – March 2022	All Council business continuity plans reviewed, gaps identified, and action plan produced (business continuity management specialist proposed to be recruited to coordinate and support this work)	Emergency Planning/ All Departments
April-May 2022	Managing Executive Director's annual report on risk management	Risk and Insurance

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FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

The Forward Plan

Report of the Managing Executive Director

(Corporate Services & Budget)

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 This is not a Key Decision

3. Purpose

3.1 To present the Executive's Forward Plan for the period 1 August – 30 November and provide an opportunity for Cabinet to comment on future decisions for inclusion in the forthcoming Forward Plan.

4. Information and Analysis

4.1 An independent review of the Council's Scrutiny function was undertaken in 2020 by the Centre for Governance and Scrutiny. The report commented upon several areas, including the proposal for a Forward Plan which looks to the future, for a period longer than the statutory requirement.

- 4.2 It was believed that such a Forward Plan would enable the four Improvement and Scrutiny Committees to prepare better informed work programmes. This will equally apply to the work programme of the new Improvement and Scrutiny Committee – Climate Change, Biodiversity and Carbon Reduction.
- 4.3 It has been agreed that a four-month rolling Forward Plan be considered by CMT and then by Cabinet monthly. This approach should improve planning and horizon-scanning.
- 4.4 In the interests of effective coordination and public transparency, the Forward Plan includes any item that is likely to require an Executive decision of Cabinet or Cabinet Member whether a key decision or not. The Forward Plan covers the forthcoming 4 months and will be updated on a rolling monthly basis. All items have been discussed and approved by the Corporate Management Team.
- 4.5 The Forward Plan is ultimately the responsibility of the Leader and Cabinet as it contains executive business due for decision. A copy of the Forward Plan covering the period 1 August – 30 November is attached at Appendix 2. This document was originally published on 11 August to comply with the requirements to give 28 days' notice of key decisions to be considered at the 9 September Cabinet meeting.

5. Consultation

- 5.1 There is no requirement to consult in relation to the preparation of the Forward Plan.

6. Alternative Options Considered

- 6.1 Cabinet could decide not to agree with any of the items that are suggested for inclusion in the Plan. This would then be referred to the Corporate Management Team.
- 6.2 Cabinet could decide to move the date for consideration of any item provided the requirement to give at least 28 days' notice of a key decision is met.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

8.1 The Scrutiny Review 2020.

9. Appendices

9.1 Appendix 1 - Implications

9.2 Appendix 2 - The Forward Plan for the period 1 August to 30 November 2021

10. Recommendation

10.1 That Cabinet notes the contents of the Forward Plan attached at Appendix 2 and comments on future decisions for inclusion in the Forward Plan, where appropriate.

11. Reasons for Recommendation

11.1 To promote the items that are due for decision by Derbyshire County Council's Executive during the forthcoming four-month period.

11.2 Whilst the Forward Plan cannot be an exhaustive list of all future decisions, to ensure as far as possible that the Forward Plan gives an indication of those decisions which it is known the executive will need to consider in the coming period and can therefore be used as a planning tool for managing the work programme of the executive and Improvement and Scrutiny Committees.

12. Is it necessary to waive the call-in period?

12.1 No

Report Author: Helen Barrington **Contact details:** helen.barrington@derbyshire.gov.uk

Implications

Financial

1.1 None directly arising from this report.

Legal

2.1 The Council is required by law to give to give 28 days' notice of key decisions that are scheduled to be taken by the Executive. A key decision is one which is financially significant, in terms of spending or savings, for the service or function concerned (more than £500,000), or which will have a significant impact on communities, in two or more divisions in the County. This legal obligation is discharged by including the necessary information in the 'Forward Plan'.

2.2 There is no legal requirement to have a four-month rolling Forward Plan, however it is good practice to do so to support effective work programmes for the executive and scrutiny functions.

Human Resources

3.1 None directly arising from this report.

Information Technology

4.1 None directly arising from this report.

Equalities Impact

5.1 None directly arising from this report.

Corporate objectives and priorities for change

6.1 The Forward Plan will include future decisions which support the Council's corporate objectives and delivery of the Council Plan priorities and deliverables.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None directly arising from this report.



FORWARD PLAN FOR THE FOUR MONTH PERIOD 1 AUGUST 2021 TO 30 NOVEMBER 2021

This Forward Plan sets out the details of the executive decisions (key and non-key) which the Cabinet, individual Cabinet Members or Officers expect to take during the next four-month period.

Please note that a key decision is a decision to be taken by the Executive which:

- (1) is likely to result in the local authority incurring expenditure or making savings of above £500,000 and/or
- (2) is significant in terms of its effects on communities living or working in an area comprising two or more divisions/county electoral areas.

The current members of the Cabinet are:

Councillor Barry Lewis	Leader of the Council and Cabinet Member for Strategic Leadership, Culture, Tourism and Climate Change
Councillor Simon Spencer	Deputy Leader and Cabinet Member for Corporate Services and Budget
Councillor Carol Hart	Cabinet Member for Health and Communities
Councillor Tony King	Cabinet Member for Clean Growth and Regeneration
Councillor Natalie Hoy	Cabinet Member for Adult Care
Councillor Carolyn Renwick	Cabinet Member for Infrastructure and Environment
Councillor Kewal Athwal	Cabinet Member for Highways Assets and Transport
Councillor Julie Patten	Cabinet Member for Children's Services and Safeguarding
Councillor Alex Dale	Cabinet Member for Education

The information provided for each key decision includes a list of the documents which will be submitted to the decision maker. Further documents relevant to the matter may also be submitted to the decision maker.

Subject to any restriction on their disclosure, copies of, or extracts from, any document listed will be available on the County Council's website or for inspection at County Hall, Matlock, Derbyshire DE4 3AG at least five clear days before the meeting.

If you wish to request details of documents listed or further documents as they become available please email Democratic Services at democratic.services@derbyshire.gov.uk

Subject: Memorial Planting Scheme

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	9 September 2021
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Infrastructure and Environment
Documents to be submitted to the decision maker	Report of the Executive Director – Place
Lead Officer	Richard Taylor – Head of Countryside Service Email: Richard.Taylor@derbyshire.gov.uk
Improvement and Scrutiny Committee	Places

Subject: Loan to Great British Car Journey (GBCJ) - EXEMPT

Is this a key decision?	No
Date notice of key decision published	n/a
Date decision to be made	9 September 2021
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Director of Finance & ICT
Lead Officer	Peter Handford e-mail peter.handford@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources/Places

Subject: Director of Public Health Annual Report

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Director of Public Health
Lead Officer	Ellen Langton e-mail ellen.langton@derbyshire.gov.uk
Improvement and Scrutiny Committee	Health

Subject: The Future of Direct Care Homes for Older People

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Adult Care
Documents to be submitted to the	Report of the Executive Director of Adult

decision maker Social Care and Health
Lead Officer Simon Stevens
e-mail simon.stevens@derbyshire.gov.uk
Improvement and Scrutiny Committee People

Subject: Rural Gigabit Voucher “Top Up” Scheme

Is this a key decision? Yes
Date notice of key decision published 11 August 2021
Date decision to be made 9 September 2021
Decision to be taken by Cabinet
Relevant Cabinet portfolio Infrastructure and Environment
Documents to be submitted to the Report of the Executive Director – Place
decision maker
Lead Officer Andrew Globe – Acting Project Manager
Email: Andrew.Globe@derbyshire.gov.uk
Improvement and Scrutiny Committee Places

Subject: Vision Derbyshire – Phase 4 Implementation Proposals

Is this a key decision? Yes
Date notice of key decision published 11 August 2021
Date decision to be made 9 September 2021
Decision maker Cabinet
Relevant Cabinet portfolio Strategic Leadership, Culture, Tourism &
Climate Change
Documents to be submitted to the Report of the Managing Executive Director
decision maker
Lead Officer Sarah Eaton
e-mail sarah.eaton@derbyshire.gov.uk
Improvement and Scrutiny Committee Resources

Subject: Direct Care Homes for Older People: Essential Works Programme

Is this a key decision? Yes
Date notice of key decision published 30 June 2021
Date decision to be made 9 September 2021
Decision maker Cabinet
Relevant Cabinet portfolio Adult Care
Documents to be submitted to the Report of the Executive Director – Adult
decision maker Social Care & Health
Lead Officer Robert Moore
e-mail robert.moore@derbyshire.gov.uk
Improvement and Scrutiny Committee People

Subject: Financial Strategy

Is this a key decision?	No
Date notice of key decision published	n/a
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Corporate Services & Budget
Documents to be submitted to the decision maker	Report of the Managing Executive Director
Lead Officer	Peter Handford e-mail peter.handford@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources

Subject: Award of Grant Funding to Derby County FC Community Trust and Chesterfield FC Community Trust for Adult Tier 2 Weight Management Services

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Director of Public Health
Lead Officer	Darran West e-mail darran.west@derbyshire.gov.uk
Improvement and Scrutiny Committee	Health

Subject: Project Management within Corporate Property - EXEMPT

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Corporate Services & Budget
Documents to be submitted to the decision maker	Report of the Managing Executive Director
Lead Officer	Stuart Knight – Interim Property Programme Director e-mail stuart.knight@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources

Subject: Prevent and Channel duties and proposed amendments to the Constitution

Is this a key decision?	No
Date notice of key decision published	n/a
Date decision to be made	9 September 2021
Decision maker	Cabinet

Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Managing Executive Director
Lead Officer	Christine Flinton e-mail christine.flinton@derbyshire.gov.uk
Improvement and Scrutiny Committee	Places

Subject: Urgent Decision taken by the Managing Executive Director and approval for participation in Afghan Local Employed Staff (LES) re-location scheme

Is this a key decision?	No
Date notice of key decision published	n/a
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Managing Executive Director
Lead Officer	Christine Flinton e-mail christine.flinton@derbyshire.gov.uk
Improvement and Scrutiny Committee	Places

Subject: Use of ESPO Estates Management Professional Services Framework - EXEMPT

Is this a key decision?	Yes
Date notice of key decision published	9 June 2021
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Corporate Services & Budget
Documents to be submitted to the decision maker	Report of the Director of Property
Lead Officer	Richard Mottram e-mail richard.mottram@derbyshire.gov.uk
Improvement and Scrutiny Committee	Places

Subject: Quarter 1 Council Plan Performance and budget update

Is this a key decision?	Yes
Date notice of key decision published	13 May 2021
Date decision to be made	9 September 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Managing Executive Director and Director of Finance & ICT
Lead Officer	Emma Alexander – Managing Executive Director e-mail emma.alexander@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources

Subject: Enhanced Partnership Arrangement for Bus Services and Measures Proposed in the Bus Service Improvement Plan

Is this a key decision?	Yes
Date notice of key decision published	30 June 2021
Date decision to be made	14 October 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Highways Assets & Transport
Documents to be submitted to the decision maker	Report of the Executive Director - Place
Lead Officer	Chris Hegarty – Project Officer e-mail chris.hegarty@derbyshire.gov.uk

Subject: Single Use Plastics Policy

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	14 October 2021
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism and Climate Change
Documents to be submitted to the decision maker	Joint Report of Executive Director – Adult Social Care and Health and the Managing Executive Director - Commissioning Communities and Policy
Lead Officer	Caroline Toplis – Programme Manager – Climate Change Email: Caroline.Toplis@derbyshire.gov.uk
Improvement and Scrutiny Committee	Climate Change, Biodiversity and carbon Reduction

Subject: Voluntary and Community Sector Review Proposals for 12 week consultation. Framework for new grant funding approach

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	14 October 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Managing Executive Director
Lead Officer	Sarah Eaton e-mail sarah.eaton@derbyshire.gov.uk
Improvement and Scrutiny Committee	Places

Subject: Local Government and Social Care Ombudsman – Annual Review

Is this a key decision?	No
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Date notice of key decision published	n/a
Date decision to be made	14 October 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Deputy Leader and Cabinet Member for Corporate Services & Budget
Documents to be submitted to the decision maker	Report of the Managing Executive Director
Lead Officer	Paul Peat Email: paul.peat@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources

Subject: The Carers Information, Advice, Assessment & Support Service contract extension - EXEMPT

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	14 October 2021
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Adult Care
Documents to be submitted to the decision maker	Executive Director Adult Social Care and Health
Lead Officer	Julie Cole – Contracts Manager Email: julie.cole@derbyshire.gov.uk
Improvement and Scrutiny Committee	People

Subject: Climate Change Strategy and Action Plan

Is this a key decision?	Yes
Date notice of key decision published	11 August 2021
Date decision to be made	14 October 2021
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Executive Director – Adult Social Care and Health
Lead Officer	Caroline Toplis – Programme Manager – Climate Change Email: Caroline.Toplis@derbyshire.gov.uk
Improvement and Scrutiny Committee	Climate Change, Biodiversity and carbon Reduction

Subject: Whistleblowing Policy

Is this a key decision?	No
Date notice of key decision published	n/a
Date decision to be made	18 November 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Corporate Services & Budget

Documents to be submitted to the decision maker Lead Officer	Report of the Managing Executive Director Helen Barrington – Director of Legal & Democratic Services e-mail helen.barrington@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources

Subject: Quarter 2 Council Plan Performance and budget update

Is this a key decision?	Yes
Date notice of key decision published	13 May 2021
Date decision to be made	9 December 2021
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker Lead Officer	Report of the Managing Executive Director and Director of Finance & ICT Emma Alexander – Managing Executive Director e-mail emma.alexander@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources

Subject; Budget Consultation

Is this a key decision?	Yes
Date notice of key decision published	13 May 2021
Date decision to be made	20 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker Lead Officer	Report of the Director of Finance & ICT Peter Handford e-mail peter.handford@derbyshire.gov.uk
Improvement and Scrutiny Committee	Resources

Subject: Revenue Budget Report 2022-23

Is this a key decision?	Yes
Date notice of key decision published	13 May 2021
Date decision to be made	20 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Director of Finance & ICT

Lead Officer Peter Handford
e-mail peter.handford@derbyshire.gov.uk
Improvement and Scrutiny Committee Resources

Subject: Capital Programme Approvals, Treasury Management and Capital Strategy

Is this a key decision? Yes
Date notice of key decision published 13 May 2021
Date decision to be made 20 January 2022
Decision maker Cabinet
Relevant Cabinet portfolio Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker Report of the Managing Executive Director and Director of Finance & ICT
Lead Officer Peter Handford
e-mail peter.handford@derbyshire.gov.uk
Improvement and Scrutiny Committee Resources

Subject: Quarter 3 Council Plan Performance and budget update

Is this a key decision? Yes
Date notice of key decision published 13 May 2021
Date decision to be made 10 March 2022
Decision maker Cabinet
Relevant Cabinet portfolio Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker Report of the Managing Executive Director and Director of Finance & ICT
Lead Officer Emma Alexander – Managing Executive Director
e-mail emma.alexander@derbyshire.gov.uk
Improvement and Scrutiny Committee Resources

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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 SEPTEMBER 2021

Report of the Director - Public Health

**Director of Public Health Annual Report
(Health and Communities)**

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 This is not a key decision.

3 Purpose

3.1 The purpose of this report is to inform Cabinet of the production of the Director of Public Health Annual Report for 2020.

3.2 Cabinet are asked to:

Note the content of the report and agree to the publication of the report.

4 Information and Analysis

4.1 The Health & Social Care Act 2012 created a duty for the Director of Public Health to produce an annual report on the health of the local population. The County Council has a duty to publish the report. The content and structure of the report is for local determination and the Director can tailor the report to align with local issues and priorities.

4.2 This year's annual report, in the form of a short video, highlights the local Public Health response in Derbyshire to the pandemic and the actions and interventions put in place to support local people and communities throughout 2020.

4.3 The video can be accessed at the following web address:
<https://www.derbyshire.gov.uk/publichealthreports>

4.4 Cabinet are asked to note that the 2021 Director of Public Health Annual Report will shortly be developed and this will include a more in-depth review of the impact of the pandemic across the county and make recommendations for how population health and wellbeing can form a key factor within the recovery and response work that will take place this year.

5 Consultation

5.1 Not applicable.

6 Alternative Options Considered

6.1 No other options were considered due to this being a statutory requirement outlined in the Health and Social Care Act 2012.

7. Implications

7.1 Not applicable.

8. Background papers

8.1 Not applicable.

9. Recommendation(s)

9.1 That Cabinet:

Note the content of the report and agree to the publication of the report.

10. Reasons for Recommendation(s)

10.1 It is a statutory requirement that the County Council noted and publishes the report.

11. Is it necessary to waive the call-in period?

No

Report Author: Ellen Langton **Contact details:**
Ellen.Langton@derbyshire.gov.uk



Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Director of Public Health

**Award of grant funding to Derby County FC Community Trust and
Chesterfield FC Community Trust for the provision of Tier 2 Adult
Weight Management Services
(Health and Communities)**

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 This is a key decision because it is likely to result in the Council incurring expenditure which is, or savings which are significant having regard to the budget for the service or function concerned (this is currently defined as £500,000) and/or it is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas in the County.

3. Purpose

3.1 Cabinet are asked to:

- a) Approve the award of grant funding of £0.123m to Derby County FC Community Trust and £0.123m Chesterfield FC Community Trust to enable them to provide tier 2 weight management services targeted at adult males.

4. Information and Analysis

- 4.1 Tackling obesity is one of the greatest long-term health public health challenges. For the period 2019/20, in Derbyshire 66.8% of adults were overweight or obese (England 62.8%). Being overweight or obese is associated with an increased risk of several common diseases and causes of premature death, including type 2 diabetes, cardiovascular disease and some cancers. Obesity is associated with deprivation with those in the most deprived areas having the highest mean BMI and highest prevalence of obesity - 35% of men and 37% of women living in the most deprived areas were obese compared with 20% of men and 21% of women in the least deprived areas (Health Survey for England, 2018). There is also now consistent evidence that people who are overweight or obese who contract COVID-19 are more likely to be admitted to hospital, admitted to an intensive care unit and sadly to die from COVID-19 compared to people of a healthy weight status. (HM Government. Excess weight and COVID-19: insights from new evidence 2020).
- 4.2 In July 2020 the government launched 'Tackling obesity: Empowering adults and children to live healthier lives', which demonstrated the government's commitment to supporting a healthier environment to help support a healthy weight and to expand weight management services so that more people get the support they need to lose weight. As part of this commitment all local authorities in England have been offered additional non-recurrent funding for the 2021/22 financial year only to support the expansion of existing of adult Tier 2 behavioural weight management services or the commissioning of new services. For Derbyshire the additional funding is £0.442m.
- 4.3 Live Life Better Derbyshire is the council's in-house service that provides a range of health improvement services including tier 2 weight management services. Live Life Better Derbyshire will utilise £0.196m of the additional funding to develop and pilot a bespoke offer for under-served groups who are less likely to use existing weight management services, with a particular focus on adults with learning disabilities and those with serious mental ill health. In addition, Live Life Better Derbyshire are working with MacMillan Cancer Support to offer weight management support to individuals who have had cancer and would benefit from weight loss.
- 4.4 Weight management services have traditionally been used predominantly by female clients and Live Life Better Derbyshire is no different with approximately 80% of client's female. It is therefore proposed to utilise the remaining £0.246m additional funding to

commission Derby County FC Community Trust and Chesterfield FC Community Trust to deliver tier 2 weight management services aimed at male adults.

- 4.5 Derby County FC Community Trust would deliver services in the south of the county (Amber Valley, Erewash, South Derbyshire and south Dales) and Chesterfield FC Community Trust would focus upon the north of the county (Chesterfield, Bolsover, NE Derbyshire, High Peak and north Dales)

5. Consultation

- 5.1 None.

6. Alternative Options Considered

Option One

Award grant funding to Derby County FC Community Trust and Chesterfield FC Community Trust as outlined in this report. Both Community Trusts have extensive experience of delivering tier 2 weight management services. Both clubs have extensive links both within local communities and with other local football clubs that enables them to reach a male audience that Live Life Better Derbyshire struggles to engage with. Grant funding enables the commissioning to proceed at pace given the time limited nature of the additional funding.

Option Two

Do nothing and do not award grant funding to Derby County FC Community Trust and Chesterfield FC Community Trust. Given that the additional funding is non-recurrent for the 2021/22 financial year only, there is a risk that all the funding cannot be utilised to increase the capacity of tier 2 weight management services provided by Live Life Better Derbyshire. Given that obesity is a major public health challenge, the number of people in Derbyshire that are overweight or obese and its health impact, this would be a missed opportunity to improve the health of individuals and communities in Derbyshire.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 Excess weight and Covid19:insights from new evidence can be found [here](#)
- 8.2 Information on the additional grant funding for local authorities for tier 2 weight management services can be found [here](#).

9. Appendices

- 9.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

10. Recommendation(s)

- 10.1 That Cabinet:

- a) Approve the award of grant funding of £0.123m to Derby County FC Community Trust and £0.123m Chesterfield FC Community Trust to enable them to provide tier 2 weight management services targeted at adult males.

11. Reasons for Recommendation(s)

- 11.1 To enable the Council to fully utilise the additional grant funding for the financial year 2021/22 only to support people in Derbyshire living with excess weight and obesity to lose weight and maintain healthier lifestyles.

12. Is it necessary to waive the call-in period?

- 12.1 No

Report Author: Darran West, Group Manager (Health Improvement)

Contact details: darran.west@derbyshire.gov.uk

Appendix 1

Implications**a) Financial**

Derbyshire County Council is being provided with additional non -recurrent funding of £0.442m by the Government to enable it to expand tier 2 weight management services for adults. It is proposed that £0.226m will be split equally between Derby County FC Community Trust and Chesterfield FC Community Trust as set out in this report. The remaining £0.196m will be allocated to Live Life Better Derbyshire.

b) Legal

The Council's Financial Regulations state that grants in excess of £100,000 require Cabinet authorisation.

The Council's standard grant agreement shall be used to set out the terms and conditions for which the grants are made, which provides for clawback of funding in certain circumstances and shall also provide that the Council is not liable for any employment liabilities.

c) Equalities Impact

Men and women have similar rates of obesity and for being overweight. However adult tier 2 weight management services are predominantly used by females, with only around 20% of clients male and males are less likely to be referred to these programmes by their GP's. In 2014, a series of systematic reviews examined the effect of gender on the clinical effectiveness and cost-effectiveness of interventions to treat obesity. These found that men expressed a preference for fact-based advice, delivered in social settings and programmes with a greater emphasis on physical activity. This led to initiatives such as Men V Fat, weight management programmes specifically aimed at men. The intention is to trial a gender specific approach in Derbyshire as part of wider work to increase the uptake of weight management services by all genders.

d) Corporate objectives and priorities for change**Derbyshire County Council Priorities**

The project contributes to delivery against each of the following Council priorities

- A focus on prevention and early intervention
- High Performing Council Services

Public Health Outcomes Based Accountability

The project contributes to delivery against each of the following population outcomes

- Population Outcome 2: Healthy Lives
- Population Outcome 3: Health Improvement



Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Managing Executive Director

**PREVENT AND CHANNEL DUTIES AND PROPOSED AMENDMENTS TO
THE CONSTITUTION**

1. Divisions Affected

1.1 County wide.

2. Key Decision

2.1 This is not a key decision.

3. Purpose

3.1 To inform Cabinet of the Council's duties and obligations under the Counter-Terrorism and Security Act 2015 (the CT&S Act), to seek approval to delegate responsibility to ensure that the Council's duties and obligations regarding the Prevent Duty are met to the Managing Executive Director and to propose that Council be asked to note and implement the required amendment to the Constitution as a result of the delegation.

4. Information and Analysis

4.1 Prevent is a key strand of the national Counter Terrorism Strategy 'CONTEST', together with three other strands, Prepare, Protect and Pursue. The Prevent strand of the Strategy aims to stop people from becoming terrorists or supporting terrorism. Prevent operates in a non-criminal space and is about supporting and protecting those people that

might be susceptible to radicalisation, ensuring that individuals are diverted away before any crime is committed. Prevent became a statutory duty for local authorities in July 2015 as part of the CT&S Act 2015.

The Channel Panel is one element of the delivery of Prevent which focuses on providing multi-agency support to people who are identified as being vulnerable to be drawn into terrorism, at an early stage. Channel Panel members assess the nature and extent of the risk to develop the support plan for the individuals concerned. In November 2020, the Government published revised Channel Duty Guidance which strengthens the role local authorities play in the Channel process.

In order to comply with statutory requirements the Council must:

- Complete local risk assessments.
- Have an effective multi-agency partnership board in place to oversee Prevent delivery.
- Have an agreed Prevent Partnership Plan.
- Have an agreed process for the referral of those identified as being at risk of radicalisation.
- Conduct a Channel Panel monthly with representation from all sectors
- Have a Prevent problem solving process in place to disrupt radicalising influences
- Have a training programme in place to ensure colleagues have the knowledge to apply their responsibilities under the duty.
- Have policies in place to ensure that public resources such as IT and venues are not accessed by radicalising influencers.
- Engage with a range of communities and have a communications plan in place.

Additional requirements in relation to the Channel Panel are to:

- Ensure a Channel Panel is in place
- Appoint a person to represent the local authority on the Panel and be satisfied that the person has the required skills and experience
- Appoint a Chair and Deputy Chair to ensure resilience of the Panel.
- Establish a clear governance structure.
- Establish an escalation process
- Consider Channel as part of the Scrutiny process on an annual basis
- Ensure the practical running of the meeting and administration

4.2 Derby and Derbyshire has a combined Channel Panel, which Derby City Council chair and Derbyshire County Council hold the role of

Deputy Chair. This panel has been in place since 2015 when the requirement was originally introduced. Derby City is a priority area and as such receives funding to support delivery of this work, to this end they facilitate the meetings and provide administrative support.

- 4.2 Since 2015, significant progress has been made in addressing this agenda. In addition to the joint Channel Panel, a joint Prevent Steering Group has operational oversight of the development and delivery of the Prevent Action Plan, which incorporates the partnership elements of the Prevent Duty.

Elements which relate solely to the Council, are being progressed internally. These include a venue hire policy, scrutiny arrangements and amendments to the Council's Constitution.

As a priority area Derby is expected to have a dedicated Prevent Board which will oversee the delivery of local action plans to respond to the risk of radicalisation, and work with partners to embed safeguarding activity in statutory services including social care, health and education. There is an acknowledgement that the risk profile is different between the City and County and whilst these are not direct requirements in non-priority areas, the requirements would be deemed as good practice. In addition, many partners cover both jurisdictions. As such a joint governance board is currently being established. From a Derbyshire perspective the Board will report to the Derbyshire Community Safety Board.

- 4.3 As it is a designated statutory duty, Channel is required to be reflected in relevant local policy, guidance and the Councils Constitution. It is therefore proposed that reference to Prevent and Channel be made in the Constitution within the Health and Communities Portfolio.
- 4.4 The Chief Executive or Head of Paid Service must provide an annual Assurance Statement to the Home Office, detailing the named officers fulfilling the role of Chair and Deputy Chair and confirming that suitable arrangements are in place to meet the requirements set out in the guidance. The first Assurance Statement was submitted in April 2021.

It is therefore proposed that the responsibility:

“To ensure that the Council discharges its functions and meets the obligations and requirements under the terms of the CT&S Act 2015, including the duty to ensure that a Channel Panel is in place for the Derbyshire area, together with the making of appropriate arrangements for a designated chair and vice-chair”

is delegated to the Managing Executive Director and that this delegation is included within the Scheme of Delegations at Appendix 1 of the Council's Constitution.

Should this be agreed, a report will be presented to Council requesting that the Council notes and implements the required amendment to the Constitution.

5. Alternative Options Considered

- 5.1 Alternative Option 1 - This could remain as a decision to be made by members. However, delegation to the Managing Executive Director will allow the business of the Panel to be conducted more efficiently and so enable the Council to better fulfil its obligations.
- 5.2 Alternative Option 2 - Responsibility under the CT&S Act 2015 could be delegated to another Officer, however the Channel Guidance requires the Chief Executive or Head of Paid Service to submit an Annual Assurance Statement. As such delegation to another Officer would not be appropriate.

6. Implications

- 6.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

7. Background Papers

- 7.1 Derbyshire County Council: Channel Panel Assurance Statement 2020/2021

8. Appendices

- 8.1 Appendix 1 - Implications

9. Recommendations

That Cabinet:

- a) Notes the Council's duties and obligations under the Counter-Terrorism and Security Act 2015 (the CT&S Act);
- b) Agrees the proposal to delegate the responsibility to ensure that the Council's duties and obligations under the CT&S Act 2015 are met to the Managing Executive Director;
- c) Recommends that Council notes and implements the required amendment to the Constitution as a result of the delegation; and

- d) Agrees that Prevent/Channel be referenced in the Councils Constitution within the Health and Communities portfolio and recommends that Council notes and implements the required amendment to the Constitution.

10. Reasons for Recommendations

- 10.1 To comply with the provisions of the CT&S Act 2015
- 10.2 The Channel Guidance requires the Chief Executive or Head of Paid Service to submit an Annual Assurance Statement. As such delegation to another Officer would not be appropriate.
- 10.3 To comply with the provisions of the CT&S Act 2015
- 10.4 To comply with the provisions of the CT&S Act 2015

11. Is it necessary to waive the call in period?

- 11.1 No

Report Author: Christine Flinton

Contact details: Christine.flinton@derbyshire.gov.uk

Implications

Financial

1.1 None directly arising from this report.

Legal

2.1 The obligations on local authorities regarding the provision of an appropriately chaired panel and as detailed in this report are specified in sections 36 and 37 of the CT&S Act 2015.

2.2 The functions and responsibilities as detailed in the legislation and outlined in this report are executive functions. Therefore, it is for Cabinet to determine any appropriate delegations regarding these functions. However, as only the Council can implement any changes to the Constitution, any determination to delegate any of the functions detailed in this report to an officer will need to be reported to Council for the required changes to the Constitution to be implemented.

2.3 In accordance with the Council's Constitution, it is for the Leader to determine the composition of Cabinet portfolios.

Human Resources

3.1 The role of Deputy Chair of the Derby and Derbyshire Channel Panel will be a designated duty of the Head of Community Safety.

Information Technology

4.1 None directly arising from this report.

Equalities Impact

5.1 An EIA is not needed as this report relates to an administrative decision rather than an issue of policy. However, in undertaking their statutory duties, the Channel Panel is required to have due regard to the Public Equality duty.

Corporate objectives and priorities for change

6.1 None directly arising from this report.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None directly arising from this report.

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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Managing Executive Director

Urgent Decision Taken by the Managing Executive Director and Approval For Participation in the Afghan Local Employed Staff (LES) Relocation Scheme

Health and Communities

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 This is not a Key Decision

3. Purpose

3.1 To note the Urgent Officer Decision taken on 9 July 2021 for Derbyshire County Council to participate in the Afghan Locally Employed Staff (LES) Relocation Scheme and to lead and co-ordinate the approach, working with the District and Borough Councils across the county.

4. Information and Analysis

4.1 On 1 June 2021, the Secretary of State for Defence announced the decision that the Ministry of Defence, Home Office and Ministry of Housing, Communities and Local Government would be rapidly accelerating the implementation of relocating Afghan LES to the UK, following the decision to begin the withdrawal of military forces from Afghanistan. On 3 June 2021, this announcement was followed by a

letter to Local Authority Chief Executives and Leaders seeking their support in delivering the scheme. The Government's strategy is to relocate approximately 600 families comprising 5,000 individuals over the summer months into Autumn 2021, with the first relocations arriving in late June 2021.

Local Authorities participating in the Scheme will be responsible for providing an integration package which includes:

- Reception arrangements upon arrival
- Accommodation
- A package of advice and assistance covering employment, welfare benefits, housing, health, education, and utility supply.
- Registration with GPs and local Job Centre Plus including receipt of a National Insurance Number.
- Assistance in securing school places for school aged children.
- Cash support.

New arrivals will not be eligible for benefits until they can satisfy the Habitual Residency Test set by the Department of Work and Pensions (DWP) which can take up to three months. In the interim, the appropriate local authority will be required to provide financial support to those who arrive under the relocation scheme.

To support local authorities in delivering the Scheme, a funding package comprising £10,500 per person will be made available for 12 months for the provision of resettlement support. If required, additional funding can also be claimed to cover any costs incurred during the short-term period before access to benefits and services becomes available to the new arrivals.

Derbyshire has been delivering resettlement support via the UK Resettlement Scheme (previously the Syrian Vulnerable Persons Resettlement Scheme) since 2016. A partnership approach is in place to deliver the Resettlement Scheme, with the County Council fulfilling a co-ordination role. The same model will be adopted to provide co-ordination of resettlement support to Afghan LES. Involvement in the scheme is dependent on the ability of District and Borough Councils to agree participation and identify suitable properties to house families within their area.

Once access to public services has been established (following the Habitual Residency Test) then there will be an impact of other council services, for example in securing school placements and any social care needs. It is anticipated that this will be minimal, due to the small

number of families that Councils are likely to be able to support under this Scheme in Derbyshire.

Due to the immediacy of resettling qualified Afghan LES cohorts, the request was for local authorities willing to participate, to urgently confirm and pledge their support to ensure properties are sourced, renovated where required and fully furnished ready to be occupied as soon as possible.

An Urgent Officer Decision was taken by the Managing Executive Director on 9 July 2021 for Derbyshire County Council to participate in the Afghan Locally Employed Staff (LES) Relocation Scheme and to lead and co-ordinate the approach working with the District and Borough Councils across the county. The Urgent Officer Decision is attached as Appendix Two.

- 4.2 Nationally there is likely to be a shortage of accommodation to relocate families under the Afghan LES within the required timescales. If this is the case, alternative arrangements will be sought to provide temporary accommodation, whilst more permanent accommodation is sought. It is not currently known if this will impact on Derbyshire. The implications of this could be significant and will need to be considered with local partners if it is proposed to relocate families on a temporary basis into the County.

5. Consultation

N/A

6. Alternative Options Considered

- 6.1 There were two alternative options which were considered when the decision was taken, which are outlined below:
- 6.2 Alternative Option 1 - Take no action in response to the request from central Government to assist in relocating Afghan LES. This could leave individuals and their families who have given support to the British Army in Afghanistan being left vulnerable and in danger when military support is withdrawn later this year.
- 6.3 Alternative Option 2 - Seek approval via the usual Cabinet process. Due to the time sensitive nature of the Scheme, which is in place to facilitate a departure for the individuals at risk, this would not be possible. Preparations needed to be made immediately in order to meet the

Summer / Autumn timescales set out in line with the withdrawal of the British military from Afghanistan.

7. Implications

7.1 Appendix 1 sets out the relevant implications which were considered in the preparation of the report.

8. Background Papers

8.1 Urgent Officer Decision Report – taken 9 July 2021

8.2 Information on Government website
[https://www.gov.uk/government/news/plans-to-bring-vulnerable-afghan-
interpreters-to-the-uk-accelerated](https://www.gov.uk/government/news/plans-to-bring-vulnerable-afghan-interpreters-to-the-uk-accelerated)

9. Appendices

9.1 Appendix 1 - Implications

9.2 Appendix 2 - Urgent Officer Decision – 9 July 2021

10. Recommendation(s)

That Cabinet:

- a) Notes the Urgent Officer Decision taken by Emma Alexander, Managing Executive Director on 9 July 2021 to participate in the Afghan Locally Employed Staff (LES) Relocation Scheme.
- b) Notes the role that the Council will take in leading and co-ordinating the Scheme, working with the District and Borough Councils across the county.

11. Reasons for Recommendation(s)

11.1 Preparations needed to be made immediately in order to meet the Summer / Autumn timescales set out in line with the withdrawal of the British military from Afghanistan.

11.2 To utilise existing resources, expertise and services in order to mobilise at pace, and ensure there is countywide oversight of the delivery of support.

12. Is it necessary to waive the call in period?

12.1 No

Report Author: Christine Flinton
Contact details: Christine.flinton@derbyshire.gov.uk

Implications

Financial

- 1.1 The Council will receive funding to support families relocated in Derbyshire through a Grant funding agreement. An initial payment can be claimed upon arrival and a further payment after 4 months. It is expected that the grant will cover the full costs of the scheme incurred by the Council, if there is a shortfall that cannot be met from within the Community Safety budget then an allocation from the General Reserve will be made in 2021-22 and a growth bid for any ongoing costs in later years.

Legal

- 2.1 The Council's Constitution provides that "notwithstanding any other provision of the Constitution Strategic Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

The Council has the discretion to consider whether to participate in the Scheme and there is no statutory requirement to do so.

Section 1 of the Localism Act 2011 provides a general power of competence for local authorities in England. It gives Derbyshire County Council the same power to act that an individual generally has and provides that the power may be used in innovative ways, that is, in doing things that are unlike anything that a local authority - or any other public body - has done before, or may currently do. The power does not need to be exercised for the benefit of any particular place or group.

The Council will commission an external organisation to provide direct integration support to families relocated in Derbyshire. Any contracting activity must be undertaken in compliance with Public Contract Regulations 2015 (the Regulations) and the Council's Standing Orders relating to Contracts.

Human Resources

3.1 None arising directly from this report.

Information Technology

4.1 None arising directly from this report

Equalities Impact

5.1 This scheme will involve the resettlement of vulnerable people. Support will be tailored to the needs of individual families, in order to ensure effective resettlement within Derbyshire.

Corporate objectives and priorities for change

6.1 The work outlined in the report will contribute to the following key priorities for the Council

- resilient, healthy and safe communities
- high performing, value for money and resident focused services
- effective early help for individuals and communities

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 The work outlined in this will support the safeguarding of the Afghan LES cohort and their families.

DERBYSHIRE COUNTY COUNCIL
OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Emma Alexander <i>*For emergency powers, this would be the Executive Director</i>		Service: Community Safety
Delegated Power Being Exercised: Participation in Afghan Local Employed Staff (LES) re-location scheme <i>*The delegation detailed in the Constitution to the specified officer or emergency powers</i>		
Subject of Decision: (i.e. services affected)	Provision of re-location, support and integration package for local staff who worked for the UK Government in Afghanistan.	
Is this a review of a decision? If so, what was the date of the original decision?	No	
Key decision? If so have Democratic Services been notified?	No	
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	To agree that Derbyshire County Council: a) participates in the Afghan Locally Employed Staff (LES) Relocation Scheme; b) co-ordinates and leads on behalf of the District and Borough Councils across the County in offering support to welcome LES arrivals and delivering integration support for families which includes a package of advice and assistance covering employment, welfare benefits, access to health and education; and c) authorise a material change to the original contract between Upbeat and Derbyshire County Council under Protocol 9.	
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	To support the effective integration of all those LES who choose to come to the UK and provide a safe haven to these individuals and their families who settle in Derbyshire. To ensure that there is a lead authority that co-ordinates provision across Derbyshire. As lead authority the Council will work with partners to identify suitable properties and to ensure access to local services as appropriate. The Council will be accountable for the management of grant funding and delivery of support as outlined in the national guidance. Due to the immediacy of the arrivals it is essential	

	that approval is sought as a matter of urgency as any delays will impact on the ability to deliver to the timescales specified.
Alternative Options Considered (if appropriate) and reasons for rejection of other options	<p>Involvement in the Scheme is on a voluntary basis and the Council could choose not to participate.</p> <p>Those requiring relocation support have assisted our armed forces during the conflict in Afghanistan and as a result are now at risk. Their need to relocate has now become more critical due to the withdrawal of our armed forces from Afghanistan. Assistance is being sought from local authorities by to support the Scheme as a matter of urgency.</p>
Has a risk assessment been conducted ?- if so what are the potential adverse impacts identified and how will these be mitigated?	No
Would the decision normally have been the subject of consultation with service users and the public? If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision	No
Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?	There are no adverse impacts on groups with protected characteristics.
Background/Reports/Information considered and attached (including Legal, HR, Financial, Equality and other considerations as required))	<p>On 1st June 2021, the Secretary of State for Defence, Ben Wallace MP, announced that following the decision to begin the withdrawal of military forces from Afghanistan, the Prime Minister has agreed for the Ministry of Defence, Home Office and MHCLG to rapidly accelerate the implementation of relocating Afghan LES to the UK.</p> <p>On 3rd June 2021, a letter signed by Robert Jenrick MP, Secretary of State for Housing, Communities and Local Government, Priti Patel MP, Home Secretary and Ben Wallace MP addressed to all Local Authority Chief Executives and Leaders seeking support in the accelerated</p>

relocation of locally employed staff (LES) and their families, who have been supporting the UK forces, including as interpreters, in Afghanistan.

The strategy is for approximately 600 families/5000 individuals to relocate over the summer months with the first relocations arriving late June and the remaining families early Autumn.

- Local Authorities participating in the scheme would be responsible for providing an integration package which includes:
- Reception arrangements upon arrival
- Accommodation.
- A package of advice and assistance covering employment, welfare benefits, housing, health, education, and utility supply.
- Registration with GPs and local Job Centre Plus including receipt of a National Insurance Number.
- Assistance in securing school places for school aged children.
- Cash support.

It should be noted that new arrivals will not be eligible for benefits until they can satisfy the Habitual Residency Test set by the Department of Work and Pensions (DWP) which can take up to 3 months, so the local authority will be required to financial support to those who arrive under the relocation scheme.

A funding package will be made available to support the delivery of this scheme for 12 months. Details of the funding specification are currently being finalised, but indications from the Regional Migration Team within East Midlands Councils suggest that the funding available will provide full cost recovery for the provision of resettlement support.

Due to the imminent arrival of resettling qualified Afghan LES cohorts, there is a request that local authorities willing to participate confirm pledges with urgency to ensure properties are sourced, renovated where required and fully furnished ready to be occupied as soon as possible.

Derbyshire has been delivering resettlement

support via the UK Resettlement scheme (previously the Syrian Vulnerable Persons Resettlement Scheme) since 2016. A partnership approach is in place to deliver this scheme, with the County Council fulfilling a co-ordination role. It is proposed that the same model be adopted, and that a decision be taken for the County Council to provide co-ordination of resettlement support to Afghan LES.

Involvement in the scheme is dependent on the ability of Districts/Borough to agree participation and identify properties. In addition, the funding package will need to be reviewed to ensure it provides full cost recovery.

Once access to public services has been established (following the Habitual Residency Test) then there will be an impact of other council services, it is anticipated that this will be minimal, due to the small number of families we are likely to be able to support under this scheme.

Further information can be found at the following location:

<https://www.gov.uk/government/news/plans-to-bring-vulnerable-afghan-interpreters-to-the-uk-accelerated>

Legal considerations

The Council's Constitution provides that "notwithstanding any other provision of the Constitution Executive Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

The Council has the discretion to consider whether to participate in the Scheme and there is no statutory requirement to do so.

Section 1 of the Localism Act 2011 provides a general power of competence for local authorities in England. It gives Derbyshire County Council the same power to act that an individual generally has and provides that the power may be used in innovative ways, that is, in doing things that are unlike anything that a local authority - or any other public body - has done before, or may currently do. The power does not need to be exercised for the benefit of any particular place or group.


The Council will commission an external organisation to provide direct integration support to families relocated in Derbyshire. Any contracting activity must be undertaken in compliance with Public Contract Regulations 2015 (the Regulations) and the Council's Standing Orders relating to Contracts.

Accordingly, to comply with the Regulations, the commissioning of the services in this ODR are considered de minimis in accordance with the Regulations and fall within 10% of the contract value of the existing resettlement service and are thus a permitted change to the original contract under the Regulations. It is anticipated that the cost of direct support will be £3,500 per person.

In consideration of the Council's Financial Regulations, Protocol 9 (Material Changes to Contracts) will be the most appropriate Protocol to authorise the above change from a governance standpoint.

This ODR therefore seeks permission to authorise a material change to the original contract between Upbeat and Derbyshire County Council. Under Protocol 9, changes up to the threshold require approval by the Managing Executive Director and accordingly, this ODR seeks such approval by the Managing Executive Director to amend the original contract with Upbeat to commission the above services, as the value of the commissioning envisaged by this ODR are under the threshold.

Legal Services will work with the relevant client department to make the necessary changes to the original contract with Upbeat.

	<p>Financial considerations</p> <p>The Council will receive funding to support families relocated in Derbyshire through a Grant funding agreement. An initial payment can be claimed upon arrival and a further payment after 4 months. It is expected that the grant will cover the full costs of the scheme incurred by the Council, if there is a shortfall that cannot be met from within the Community Safety budget then an allocation from the General Reserve will be made in 2021-22 and a growth bid for any ongoing costs in later years.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Consultation has been undertaken with Cllr Hart, Cabinet Member Health and Communities.</p>
<p>Approval of Chair of appropriate Improvement and Scrutiny Committee where call in is intended to be waived – please note this is obligatory in those circumstances</p>	<p>N/A</p>
<p>Decision:</p>	<p>Approved by Emma Alexander, Managing Executive Director</p>
<p>Signature and Date:</p> <div style="text-align: center; margin-top: 20px;">  </div> <p>09 July 2021</p>	

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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Executive Director of Childrens Services

Covid Local Support Grants (CLSG) - Summer

1. Divisions Affected

1.1 Countywide.

2. Key Decision

2.1 This is not a key decision.

3. Purpose

3.1 The purpose of this report is to ask Cabinet to note the urgent decision taken by the Executive Director of Children's Services on 2 July 2021 to approve additional spending plans for the Derbyshire County Council allocation of the COVID Local Support Grant (CLSG). This scheme is an extension of the CLSG for vulnerable families which previously ran until 20 June 2021. The extension now extends the scheme up to 30 September 2021. The urgent officer decision report is attached for information in Appendix 2.

4. Information and Analysis

4.1 On 21 June 2021, the Government announced the extension to COVID Local Support Grant with an additional £160 million funding which will

be available nationally from 21 June. CLSG will be allocated to local authorities on the same basis, using the population of each authority weighted by a function of the English Index of Multiple Deprivation.

- 4.2 The aim of this extension to the CLSG is to give vulnerable households peace of mind as COVID restrictions are eased by helping those who need it to have food on the table and other essentials. This funding will ensure that County Councils and Unitary Authorities can support those most in need across England with the cost of food, energy and water bills and other associated costs. Derbyshire County Council's allocation is £2,052,728.61.

5. Alternative Options Considered

- 5.1 To not extend the scheme. This is not recommended as children, families and vulnerable adults would not receive the benefits from the scheme. No other options were considered.

6. Implications

Appendix 1 sets out the relevant implications considered in the preparation of the report.

7. Background Papers

- 7.1 The following background reports and published documents are available to aid decision making:
- Executive Directors Urgent Decision – COVID Winter Grant Scheme dated 2 December 2020.
 - Cabinet Report - Report of the Executive Director of Children's Services – Urgent Decision taken by the Executive Director of Children's Services COVID Winter Grant – dated 14 January 2021.
 - COVID Winter Grant Scheme Update – dated 26 February 2021
 - Cabinet Report - Report of the Executive Director of Children's Services – Urgent Decision taken by the Executive Director of Children's Services COVID Winter Grant Extension– dated. 15 April 2021
 - Cabinet Report - Report of the Executive Director of Children's Services – Urgent Decision taken by the Executive Director of Children's Services Covid Local Support Grant – dated 17 June 2021.

8. Appendices

- 8.1 Appendix 1- Implications.
- 8.2 Appendix 2 - Urgent Decision Report of the Executive Director of Children's Services 2 July 2021.

9. Recommendation(s)

- 9.1 That Cabinet:
 - a) Notes the urgent decision taken by the Executive Director of Children's Services on 2 July 2021 to approve additional spending plans for the Derbyshire County Council allocation of the COVID Local Support Grant (CLSG).

10. Reasons for Recommendation(s)

The Covid Local Support Grant Delivery Plans had to be submitted to the Department of Work and Pensions (DWP) no later than 16 July 2021 and therefore an urgent officer decision was required to secure funding and safeguard the interests of the County Council. Therefore, timescales for the normal decision-making protocols has not been possible.

11. Is it necessary to waive the call-in period?

- 11.1 No.

Report Author: Sarah Edwards, Head of Service - Programmes
Contact details: sarah.edwards@derbyshire.gov.uk

Implications

a) Financial

The revenue budget will be adjusted to reflect this ring-fenced grant and held by Children Services and receipts and expenditure will be monitored through the usual financial monitoring process and in line with the grant conditions.

It must be noted, as per the Cabinet report 17 June 2021, it is the intention of Derbyshire County Council to ensure that all of the grant directly reaches vulnerable members of the community, consequently the Council will not take administrative costs from the grant unless there is remaining unused grant at the close of the scheme to prevent unused funds having to be returned or become unclaimed.

b) Legal

The Council's Constitution provides that "notwithstanding any other provision of the Constitution Executive Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

The Improvement and Scrutiny Procedure Rules states:

"13(6) The call-in procedure set out above shall not apply where the decision being taken by Cabinet is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the Council's or the public interest. All reports recommending that decisions be taken should say whether or not it is proposed that call-in be waived. The record of the decision, and notice by which it is made public, shall state whether in the opinion of the decision-making person or body, the decision is an urgent one, and therefore not subject to call-in. The Chairman of the appropriate Improvement and Scrutiny Committee should agree both the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency.

c) Statutory and Policy Implications

This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

**CHILDREN'S SERVICES
EXECUTIVE DIRECTOR URGENT DECISION
COVID Local Support Grant – Summer**

Head of Service Submitting request	Sarah Edwards, Head of Service – Programmes, Commissioning and Transformation, Childrens Services)
Date of Submission	2 July 2021
Date submitted to Executive Director	2 July 2021
Executive Director Decision	Approved
Executive Director Approval	 Signed: Helen Jones
Date of Executive Director Decision	2 July 2021

Urgent Decision Report of the Executive Director of Children's Services

DERBYSHIRE COUNTY COUNCIL

2 July 2021

Report to the Strategic Director for Children's Services

COVID Local Support Grant - Summer

Purpose of the Report

1. The purpose of this report is to ask the Executive Director for an urgent decision to approve additional spending plans for the Derbyshire County Council allocation of the COVID Local Support Grant (CLSG). This scheme is an extension of the CLSG for vulnerable families which previously ran until 20 June 2021. The extension now extends the scheme up to 30 September 2021. The CLSG Delivery Plan attached with Enclosures at end of this report, must be submitted to DWP no later than 16 July 2021, and therefore time for the normal decision-making protocols has not been possible.

Information

2. On 21 June 2021, the Government announced the extension to COVID Local Support Grant with an additional £160 million funding which will be available nationally from 21 June. CLSG will be allocated to local authorities on the same basis, using the population of each authority weighted by a function of the English Index of Multiple Deprivation.
3. The aim of this extension to the CLSG is to give vulnerable households peace of mind as COVID restrictions are eased by helping those who need it to have food on the table and other essentials. This funding will ensure that County Councils and Unitary Authorities can support those most in need across England with the cost of food, energy and water bills and other associated costs. Derbyshire County Council's allocation is £2,052,728.61.
4. The CLSG has the same eligibility criteria as Covid Winter Grant Scheme and uses the same reporting template (incorporating the Statement of Grant Usage Management Information (MI) Return). A new summary report setting out how the authority intends to spend the additional grant is attached with enclosures at the end of this report and this must be submitted to DWP by 16 July 2021.

5. The grant conditions remain the same with the exception of a new rule that underspends from May 2021 can be brought forward. However, it is unlikely that there will be a significant underspend from the last tranche of CLSG voucher issue.
6. The Department for Work and Pensions (DWP) will continue to provide funding to County Councils and Unitary Authorities, under section 31 of the Local Government Act 2003, who will administer the scheme and provide direct assistance to vulnerable households and families with children who are particularly affected by the pandemic. This will include some families who normally have access to Free School Meals during term time. County Councils and Unitary Authorities in England will have the ability to deliver the scheme through vouchers or grants. The CLSG will run from 21 June 2021 to 30 September 2021.
7. The Local Authority can determine eligibility in their area and target their support within the scope of the grant conditions:
8. Given the size of the grant that Derbyshire is eligible to receive for this next tranche and the short timescale for spending the grant it is proposed that a the majority of the grant is spent on the provision of food vouchers for families meeting local criteria of vulnerabilities, those families where children are in receipt of free school meals due to low income of the household and to care leavers across the Summer term holidays. In addition to this, an allocation of up to £100,000 (approx. 5% of the budget) will be set aside to work with third party organisations to support vulnerable adults (including reasonable administrative costs). No allowance would be set aside for administrative costs but it should be noted that reasonable administrative costs could be claimed if there is an underspend in the budget when the final account is prepared in October 2021. For reference, the administrative costs claimed in facilitating distribution of the three tranches of the Covid Winter Grant Scheme covering Christmas, February half term and Easter was £112,760. Most of this cost is internal staff time.

Families with Children

9. It is recommended that the scheme continues in same manner as that contained within the Cabinet Report dated 17 June 2021, with the continued distribution of a voucher to the eligible cohort. However, in line with the reduction in size of the grant the total voucher/s per eligible child will be £80 based on issue of vouchers to 27,000 eligible children. The rationale for the different voucher values is set out in paragraph 11.

Vulnerable Adults Scheme

10. Discussions with Adult Social Care and Health colleagues, who in turn have discussed with existing third party organizations who delivered against either the CWGS and/or CLGS, confirm that the support measures under the CWGS/CLSG have been well received and effective in offering support to vulnerable households impacted over the winter/spring. Alternative extended provision is being made available through the Derbyshire Discretionary Fund (one of the channels for the CWGS vulnerable households support) using other Public Health Covid Related funding, so DDF will not require funding on this occasion.
11. Age UK Derby and Derbyshire, and Derbyshire Careers Association have indicated a potential need and ongoing opportunity to support vulnerable older people, and those with caring responsibilities. Older people are a client group that is under-represented in the applicant profile for Derbyshire Discretionary Fund. Given the short time frame available, a grant fund of £100,000 would be a manageable allocation for these third-party organisations to disperse and ASCH colleagues have offered to work to extend existing CWGS/CLGS arrangements to monitor this.
12. In addition, it is proposed to replicate the CLGS provision to Care Leavers aged 18 – 25 and these are included in the voucher costs figures in this report.

Options for the value of the vouchers

13. It should be noted that on each of the four previous rounds of allocation of vouchers at Christmas, February half terms, Easter and May half term an average of 20% of vouchers have not been redeemed. Despite considerable effort to contact parents/carers sending out reminders to recipients by email and text and extending the expiry date of the vouchers not all recipients have chosen to redeem their vouchers. A piece of work is ongoing to establish any reasons for this. This has resulted in an underspend in all three voucher issues at Christmas, half term and Easter. Each time any underspend has been released to allocate to the next tranche of vouchers for vulnerable families. However, the Council has been advised that this is the last CLSG scheme and to avoid the risk of this situation occurring again and the Council potentially having to underclaim against the full potential grant allocation one option would be to issued vouchers of a higher value on the assumption that there will only be an 80% take up of vouchers. Two options have been consider regarding the total voucher value - £90 or £80 and the implication of each is set out in Table 1 below for consideration by SMT. Given the length of the summer holiday over six full weeks and the higher value of the voucher further

consideration has been given to the possibility of issuing multiple vouchers. It is proposed that multiple vouchers will be issued to eligible families to the total agreed value spread across the summer holiday period.

Table1: Implication of the proposed different voucher values

Number of vouchers to be issued		27000					
Total budget available to Childrens		1,952,728					
Total budget available to Adults		£100,000					
Total budget available		£2,052,728					
Voucher value £	Total spend	Assumptions based on 80% redemption rate			Potential over/under spend		Risks
		Actual cost	No of vouchers redeemed	Potential under-spend	85% voucher redeemed	100% voucher redeemed	
£90	£2,430,000	£1,944,000	21,600	Under-spend £8,728	Over-spend £112,771	Over-spend £477,271	There is a risk of a small budget under-spend based on pattern of voucher redemption (80%in all previous rounds) or a significant over-spend of £477k if there is 100% take-up
£80	£2,160,000	£172,800	21,600	Under-spend £224,728	Under-spend £116,728	Over-spend £207,271	There is a risk of a small budget under-spend based on 80% take up or an over-spend of £207k if there is 100% take-up

The implications of the different voucher values are set out in the table above and members of SMT are asked to consider the risks and benefits of issuing vouchers valued at £90 or £80 per child /care leaver. It is recommended that the vouchers are valued at £80.

Please note in either scenario no administration time is accounted for and this is a cost to the Council. However, if there are underspend this can be off-set by the potential to claim reasonable administrative costs.

Additional Information

14. It has been agreed that surrounding local authorities will continue to support eligible children schooled in their area regardless of their home address; it is recommended this remains the same for Derbyshire County Council. Therefore, children who go to school in Derbyshire but live outside the County are included in this calculation in keeping with other Local Authority plans.
15. The Derbyshire scheme will be supporting individual children (eg. one voucher per child) within the family group as opposed to awarding the same grant to each family regardless of size.

Other Options Considered

16. To not extend the scheme. This is not recommended as children, families and vulnerable adults would not receive the benefits from the scheme. No other options were considered.

Reason for Recommendations

17. The recommendations support the conditions and purpose of the grant as set by government whilst meeting local priorities and have proved to be successful to date.

Statutory and Policy Implications

18. This report has been compiled after consideration of implications in respect of crime and disorder, data protection and information governance, finance, human resources, human rights, the NHS Constitution (public health services), the public sector equality duty, safeguarding of children and adults at risk, service users, smarter working, sustainability and the environment and where such implications are material they are described below. Appropriate consultation has been undertaken and advice sought on these issues as required.

Financial Implications

19. The revenue budget will be adjusted to reflect this ring-fenced grant and held by Children Services and receipts and expenditure will be monitored through the usual financial monitoring process and in line with the grant conditions.

It must be noted, as per the Cabinet report 17 June 2021, it is the intention of Derbyshire County Council to ensure that all of the grant directly reaches vulnerable members of the community, consequently the Council will not take administrative costs from the grant unless there is remaining unused grant at the close of the scheme to prevent unused funds having to be returned or become unclaimed.

Legal Considerations

20. The Council's Constitution provides that "notwithstanding any other provision of the Constitution Executive Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

21. The Improvement and Scrutiny Procedure Rules states:
"13(6) The call-in procedure set out above shall not apply where the decision being taken by Cabinet is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the Council's or the public interest. All reports recommending that decisions be taken should say whether or not it is proposed that call-in be waived. The record of the decision, and notice by which it is made public, shall state whether in the opinion of the decision-making person or body, the decision is an urgent one, and therefore not subject to call-in. The Chairman of the appropriate Improvement and Scrutiny Committee should agree both the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency.

Background Papers and Published Documents

22. The following background reports and published documents are available to aid decision making:

- Executive Directors Urgent Decision – COVID Winter Grant Scheme dated 2 December 2020.
- Cabinet Report - Report of the Executive Director of Children's Services – Urgent Decision taken by the Executive Director of Children's Services COVID Winter Grant – dated 14 January 2021.
- COVID Winter Grant Scheme Update – dated 26 February 2021
- Cabinet Report - Report of the Executive Director of Children's Services – Urgent Decision taken by the Executive Director of Children's Services COVID Winter Grant Extension– dated. 15 April 2021
- Cabinet Report - Report of the Executive Director of Children's Services – Urgent Decision taken by the Executive Director of Children's Services Covid Local Support Grant – dated 17 June 2021.

Key Decision

23. Yes.

Call-in

Is it required that call-in be waived for any decision on this report?

24. Yes

25. Councillor Tony Kemp, Chair of Improvement and Scrutiny Committee – People has approved the waiver of the call in due to the urgent nature of the decision required; has agreed special urgency applies and the notice of key decision is not required; and supports the action being taken.

Officer's Recommendation(s)

26. That the Executive Director:
- Approves the overarching spending plan for the Covid Local Support Grant for Derbyshire County Council for the Summer period as detailed above
 - Approves the recommendation to issue vouchers with a value of £80 taking into account the risks and benefits set out in table 1 in paragraph 11.

Sarah Edwards,
Head of Service - Programmes,
Commissioning and Transformation
Childrens Services



2021 06 25 CLSG
Delivery Plans quest



Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Executive Director - Place

**Gigabit Voucher “Top Up” Scheme
(Infrastructure and Environment)**

1. Divisions Affected

1.1 County Wide.

2. Key Decision

2.1 This is a key decision as it will result in the Council incurring expenditure of £500,000 and is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas of the County.

3. Purpose

3.1 The purpose of this report is to explain the opportunity afforded to the Council and secure further investment in the Government’s Gigabit Voucher Scheme.

3.2 Cabinet is asked to:

- a) Approve a second “Top Up” contribution of £500,000 to the Gigabit Voucher Scheme.

4. Information and Analysis

4.1 Background

Derbyshire's Superfast programme (Digital Derbyshire) has been in place since 2014 and has provided Superfast Broadband connectivity (>24 Mbps) to over 103,000 homes and businesses. Delivery under the Superfast programme is due to complete in September 2021.

4.2 The County currently has 97.08 % fibre broadband coverage at >24mbps. However, this level of coverage is not consistent across Derbyshire and around 11,500 premises, mainly in rural areas, do not have access to reliable Superfast broadband, in fact, some 4,500 of these premises cannot access broadband speeds above 10Mbps.

4.3 Project Gigabit

The Department of Digital, Culture, Media and Sport (DCMS) is currently rolling out a £5 billion programme over the next years to provide gigabit (1,000mbps) capability to 85% of all homes and businesses by 2025. Derbyshire is expected to be in phase two of this programme and delivery should commence in late 2022 or early 2023. DCMS has stated that "*demand led*" schemes, such as the Gigabit Voucher scheme, are an important part of the overall strategy to increase the fibre broadband footprint to harder to reach communities.

4.4 Gigabit Voucher Scheme

The cost of delivering new digital infrastructure to rural, and particularly 'very hard to reach' locations, is disproportionately expensive and not commercially viable to the operators, making it difficult to secure more reliable, full fibre access to properties in these areas. The voucher scheme helps to bridge some of the funding gap and provides funding support for residential and business premises to access Gigabit capable broadband (full fibre) through vouchers up of £1,500 for each residential premise and £3,500 for each business premise. However, given the high costs of digital connections, there often remains a funding gap for the cost of connection which means many communities remain at risk of being left behind.

4.5 The "Top Up" Scheme

'In October 2020, DCMS provided an opportunity for local authorities to "top up" the voucher values and make it easier for remote and rural communities to get connected. Essentially top up is applied at the point where the existing DCMS vouchers are not sufficient to cover the full cost of the new infrastructure.

- 4.6 In line with stated priorities and commitments to support levelling up and sustainable growth, Derbyshire County Council agreed in January 2021 to allocate £500,000 from the COVID 19 Emergency Recovery Fund to provide additional funding to the top up scheme, effectively doubling the value of each voucher and making it more affordable for more Derbyshire residents and businesses to access gigabit connectivity i.e. exceptionally fast broadband or improved speed and quality of current provision.
- 4.7 The additional funding is utilised by DCMS paying its voucher first (£1,500 or £3,500 for residential and business premises respectively) and then, if required, the remainder of the cost of installation is topped up from the Council's contribution - up to the maximum agreed amount.
- 4.8 The top up scheme has been very successful and to date, £257,000 of Derbyshire County Council funding has assisted an additional 1,066 premises being registered with DCMS for voucher funding.
- 4.9 It is anticipated that the remaining Council contribution of £243,000 will be utilised by the end of September 2021 and that overall, around 2,000 homes and businesses across Derbyshire will have been supported by the "Top Up" scheme.
- 4.10 This report proposes to allocate a further Council contribution of £500,000 to the top up scheme which would enable roll out of this essential asset to even more hard to reach communities – the last 4% - and (based on our results to date) would help connect Gigabit capable broadband to a further 2,000 premises across Derbyshire.
- 4.11 **Funding Proposal**
Derbyshire County Council currently receives "gainshare" revenue from Openreach as part of its existing arrangements in the original Digital Derbyshire Contract. Due to the impending conclusion of the Superfast programme, the Council will be receiving a return of accrued gainshare and residual funding from Openreach of approximately £1.95m. It is proposed that £500,000 of this returned gainshare be utilised to fund the additional top up contribution to the Gigabit voucher scheme.
- 4.12 **Governance**
It is anticipated that the top up scheme will continue to be administered and managed by the Digital Derbyshire Team in parallel with the day to day management of the wider programme and will be governed by the existing Digital Derbyshire governance structure, including the Council's Programme Board.

5. Consultation

- 5.1 No public consultation is required to take part in the second phase of the Top Up Scheme; all local intelligence (customer feedback, business feedback etc) indicates that further help to rural and remote communities accessing better connectivity is long-awaited and would be widely welcomed.

6. Alternative Options Considered

- 6.1 The following options have been considered:
- 6.2 The Council could choose not to engage in the second phase of the Top Up scheme and retain the Gainshare from the Superfast programme. However, this would have the impact of reducing the number of residential and businesses properties in some of the hardest to reach places across the County that could benefit from access to Gigabit capable broadband and would be counter to the Council's levelling up ambitions.
- 6.3 The retained Gainshare could be allocated to alternative projects to improve broadband coverage across the County. However, the costs would be extremely high without the benefit of the DCMS funding contribution and opportunities are very limited at present due to suppliers concentrating on their own commercial programmes or Project Gigabit.
- 6.4 Based on the reported performance of our original top up investment, it is considered that this proposal to extend the top up scheme, represents the best value for money option available at present and would help meet wider economic objectives, including addressing digital exclusion and the levelling up agenda.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 Think Broadband coverage data for Derbyshire June 2021.

9. Appendices

- 9.1 Appendix 1 – Implications

10. Recommendations

That Cabinet:

- a) Approves a further contribution of £500,000 to the Department of Culture, Media and Sport (DCMS) as match funding for the Gigabit Voucher Scheme.
- b) Approves the funding be secured from the returned Gainshare fund from Openreach Contract 1 up to a maximum of £500,000.

11. Reasons for Recommendations

- 11.1 This recommendation gives the Council the opportunity to continue facilitating additional (Gigabit Capable) broadband coverage in rural and remote parts of the County.
- 11.2 This investment can be wholly funded through the gainshare revenue being received from the Digital Derbyshire programme.

Report Author: Andrew Globe - Acting Digital Derbyshire Project Manager

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Implications

Implications

Financial

1.1 As detailed in the report.

Legal

2.1 The Director of Legal Services will advise in relation to any legal documentation relating to the DCMS 'Top Up' Scheme.

Human Resources

3.1 None.

Information Technology

4.1 Based on the return on investment from the first top up scheme, it's anticipated that circa 2,000 premises will benefit from improved access to digital services.

Equalities Impact

5.1 Based on the return on investment from the first top up scheme, it's anticipated that circa 2,000 premises will benefit from improved digital access, speed and reliability. These premises will be in harder to reach locations and as such, will help achieve the Council's, and Government's, levelling up ambitions. Also, typically, such households are more at risk to exclusion of access to necessary services -including, health, education and employment support; the Top Up scheme will help address these issues.

Corporate objectives and priorities for change

6.1 This proposal directly supports a key action in the Council Plan – to implement the Gigabit Voucher Top Up Scheme and increased take-up of fibre enabled broadband across Derbyshire, particularly in rural areas, to improve access, speed and reliability for homes and business. This action supports the Council Plan priority of a prosperous and green Derbyshire.

Other (for example, Information Technology Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None.

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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Executive Director - Place

**Memorial Planting Scheme at Grassmoor Country Park
(Infrastructure and Environment)**

1. Divisions Affected

- 1.1 The Memorial planting will be created at Grassmoor Country Park within the Division of Sutton, served by elected Member Councillor Jack Woolley.

2. Key Decision

- 2.1 This is a key decision because it is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas in the County. The Memorial planting at Grassmoor Country Park is proposed to be a commemoration for lost loved ones and friends of the people of the whole of Derbyshire.

3. Purpose

- 3.1 The purpose of this report is to seek approval and funding for the creation of a woodland planting scheme at Grassmoor Country Park as a living memorial for the people lost in Derbyshire during the Covid-19 pandemic and to remember the great effort by the NHS, front line staff and key workers in support of Derbyshire's communities.

4. Information and Analysis

- 4.1 Over the last 18 months, the global Covid-19 pandemic has had a profound impact on the United Kingdom and the people of Derbyshire. Every aspect of life; family, school, work, friends, and community has been affected by the virus.
- 4.2 Whilst a commission was announced in May 2021 to consider the design of a national memorial, Cabinet requested that officers looked at the possibility of a Derbyshire Covid-19 memorial planting to act as a focus for residents to remember lost family and friends and to recognise the efforts of the NHS, frontline services staff and keyworkers in keeping us collectively safe throughout.
- 4.3 A memorial tree planting is a fitting act of commemoration for lost loved ones and friends. It is a living, growing space that will develop into an area for quiet reflection and remembrance in the future.
- 4.4 The Countryside Service has identified a potential site for a planting scheme at Grassmoor Country Park, near Chesterfield. It is a popular country park and is easily accessible from Junction 29 of the M1 and the A617 and can be visited by bus.
- 4.5 A surfaced main car park is available at Birkin Lane for visitors by car, which has seen a significant increase in use during the pandemic. It will be suitable in the short term, however, as the planting matures and becomes a popular destination, additional spaces may be required for rising visitor numbers arriving by car. There are options for developing further car parking capacity on site should it be required, but this would require additional planning and funding.
- 4.6 The proposed location for the memorial planting is at the northern end of the Park, indicated on Appendix 2 - Site Location Map.
- 4.7 The site is currently managed as meadow grassland with informal public access. Visitors to the scheme will have the option to explore further and walk up the slope to the recently restored area above which gives magnificent panoramic views of Chesterfield and North Derbyshire.
- 4.8 The proposal is for an informal planting scheme incorporating a mix of native tree species and in keeping with the local landscape character.
- 4.9 The approach will be along a cherry tree avenue with displays of blossom in the Spring and fruit for birds and other wildlife in the Summer and Autumn.

- 4.10 The scheme will replicate the shape of a tree with paths representing the trunk and branches and the small groups of trees representing the leaves. The initial planting will be 7 groups of 7 standard (large, up to 3m in height) trees with around 20 complementary, smaller native shrub species. Tree species will include Rowan, Scots Pine, Hazel, Birch, Dogwood, Oak, Yew, Wych Elm and Alder Buckthorn.
- 4.11 The initial planting will take place in Autumn/Winter 2021 involving the local community, the Friends of Grassmoor Country Park and ceremonial planting by elected members and their guests. It will be followed by further scheme development in 2022.
- 4.12 As Grassmoor Country Park was developed on a reclaimed colliery site, ground preparation will be undertaken incorporating suitable growing mediums and soil improvers to ensure the success of the scheme. Phase 2 of the scheme will see the development of the seating area with distinctive furniture which it is proposed could be built by Bolsover Woodland Enterprise to provide an area for quiet contemplation looking over the woodland as it matures. In addition, construction of paths and fencing and seeding under the tree groups with appropriate woodland wildflower species will take place. Finally, it is intended to interpret the planting scheme with on-site panels and a dedicated web-presence. Existing staff resources within the Countryside Service will develop and implement the scheme on the ground. Any future developments (such as sculpture/events, etc) will require additional resources.
- 4.13 The estimated cost of the proposed scheme is £57,000. The breakdown of this cost is £36,300 for the Phase 1 planting and £20,700 for the Phase 2 elements.
- 4.14 A grant application has been submitted to the Forestry Commission Local Authority Treescapes Fund for the Phase 1 planting elements. The outcome of this application is not now expected to be communicated before mid-September 2021 at the earliest.
- 4.15 The planting scheme will contribute to the Council's target of planting a million trees by 2030. Ongoing maintenance of the trees once planted, to ensure their successful establishment and health, will be overseen by Countryside Service staff.

5. Consultation

- 5.1 Grassmoor Country Park has an active and very supportive Friends of Group which has indicated its in-principle support for the scheme and wish to help with planting and maintenance.

6. Alternative Options Considered

- 6.1 The option of waiting for national memorial proposals was considered and discounted on the grounds that whatever is done nationally, it was appropriate to create a memorial accessible to the people of Derbyshire.
- 6.2 The option of purchasing land and developing an entirely new site and planting scheme was considered and discounted as not providing value for money.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 None identified.

9. Appendices

- 9.1 Appendix 1 – Implications
- 9.2 Appendix 2 - Site Location Map

10. Recommendations

That Cabinet:

- 10.1 Approves the memorial woodland planting scheme at Grassmoor Country Park as detailed in the report.
- 10.2 Approves financial support from the Council's £15m Covid Recovery Fund of £36,300 to fund Phase 1 of the scheme and of £20,700 to fund Phase 2 of the scheme.

11. Reasons for Recommendations

- 11.1 To provide a suitable memorial planting as a focus to remember the Pandemic and its impact on the people of Derbyshire.

12. Is it necessary to waive the call in period?

- 12.1 No.

Report Author: Richard Taylor Contact details: 01629 539658

Appendix 1

Implications

Financial

- 1.1 The project costs as proposed will be £57,000 in total. It will be planned in two phases, which are set out below. A grant application has been submitted to the Forestry Commission, as set out in section 4.14 above to cover the costs.

However, if the bid is unsuccessful, the costs will be met from the Council's £15m Covid Recovery Fund which was set up last year.

Phase 1 Tree Planting Autumn/Winter 2021	£36,300
Phase 2 Landscaping Works 2022	£20,700

Legal

- 2.1 The Council, as a Country Park provider under the Countryside Act 1968, for the purpose of providing, or improving, opportunities for the enjoyment of the countryside by the public, is also empowered under the Act to do all things appearing to it desirable for that purpose in connection with the Country Park provision..

Human Resources

- 3.1 Existing staffing resources within the countryside service will develop the scheme to deliver the first phase. Any further developments will require additional resources as the scheme grows

Information Technology

- 4.1 None.

Equalities Impact

- 5.1. The memorial site will be designed with access for all.

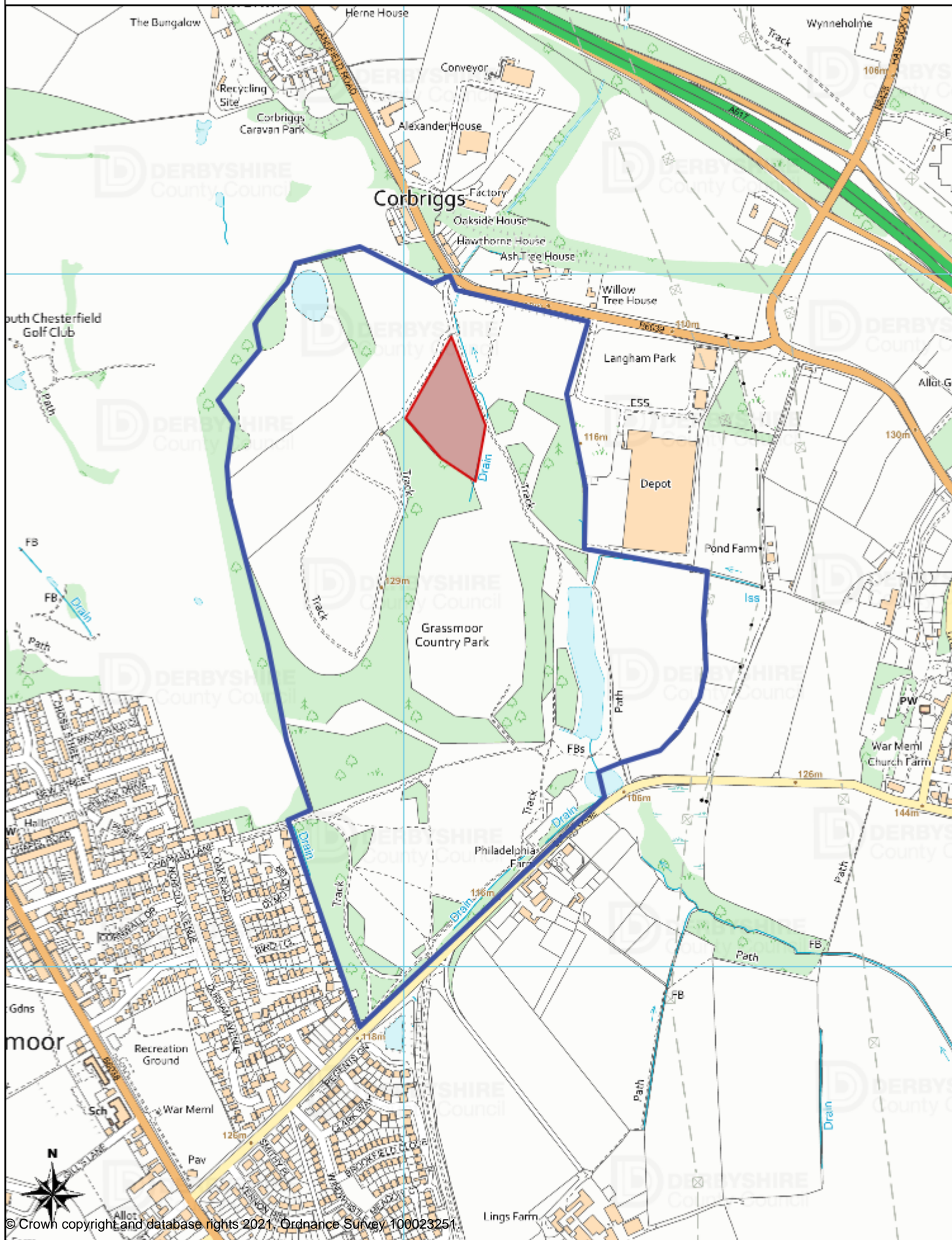
Corporate objectives and priorities for change

- 6.1 This environmentally sustainable project will contribute to the Climate Change programme and the Million Tree planting target.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

- 7.1 None.

Appendix 2 - Site Location Map



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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET FOR EDUCATION

9 September 2021

Report of the Executive Director for Children's Services

Music Educations Hub Grant 2021-22

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 This is not a Key Decision

3. Purpose

3.1 To inform the Cabinet Member of the Derby & Derbyshire Music Partnership's role in administering the Music Education Hub grant to encourage collaborations between key local and regional music organisations in order to deliver high quality music education provision in and out of Derbyshire and Derby City schools.

To seek authority for the Derby & Derbyshire Music Partnership to devolve funds of up to £30,000 per approved delivery partner organisation in order that each may fulfil its role in the local delivery of the National Plan for Music Education as mandated in the grant terms administered by the Arts Council England.

4. Information and Analysis

Music Education Hubs are groups of organisations working together to create joined-up music education provision, responsive to local need and fulfil objectives set out in the National Plan for Music Education (NPME) which are to:

- A. ensure that every child aged 5 – 18 has the opportunity to learn a musical instrument (other than voice) through whole-class ensemble teaching programmes for ideally a year (but for a minimum of a term) of weekly tuition on the same instrument;
- B. provide opportunities to play in ensembles and to perform from an early stage;
- C. ensure that clear progression routes are available and affordable to all young people;
- D. develop a singing strategy to ensure that every pupil sings regularly and that choirs and other vocal ensembles are available in the area;
- E. offer professional development to school staff, particularly in supporting schools to deliver music in the curriculum;
- F. Provide an instrument loan service, with discounts or free provision for those on low incomes;
- G. Provide access to large scale and/or high-quality music experiences for pupils, working with professional musicians and/or venues.

Since their formation in 2012, music education hubs have been co-ordinated by a Hub 'lead organisation'; locally this being the Derby & Derbyshire Music Partnership, which also takes responsibility for the finances and governance of the Hub. Delivery Partners are the organisations also involved in the frontline delivery of the NPME.

The total amount of Hub funding across the country from the Department for Education, via fund-holders Arts Council England, in 2021/22 is £79m. The Derby/Derbyshire allocation is £1.4m (see Appendix I Budget Plan).

Derbyshire Music Education Hub's delivery partner organisations are established and working collaboratively. Various application processes and decision-making criteria are also well-established:

- Seven area music centres serve the various geographical areas across the city and county (working with approximately 1,500 pupils every week in normal term-time) contributing to the delivery of NPME objectives B, C, D and G. Each music centre is self-governing, has charitable status and enters into an annual Service Level Agreement relative to the grant for up to £20,000 per organisation.
- In addition to the area music centres, current Delivery Partner Organisations include Fleet Arts, High Peak Community Arts, Chesterfield Studios, Kristian Thomas Company, Charanga, Folk 3D, Pure Steel, Tribal Vibes, Sinfonia Viva, Open Orchestras, The Hallé Orchestra and Carrot Productions. Grants from £1,000 to £20,000 per organisation support this delivery partner work each year.
- A formal proposal process has been established and is widely used by delivery partner organisations or individuals requesting funding contributions from £100 to £3,500 to support one-off initiatives. All proposals are scrutinised at Music Partnership Leadership Group meetings (consisting of Children’s Services officers) to ensure justification for the spending and any agreed financial support is released on submission of project evaluation and pupil engagement data.
- Each organisation’s delivery is observed by members of the Music Partnership Quality Assurance Working Group.
- The Council provides grants to external organisations and Cabinet Members can approve individual grants up to £100,000.
- The payments will be made through the Council’s SAP/Orderpoint providing an efficient and auditable process.

5. Consultation

- 5.1 Not required. The terms of the Arts Council Grant are that Derby & Derbyshire Music Partnership works with the current approved delivery partner organisations.

6. Alternative Options Considered

- 6.1 Tendering for each individual project would involve hundreds of tendering processes, working against the Arts Council’s aim of local

delivery partner organisations working regularly and closely together to ensure delivery of the National Plan for Music Education. Hence this option was rejected.

Continue to build the established, quality assured, relationships with local organisations, whilst still having an open door to attracting new delivery partners for new projects. The established and favoured option.

7. Implications

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

8.1 More information is available by visiting:
<https://derbyshiremusichub.org.uk/home.aspx> and/or
<https://www.artscouncil.org.uk/music-education/music-education-hubs>

9. Appendices

9.1 Appendix 1- Implications.

9.2 Appendices 2 and 3 – Governance:
Terms of Reference for the Music Panel
Derbyshire Music Education Hub structure and descriptions of other
Leadership and Working Groups.

10. Recommendation(s)

That Cabinet:

- a) Note the work of the Derby & Derbyshire Music Partnership
- b) Approve the Derby & Derbyshire Music Partnership to devolve funds of up to £30,000 per approved delivery partner organisation in order that each may continue to fulfil its role in the local delivery of the National Plan for Music Education.

11. Reasons for Recommendation(s)

11.1 Understanding of the role the Derby & Derbyshire Music Partnership in the local delivery of the National Plan for Music Education.

That Derby & Derbyshire Music Partnership may fulfil its role as mandated in the grant terms administered by the Arts Council England.

12. Is it necessary to waive the call in period?

12.1 No

Report Author: Jayne Briggs

Contact details: Jayne.briggs@derbyshire.gov.uk

Appendix 1

Implications

Financial

1.1 Arts Council England request quarterly management accounts detailing grant spending, from which an annual retrospective report can be created for the Cabinet member and/or Cabinet in order to provide updates on funding allocation and outcomes.

Legal

2.1 Music is part of the National Curriculum in maintained schools. The purpose of the Music Education Hub grant is to enhance and support this provision. There is a funding agreement between Arts Council England and the Derby & Derbyshire Music Partnership to support the local delivery of the NPME. Derbyshire Music Education Hub is governed by a Music Panel, which includes Elected Member representation. This Panel provides strategic overview, including financial monitoring. Terms of Reference for the Music Panel, the Hub structure and descriptions of other Leadership and Working Groups are included in Appendices 2 and 3.

Human Resources

3.1 Benefits of the Derbyshire Music Education Hub approach include the commissioning and procurement of music services from delivery partner organisations and a largely self-employed workforce to provide additional curriculum opportunities for Derbyshire children and young people.

Information Technology

4.1 None identified

Equalities Impact

5.1 An Equality Impact Assessment is not required because the Derbyshire Music Education Hub website www.derbyshireremusic.org.uk provides an ongoing

platform for potential delivery partner organisations to contribute to the local delivery of the NPME by detailing their track record in providing music education activities, particularly in relation to diversity and/or working with specific vulnerable groups. Hence any local organisation could approach to become a delivery partner at any time.

Corporate objectives and priorities for change

6.1 Supports efficiency and established collaborative planning and delivery.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 In preparing this report the relevance of the following factors has been considered:- Safeguarding, Health and Safety, Environmental considerations, Transport, Property and social value considerations.

Appendix 2

Governance

Terms of Reference of the Derby and Derbyshire Music Panel

The County and City Councils have entered into a Statement of Understanding demonstrating commitment to each other to work together as a partnership to promote all aspects of the work of the Derby & Derbyshire Music Partnership and the Derbyshire Music Education Hub.

The Statement refers to the Music Panel (Panel) which provides a strategic overview, including financial monitoring, of the work of Derby & Derbyshire Music Partnership and the Derbyshire Music Education Hub.

Overall Aim

The Derbyshire Music Education Hub's overall aim is to work together in partnership to help protect, maintain and enhance musical education in Derby and Derbyshire. The Panel's overall aim is to take strategic responsibility for ensuring implementation of the Music Education Hub's Business Plan, to deliver the National Plan for Music Education (NMPE) locally and to ensure that funding requirements are complied with, in line with Arts Council Guidance.

Membership

13 Members:

Derbyshire County Councillors (3)

Derby City Council (2)

Derbyshire Headteacher Primary (1)

Derby City Headteacher Primary (1)

Head of Music Secondary (1)

Youth Voice (1)
Delivery partner organiser representatives (2)
Derbyshire County Council Officer (1)
Derby City Council Officer (1)

Councils may nominate such of their Elected Members as they, in their sole discretion, consider fit and shall be able to amend their nominations at any time. Any alternate will also be an Elected Member of the same Council as the primary Panel Member. Any Member of the Panel may appoint an alternate to act in their stead.

A Chair shall be nominated and selected from amongst the membership. The Chair shall serve for a period of 1 year after which the nomination and election process shall be repeated.

Each Councillor shall be able to nominate any officers to attend Panel meetings as advisors and/or observers as they, in their sole discretion, consider fit.

The schools and delivery partner representatives shall serve for a period of 2 year after which the nomination and election process shall be repeated.

Decision Making Arrangements

The principles of adding value and mutuality underpin the work of the Panel. The Panel's decisions will be based on the principles of consensus and consultation. Where it is not possible for a decision to be made unanimously a vote shall occur. In the event of a vote, this will be decided upon a show of hands and by a majority of Panel members present.

Panel meetings shall require fifty per cent of Panel Members to be present in order to form a quorum.

In taking its decisions, the Panel will, at all times, conduct its business openly and transparently. It will make rational decisions, based upon the principles of consultation, consensus and sustainability.

Panel Meetings

The Panel shall provide strategic advice and guidance to the participating Councils and to the Derby & Derbyshire Music Partnership and the Music Education Hub, maintaining an oversight of the budget and monitoring performance targets and progress.

Appendix 3

Working and Reference Groups

Various Working Groups have the task of overseeing specific Derbyshire Music Education Hub activities. They report, review and develop the Hub offer. Current Working Groups are as follows:

The Quality Assurance Working Group

This team of experienced educators exists to deliver quality assurance in all aspects of the DMEH's work with children and young people. All delivery is observed by a member of this team who provide quality written and verbal feedback. The group meets twice each year (September and March) to standardise judgments and determine appropriate CPD provision. This group comprises:

- The Leadership Group
- A former Head of primary school
- A former secondary school Head of Music
- An instrumental teacher and wider opportunities deliverer
- (six members of this team also carry extensive experience in the non-formal sector, having worked with teenage pop, rock, hip hop and grunge musicians, including GCSE, A level and BTEC assessment and moderation)

The Curriculum Development Working Group

This team exists to respond to new initiatives and Ofsted findings, and to develop resource materials for re-energising schemes of work. The group meets at least once each term and comprises:

- The Music Partnership Curriculum Consultant
- A Music Partnership Area Leader
- Six secondary school Heads of Music

The Wider Opportunities/WCET Working Group

This group reviews progress with initiative rollout, recommends future developments and ensures that provision and professional development support identified need.

The group meets each half term and comprises:

- The Curriculum Consultant
- A Music Task Manager
- A wider opportunities deliverer
- A Music Support Practitioner
- A primary school class teacher/headteacher

The Music Centres' Working Group

This team share good practice regarding encouraging progression pathways through ensemble provision, share successful administrative and communication strategies, and discuss areas for future development in centre activity. This group meets once each year (February) and comprises:

- Chairs of all Music Centre Trusts
- Music Centre Co-ordinators (or administrators)
- Music Partnership Area Leaders
- Pupil Reps

The Singing Strategy Team

This team has responsibility for developing all aspects of the Derby and Derbyshire Singing Strategy. The group meets each term and comprises:

- The Music Partnership Vocal Leader
- The Music Partnership Curriculum Consultant

- 3 Singing Support Team members
- A Musical Gems (EYFS) deliverer
- A primary school Headteacher

Reference Groups

Reference Groups are drawn together whenever possible to aid provision development. They consist of like-minded professionals or volunteers who share ideas and from whom opinion can be sought. This includes a Youth Voice Team.

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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET FOR EDUCATION

9 September 2021

Report of the Executive Director of Children's Services

**Children's Services Capital Programme 2020-21 – Urgent Decision Taken
by the Executive Director for Children's Services
Children's Services - Education**

1. Divisions Affected

1.1 Little Eaton & Stanley

2. Key Decision

2.1 This is a key decision because it is likely to result in the Council incurring expenditure which is, or savings which are significant having regard to the budget for the service or function concerned (this is currently defined as £500,000). The allocation of funding exceeds £500,000.

3. Purpose

3.1 To note an urgent decision taken by the Executive Director for Children's Services to approve a further allocation from the Children's Services Capital Programme 2020-21 for additional costs for the New Breadsall Primary School.

4. Information and Analysis

4.1 The Decision was taken by the Executive Director for Children's Services on 30 June 2021 as, after discussion with the Cabinet Member

for Education, it was determined that the decision was urgent and could not wait until the next following meeting of the Cabinet because a delay in letting the contract would have resulted in additional costs and a delay to the project.

Therefore, the Executive Director approved the allocation of a further £967,326 for additional costs.

A copy of the report as approved by the Executive Director of Children's Services is attached as Appendix 2

5. Consultation

5.1 Not applicable

6. Alternative Options Considered

6.1 Delaying the allocation of additional funds was considered but deemed inadvisable given the resultant delay in delivery of the school and the potential additional costs.

7. Implications

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

8.1 These are held on file in the Children's Services Development Section.

9. Appendices

9.1 Appendix 1- Implications.

9.2 Appendix 2- Copy of report on Urgent decision approved by the Executive Director of Children's Services.

10. Recommendation(s)

10.1 That Cabinet notes the urgent decision taken on 30 June 2021 by the Executive Director for Children's Services, in consultation with the Cabinet Member, for the approval of a further allocation of £967,326 from the Children's Services School Condition budget 2020-21 for the additional costs for the New Breadsall Primary School.

11. Reasons for Recommendation(s)

11.1 Urgent decisions taken by Executive Directors are required under the Council's Constitution to be reported to Cabinet.

12. Is it necessary to waive the call in period?

12.1 No

Report Author: Jill Beacham

Contact details: jill.beacham@derbyshire.gov.uk

Implications

Financial

- 1.1 As detailed in section 3 of the report as approved by the Executive Director of Children's Services attached as Appendix 2

Legal

- 2.1 As detailed in section 5 of the report as approved by the Executive Director of Children's Services attached as Appendix 2, the Constitution enables urgent decisions which cannot wait until the next appropriate meeting to be taken by the Executive Directors.

Human Resources

- 3.1 None

Information Technology

- 4.1 None

Equalities Impact

- 5.1 No impact

Corporate objectives and priorities for change

- 6.1 Not applicable


Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

- 7.1 Not applicable

Appendix 2

CONTROLLED

New Breadsall Primary School Paper – June 2021

Head of Service submitting request	Sue Pegg
Date of submission	30 June 2021
Date submitted to Executive Director	30 June 2021
Executive Director Decision	Approved
Executive Director	
Date of Executive Director Decision	30 June 2021

Author: Jill Beacham (36549)

DERBYSHIRE COUNTY COUNCIL

URGENT DECISION TAKEN BY THE EXECUTIVE DIRECTOR OF CHILDREN'S SERVICES

30 June 2021

Report of the Executive Director for Children's Services

CHILDREN'S SERVICES CAPITAL PROGRAMME 2018-19 – FURTHER ALLOCATIONS

1. Purpose of Report

To approve further allocations from the Children's Services Capital Programme 2020-21 for the additional costs for the New Breadsall Primary School.

2. Information and Analysis

On 27 January 2015, Cabinet approved up to £3.55m capital receipts and £1.2m borrowing for a proposal to transfer the Erewash Key Stage 3 Pupil Referral Unit (PRU) from the Derbyshire Support Centre site on Brookside Road, Breadsall, to a building in Ilkeston and to transfer Breadsall Primary School into a section of the vacated PRU building. At the time, the total cost of the projects was £4.75m but £1.75m was identified for the school project. On 21 February 2017, the funding for the school element of the project was increased to £2m.

Once the PRU had vacated the building, the surplus accommodation was demolished prior to starting the school refurbishment work. Having opened up the building structure, it became clear that there were significant problems with the fabric of the building, including additional asbestos, a cracked floor slab and corrosion to the main steel supports. There was also concern that further issues will be revealed as the building work continued. The building also suffered from a serious attack of vandalism which caused a considerable amount of damage.

The cost of the refurbishment project was re-assessed and it was felt that the new build option would provide a better solution for the school in terms of delivering education, removing further doubt about the fitness and cost of the refurbishment and provide an efficient building that will cost less to run in the future. On 31 January 2019 Cabinet approved the

cancellation of the refurbishment project for Breadsall Primary School and gave permission for County Property to proceed with the design of a new school with the additional funding for the rebuild option to be subject to a future report.

The project has suffered from excessive delays. Those delays and the need for additional work has also meant that it has not been possible to confirm the final costs until mid-June 2021. A contractor has been engaged under the PAGABO framework and is ready to start the project in the next few weeks. The normal process of submitting this paper to Cabinet for financial approval would result in the project being further delayed until October 2021 and another increase in costs hence the request for an urgent decision. If approved, the paper will be reported to Cabinet in September 2021.

The Executive Director consulted with the Cabinet Member (Education) on 23rd June 2021 who supported the making of an urgent Executive Director decision.

3. Financial Considerations

As set out above.

On 24 January 2019 Cabinet approved a further £1.8m towards the new build scheme increasing the budget to £3.8m. On 12 October 2020 the £189,679 balance of the budget to transfer the Erewash Key Stage 3 Pupil Referral Unit (PRU) Pupil Support Centre from Breadsall to Ilkeston was transferred to the New Breadsall Primary School project giving a total budget of £3,989,679.

The amount of asbestos in the building and on the site has required considerable remedial works and resulted in delays to the project and increased the demolition costs. There will also be additional costs for the removal soil off site because of the contamination. There have been significant delays during the planning process to achieve planning approval. This has required the redesign of the entrance in response to issues raised by Highways. The highways and entrance works are also costly and have taken some time to co-ordinate as it has involved the lowering of services within the pavement and service connections in the road which has required the arrangement of road closures and traffic control. The project has been out to tender and increases for inflation and Brexit (mainly from material costs) and increased fees, together with the above, has increased the cost of the project to £4,957,005. An additional £967,326 funding is therefore required to progress the project.

The latest cost breakdown is summarized in Appendix 1.

4. Social Value Considerations

This funding will provide modern purpose-built accommodation to support the provision of high-quality education for children in the local area. Where the project is delivered by County Property, this will be done under its usual procedures.

5. Legal Considerations

The Council's Constitution provides that "notwithstanding any other provision of the Constitution Executive Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

The Improvement and Scrutiny Procedure Rules states:

"13(6) The call-in procedure set out above shall not apply where the decision being taken by Cabinet is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the Council's or the public interest. All reports recommending that decisions be taken should say whether or not it is proposed that call-in be waived. The record of the decision, and notice by which it is made public, shall state whether in the opinion of the decision-making person or body, the decision is an urgent one, and therefore not subject to call-in. The Chairman of the appropriate Improvement and Scrutiny Committee should agree both the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency.

6. Other Considerations

In preparing this report the relevance of the following factors has been considered: - prevention of crime & disorder, equality of opportunity,

environmental, health, human rights, human resources, property and transport considerations.

7. Key Decision:

Yes

8. Call-in:

Is it required that call-in be waived for any decision on this report?

Yes

Councillor Tony Kemp, Chair of Improvement and Scrutiny Committee – People has approved the waiver of the call in due to the urgent nature of the decision required; has agreed special urgency applies and the notice of key decision is not required; and supports the action being taken.

9. Officer Recommendation

That the Executive Director for Children’s Services approves a further allocation of £967,326 for the New Breadsall Primary School.

**Jane Parfremment
Executive Director for Children’s Services**

Appendix 1

Element	Cost £
Pre tender - demolition and abortive costs	640,000

Security fees	15,000
current fees	470,000
	1,125,000
New School:	
Contractor tender	
B&K building services tender including inflation/Brexit	2,996,326
Additional to tender:	
section 278:	
Works to entrance (including investigations to exit road ground)	115517
Contaminated spoil	200016
DCC Contingency	200000
Temporary drainage	25000
Provisional allowance:	
Electronic Whiteboards	30000
IT Equipment/ Corporate WiFi etc	15000
	3,581,859
All Construction Costs	4,706,859
Fees	157110
Statutory Authority Works	
Severn Trent	9021
Western Power	21720
Energy assets - gas	12295
Additional planning contingency	10000
	53036
Furniture	30,000
IT	10000
Total	4,957,005

Report Sign Off and Version Control

Report Title	Childrens Services Capital Programme 2020-21 – New Breadsall Primary
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	School Urgent Decision
Author	Jill Beacham
Meeting and Date	Cabinet 9 September 2021
Version	V5
Key Decision (published)	Yes
Exempt item (notice of private meeting published)	No

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Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 SEPTEMBER 2021

Report of the Executive Director of Children's Services

**Children's Services Capital Programme 2021-22
Children's Services - Education**

1. Divisions Affected

1.1 County wide.

2. Key Decision

2.1 This is a key decision because it will result in the Council incurring expenditure totalling £6,977,628 from the 2021-22 School Condition Allocation.

3. Purpose

3.1 To approve the Children's Services Capital Programme 2021-22 and allocations to individual projects.

4. Information and Analysis

4.1 **School Condition Allocation** - On 27 April 2021, the DfE announced School Condition Allocations for 2021-22 which includes £10,635,904 for the Authority to allocate to projects in the schools for which it is responsible for capital funding.

Basic Need Allocation – On 15 April 2020, the DfE announced that no new Basic Need funding was to be allocated for Derbyshire for 2021-22 for places needed by September 2022. On 25 February 2021 the DfE

confirmed that Derbyshire would also receive no Basic Need funding for 2022-23 for places needed by September 2023.

- 4.2 As reported in the Cabinet paper of 11 March 2021 (minute number 59/21) there is unallocated balance of **£5,864,100** from the 2020-21 School Condition Allocation and an overall unallocated balance of **£7,871,446**. The balance represents unallocated funds from the current 2020-21 Children's Services Capital budget together with unallocated funds from previous years Capital budgets.
- 4.3 Approvals made under delegated powers by the Executive Director for Children's Services and Children's Services Head of Development for allocations from the Children's Services Capital budget are set out in Appendix 2. The allocations total £3,765,207 from 2020-21 School Condition leaving a balance of **£2,098,893** from the 2020-21 School Condition allocation and an overall unallocated balance of **£4,106,239**.
- 4.4 A separate Cabinet report, also for consideration on 9 September 2021, for an allocation of £80,379 from the 2020-21 School Condition Allocation for an expansion project at John Port Spencer Academy. If approved, this will leave a balance of **£2,018,514** from the 2020-21 School Condition Allocation and an overall unallocated balance of **£4,025,860**.
- 4.5 A further separate Cabinet report, also for consideration on 9 September 2021, for further allocations totalling £498,061 from the 2020-21 School Condition Allocation for Section 106 allocations/projects. If approved, this will leave a balance of **£1,520,453** from the 2020-21 School Condition Allocation and an overall unallocated balance of **£3,527,799**.
- 4.6 Each year, allocations are made against the two main funding streams for Children's Services capital ie basic need and school condition funding. The projects are then commissioned through County Property. At the end of those projects there are often savings against the original budget which can be returned to the current working balance once all the projects for the respective financial year have been closed.

In recent years there has been significant work undertaken to review projects from previous years, to close them and identify the savings that are available. As a result of that work savings totalling **£4,677,303** from 2011-12, 2012-13, 2013-14 and 2014-15 have been identified and agreed between County Property and Capital Finance. A breakdown of the savings is detailed in Appendix 3.

These funds are now available to be returned to the working budget for 2020-2021 to be allocated to schemes. The allocations will be the subject of further reports to Cabinet, Cabco or approved under delegated arrangements.

4.7 The funding therefore available for allocation is as follows:

Year	Funding	£
	Starting unallocated balance	3,527,799
2021-22	School Condition Allocation	10,635,904
2021-22 (for schemes to be delivered by September 2022)	Basic Need	0
2022-23 (for schemes to be delivered by September 2023)	Basic Need	0
2011-12, 2012-13, 2013-14 & 2014-15 moved to 2020-21	Savings	4,677,303
	Total	18,846,006

4.8 In the absence of any new Basic Need funding it will only be possible to progress essential projects using the unallocated balance from previous years and School Condition funding. This will restrict the amount of School Condition funding available for capital maintenance schemes.

A breakdown of the proposed programme is included in Appendices 4, 5 & 6. It comprises the following elements:

Appendix 4: Basic Need

- Basic Need schemes- the provision of new school places.
- Allocations to projects to provide new places that will ultimately be funded by signed S106 developer agreements but where it is prudent to commence projects to provide sufficient places in advance of that funding being received. When the S106 funding is received, this will be reported to Cabinet and the Capital Programme will be reimbursed.

Appendix 5: School Condition - condition & suitability.

- A joint match funding allocation. Schools can bid for 50% funding for projects addressing condition priorities in schools. The terms of the funding will be revised to focus on urgent condition issues as supported by the Area Surveyors.

Appendix 6: School Condition – capital maintenance & contingency

- Capital maintenance major items – these are projects over £40,000 for primary schools and £100,000 for secondary schools.
- Children’s Services contribution to Insurance Maintenance Programme (IMP)] for schemes between £20,000 and £40,000 for primary schools and between £50,000 and £100,000 for secondary schools and contingency capital maintenance (Projects below that level are a school responsibility, which can be met using Joint Match Funding or IMP).

Schools will be required to provide up to one year’s Devolved Formula Capital (DFC) to schemes approved in the Capital Programme in accordance with the Authority’s approved policy (Schools Devolved Capital Contributions to Local Authority Schemes – Cabinet, 20 March 2007).

Summary of proposed allocations from 2021-22 School Condition as set out in Appendices 4, 5& 6:

Appendix	Type	£
Appendix 4	Basic Need	968,005
Appendix 5	School condition – condition & suitability	500,000
Appendix 6	School condition – capital maintenance	6,477,628
	Total	7,945,633

This will leave a balance of **£6,197,756** from the 2020-21 School Condition Allocation, **£2,690,271** from the 2021-22 School Condition Allocation and an overall unallocated balance of **£10,895,373** which will be the subject of future reports.

5. Consultation

5.1 Not applicable

6. Alternative Options Considered

6.1 The School Condition Allocation is an annual allocation of school condition capital from the DfE. This capital grant is to improve and maintain the condition of the school estate (buildings and grounds). The

grant allocation is determined by the DfE and takes into account the information collected through the Property Data Survey programme.

The list of allocations has been drawn up to address the most pressing condition related issues at schools. The funding is to ensure there is sufficient capacity at all the schools involved and that the accommodation is suitable to support the delivery of education.

The School Condition Allocation must be spent in accordance with the terms of the grant to improve and maintain the condition of the school estate and cannot be used for any alternative purposes.

7. Implications

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

8.1 These are held on file in the Children's Services Development Section.

9. Appendices

9.1 Appendix 1- Implications.
Appendix 2- Approvals made under delegated powers
Appendix 3- Savings
Appendix 4- Basic Need
Appendix 5- School Condition - condition & suitability
Appendix 6- School condition – capital maintenance

10. Recommendation(s)

That Cabinet:

a) Notes the allocations made under delegated powers by the Executive Director for Children's Services from the Children's Services Capital Budget totalling £3,645,821.

b) Notes the allocations made under delegated powers by the Children's Services Head of Development from the Children's Services Capital Budget totalling £119,386.

c) Notes the identified savings and approves the move of £4,677,303 to the 2020-2021 Children's Services budget.

d) Approves the allocation of £56,995 Section 106 funding and £968,005 from the 2021-22 School Condition Allocation to the expansion project at Hunloke Park Primary School.

e) Approves allocations totalling £6,977,628 from the 2021-22 School Condition Allocation to the projects set out in Appendices 5 & 6 of the report.

11. Reasons for Recommendation(s)

11.1 The reporting of the approvals under delegated powers and the savings as required under financial regulations.

11.2 The approval of the allocations from the 2021-22 School Condition Allocation will address the most pressing maintenance issues in schools to ensure the school estate is maintained to a high level and there is sufficient capacity at schools in the County.

12. Is it necessary to waive the call in period?

12.1 No

Report Author: Jill Beacham

Contact details:

This report has been approved by the following officers:

<p>On behalf of: Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)</p>	
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Implications

Financial

1.1 The financial considerations are as explained in section 2 of the report with detailed breakdowns included in Appendices 2-5

Where appropriate, it may be necessary to undertake a procurement exercise to commission services in order to undertake works associated with these schemes / budgets, and this report also seeks approval to commence this process. It should be noted that these procurement exercises would normally take the form of a competitive tender process, but should it be decided that using a Framework is the best option, a separate report will be submitted seeking approval for this.

Legal

2.1 The funding allocations are made in accordance with the local authority's financial regulations.

The LA has a duty to secure efficient primary and secondary education and further education to meet the needs of the population of their area under sections 13 and 13A of the Education Act 1996.

Human Resources

3.1 None

Information Technology

4.1 None

Equalities Impact

5.1 No impact

Corporate objectives and priorities for change

6.1 Not applicable

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 To maintain the safe condition of the school estate.

Appendix 2

Approvals under delegated powers

Approvals by the Executive Director for Children's Services

School	Scheme	Funding Yr/Type	Approved	Amount £
Belper Long Row Primary School	Boiler & heating renewal – additional funding	2020-21 SC	03/02/21	70,000
Hady Primary School	Drainage scheme	2020-21 SC	03/02/21	30,000
Killamarsh Infant School	Roofing scheme – additional funding	2020-21 SC	03/02/21	175,000
Newtown Primary School	Upgrading of electricity supply	2020-21 SC	03/02/21	45,000
William Levick Primary School	Electrical rewire – additional funding	2020-21 SC	03/02/21	50,000
Various	Capital maintenance schemes at 10 schools	2020-21 SC	12/02/21	1,032,000
Highfields School	Contribution to all weather pitch	2020-21 SC	15/02/21	130,000
Chapel-en-le-Frith CE Primary School	Classroom extension – additional funding	2020-21 SC	29/03/21	36,295
Various	Capital maintenance schemes at 9 schools	2020-21 SC	21/04/21	1,110,200
Breadsall Primary School	New school – additional funding	2020-21 SC	23/06/21 (Urgent Paper)	967,326
Total				3,645,821

Approvals by Children's Services Head of Development

School	Scheme	Funding Yr/Type	Approved	Amount £
Etwall Primary School	2 classroom extension – additional funding in advance of receipt of S106	2020-21 SC	09/02/21	17,100
Pilsley Primary	Plant room works –	2020-21	09/02/21	13,000

School (Cfld)	additional funding	SC		
Brockwell Junior School	New entrance – additional funding	2020-21 SC	28/04/21	16,820
Chapel en le Frith Primary School	Boiler renewal – additional funding	2020-21 SC	27/05/21	26,000
Cavendish Junior School	Flat roof – additional funding	2020-21 SC	13/07/21	13,753
Darley Dale Primary School	Kitchen ventilation – additional funding for building works	2020-21 SC	13/07/21	8,963
New Mills School	Kitchen ventilation – additional funding for building works	2020-21 SC	13/07/21	11,750
Birkhill Infant School	Kitchen ventilation – additional funding for building works	2020-21 SC	13/07/21	12,000
Total				119,386

Total				3,765,207
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Appendix 3

Savings

Financial Year	Savings £
2011-12	1,239,937
2012-13	905,520
2013-14	1,197,055
2014-15	1,334,791
Total	4,677,303

Appendix 4**Basic Need**

School	Scheme	Amount £
Hunloke Park Primary School	3 classroom block – The scheme has been costed at £1,025,000. A Section 106 contribution of £56,995 in respect of a housing development on Hockley Lane in Wingerworth (18/00188/OL & 19/00567/FL) is available to allocate to the scheme. There is a further Section 106 agreement for £410,364 which, when received, will reimburse the Capital Programme. £968,065 is required to progress the scheme.	968,005

Appendix 5

School condition – condition & suitability

School	Nature of Scheme	Scheme	Allocation £
Joint Match Funding	Condition improvements	Match funding scheme for minor school improvement schemes.	500,000
		Total Appendix 4:	500,000

Appendix 6

School condition – capital maintenance

School	Scheme	Amount £
Anthony Gell School (block 1)	Replacement of flat roof coverings & insulation project	600,000
Anthony Gell School (block 2)	Replacement of pitched roof coverings & insulation project	144,000
Bamford Primary School	Replacement of pitched roof coverings	181,125
Belmont Primary School (Foundation) - Site 02	Heating pipework and radiator renewal	156,975
Belper School & Sixth Form Centre	Replacement of flat coverings & insulation project	870,000
Buxton Community School (block 3)	Window & door framing	664,125
Buxton Community School (block 4)	Window & door framing	483,000
Clowne Junior School	Electrical rewire	181,125
Coton-In-The-Elms CE Controlled Primary School	Replacement of flat roof coverings & insulation project	325,500
Creswell CE Infant & Nursery School	Replacement of drains and playground resurfacing	120,750
Crich Junior School	Boiler and heating renewal	162,000
Croft Community Infant School	Replacement of pitched roof coverings & insulation project	72,450
Findern Community Primary School	New sewage plant system	300,000
Herbert Strutt Primary School	Ground heat source pump and boiler renewal	180,000

Killamarsh Junior School	Heating renewal	72,450
Linton Primary School	Electrical rewire	138,000
Northfield Junior School	Replacement of flat roof coverings	148,523
Parklands Infant & Nursery School	Replacement of flat roof coverings and rooflights & insulation project	648,000
Parkside Community School	Replacement of pitched roof coverings & insulation project	285,000
Ripley Infant School	Electrical rewire	179,400
Spire Junior School	Heating pipework renewal	65,205
	School condition – capital maintenance	5,977,628
	School condition – Children’s Services contribution to IMP projects with a value between £20k and £40k for primary and £50k and £100k for secondary and contingency capital maintenance.	500,000
	Total Appendix 5:	6,477,628

Report Sign Off and Version Control

Report Title	Children's Services Capital Programme 2021-22
Author	Jill Beacham
Meeting and Date	Cabinet – 9 September 2021
Version	6
Key Decision (published)	No
Exempt item (notice of private meeting published)	No

Implications	Name and Comments	Date Approved
Finance		
Legal		
Human Resources		
Information Technology		
Equalities		
Corporate Objectives and priorities for change		
Consultation		
Other – please specify		

Author's Directorate Sign Off

		Date
Managing Executive Director/Executive Director		
DMT – if applicable		
CMT – if applicable		
Cabinet Member briefed		
Other – please specify		



Agenda Item

**FOR PUBLICATION
DERBYSHIRE COUNTY COUNCIL**

CABINET

9 September 2021

Report of the Executive Director of Children's Services

**Childrens Services Capital Programme – Expansion Project for John Port
Spencer Academy
Children's Services - Education**

1. Divisions Affected

1.1 Etwall and Repton

2. Key Decision

2.1 This is a key decision

3. Purpose

3.1 To request approval for the funding of a capital project at John Port Spencer Academy to meet the demands of housing growth.

4. Information and Analysis

There is significant housing growth in the normal area of John Port Spencer Academy and to date, the Authority has secured £12M in Section 106 agreements. Given the size of the development, the Academy Trust has employed a specialist firm to assess the current accommodation and identify the projects needed to expand the school by 300 places for 11–16 pupils and increase the post 16 provision. At present the masterplan consists of 5 projects.

On 20 January 2020, the Executive Director for Children's Services approved £50,000 to commence the design on a project identified as 1A which is the

Sixth Form expansion. This project will deliver Sixth Form accommodation by extending the current Sixth Form building to create additional seminar rooms, study areas, social space and ancillary accommodation.

The design has been finalised and the cost will be £1,866,731.85. The Section 106 funds that are available to this project are identified in the table below.

Planning Reference	Site	Available Funds	Funds to be Allocated to Project
9/2014/0644	Clayton Works Hatton – post 16	£18,627.90	£18,627.90
9/2013/1044	The Mease – post 16	£161,100.64	£161,100.64
9/2014/1093	Mount Pleasant, Repton – post 16	£77,292.10	£77,292.10
9/2014/1136	Newhouse Farm – secondary	£660,763.90	£660,763.90
9/2014/1136	Newhouse Farm – post 16	£287,613.46	£287,613.46
9/2015/0354	Willington Rd, Etwall – secondary and post 16	£319,402.00	£319,402.00
9/2015/0768	Ladybank Mickleover – post 16	£146,175.91	£146,175.91
9/2014/1137	Mercia Marina – post 16	£4,579.65	£4,579.65
9/2013/1040	Willington Rd Etwall – post 16	£110,796 (£50,000 already allocated)	£60,797
Total		£1,786,351.56	£1,736,352.56

Total Cost of the project	£1,866,731.85
Less funds already allocated	£50,000
Total Additional Funding	£1,816,731.85
Section 106 Funding available	£1,736,352.56
School Condition Funding	£80,379.29
Total to be approved	£1,816,731.85

The contribution from the School Condition Fund would be repaid on receipt of further Section 106 funding to be received from planning reference 9/2017/1293 for the development at Derby Road, Hilton.

5. Consultation

- 5.1 John Port Spencer Academy is subject to the guidelines laid down in the document – ‘Making significant changes to an open academy and closure by mutual agreement’ dated November 2019. The Trust undertook a consultation in July 2020 and received a positive response to the expansion. The Trust is in discussion with the DfE re the expansion.

6. Alternative Options Considered

- 6.1 Not to proceed – The school retain its current capacity and not be able to admit the pupils from local housing developments. As there are no other secondary and post 16 places available in the area, the council would fail in its statutory duty to supply sufficient school places.

7. Implications

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

- 8.1 These are held on file in the Children’s Services Development Section

9. Appendices

- 9.1 Appendix 1- Implications.

10. Recommendation(s)

That Cabinet:

Approves the allocation of £1,749,354.80 of Section 106 funding and £80,379.29 of School Condition funding 20-21 to permit the Sixth Form project to proceed at John Port Spencer Academy.

11. Reasons for Recommendation(s)

- 11.1 To permit the expansion of the school to meet the demand from housing growth in its normal area.

12. Is it necessary to waive the call in period?

- 12.1 No

Report Author: Sue Pegg

Contact details: 07786 313130

This report has been approved by the following officers:

On behalf of: Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)	
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Appendix 1

Implications

Financial

- 1.1 The financial implications are explained in the Information and Analysis section above. The Section 106 funding is held by the council. A separate report on the Children's Services Capital programme 2021-22 is also to be considered on 9 September 2021. The report details an unallocated balance of School Condition Allocation 2020-21 of £2,098,893 prior to the report being considered. The report recommends further allocations and details the impact of this and other allocations on the School Condition unallocated balance for 2020-21 and the overall unallocated balance.

Legal

- 2.1 Spencer Academies Trust and are required to follow the guidance published by Department for Education guidance, and entitled 'Making significant changes to an open academy and closure by mutual agreement; Departmental guidance for all types of academy trust' dated November 2019. Under that guidance the trust shall carry out any consultation requirements with the relevant stakeholders as part of the submission of a business case for expansion of the school to the Department for Education.
- 2.2 Pursuant to section 14 of the Education Act 1996 the local authority is required to provide sufficient school places for primary and secondary education in its area.

Human Resources

- 3.1 The Trust will be responsible for providing the staff to teach the additional pupils on completion of the project.

Information Technology

4.1 None

Equalities Impact

5.1 No Impact

Corporate objectives and priorities for change

6.1 Not applicable

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 Not applicable

Report Sign Off and Version Control

Report Title	Expansion Project for John Port Spencer Academy Expansion Project
Author	Sue Pegg
Meeting and Date	Cabinet – 9 September 2021
Version	9
Key Decision (published)	Yes
Exempt item (notice of private meeting published)	No

Implications	Name and Comments	Date Approved
Finance		
Legal		
Human Resources		
Information Technology		

Equalities		
Corporate Objectives and priorities for change		
Consultation		
Other – please specify		

Author's Directorate Sign Off

		Date
Managing Executive Director/Executive Director		
DMT – if applicable		
CMT – if applicable		
Cabinet Member briefed		
Other – please specify		



Agenda Item

FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

CABINET

9 September 2021

Report of the Executive Director of Children's Services

**Children's Services Capital Programme 2021-22 S106 Allocations
Children's Services - Education**

1. Divisions Affected

1.1 County-wide

2. Key Decision

2.1 This is a key decision because it is likely to result in the Council incurring expenditure which is, or savings which are significant having regards to the budget for the service or function concerned (this is currently defined as (£500,000) and it is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas in the County.

3. Purpose

3.1 To inform Cabinet of the receipt of recent Section 106 developer contributions and to seek approval for the allocation of those contributions to projects in line with the individual Section 106 agreements.

4. Information and Analysis

4.1 Appendix 2 details the S106 contributions that have been received by the Authority or are available to claim from the District/Borough Councils.

The Appendix details the schools that are to benefit from the investment, together with the planned projects.

5. Consultation

5.1 Not applicable

6. Alternative Options Considered

6.1 Developer contributions are to be utilised as per the S106 agreements. If we did not allocate these contributions the funding for additional school places related to the growth in housing would have to come from Basic Need.

7. Implications

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

8. Background Papers

8.1 These are held on file in the Children's Services Development Section

9. Appendices

9.1 Appendix 1- Implications.

9.2 Appendix 2 – Proposed schemes

10. Recommendation(s)

That Cabinet:

a) Notes the receipt/availability of S106 funding and approves allocations to the projects outlined in Appendix 2.

11. Reasons for Recommendation(s)

11.1 The approval of the S106 allocations will ensure that the funding can be spent in line with the agreement in the allotted time available and ensure there is sufficient capacity at schools in the County

12. Is it necessary to waive the call in period?

12.1 No

Report Author: Jenny Webster

Contact details: x35808

This report has been approved by the following officers:

<p>On behalf of:</p> <p>Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)</p>	
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Implications**Financial**

- 1.1 The contributions are mainly S106 Developer Contributions. Appendix 2 summarises the funds received and those available to claim. The total S106 to allocate/claim is £2,504,656. The total requested for approval including the SCA contributions is £3,002,717.

The School Condition Allocation 2020-21 contributions detailed in this report are itemised below:

School	SCA contribution £
Hallam Fields Junior School	57,389
Tibshelf Community School	154,211
Queen Elizabeth's Grammar School	286,461
Total	498,061

A separate report on the Children's Services Capital programme 2021-22 is also to be considered on 9 September 2021. The report details an unallocated balance of School Condition Allocation 2020-21 of £2,098,893 prior to the report being considered. The report recommends further allocations and details the impact of this and other allocations on the School Condition unallocated balance for 2020-21 and the overall unallocated balance.

Legal

- 2.1 The projects are agreed in line with the individual S106 legal agreements

Human Resources

- 3.1 None

Information Technology

- 4.1 None

Equalities Impact

5.1 No impact

Corporate objectives and priorities for change

6.1 Not applicable

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 Subject to funding approval the design and project delivery will either be procured via Concertus Derbyshire Ltd or via the schools.

Appendix 2

School	Development	Funds £	Project
The William Allitt School	Valley Road, Overseal 9/2014.0431	143,711	Funds available at South Derbyshire District Council to claim towards the creation of a three classroom block
	Oversetts Road, Newhall 9/2014/0222	36,614	
	Coton Lane, Rosliston 9/2015/0723	74,757	
	Acresford Road, Overseal 9/2015/1063	95,222 96,801	
	Oversetts Road 9/2015/0396	18,438	
	Moira Road, Overseal 9/2015/0029	19,380	
	Total to claim	484,923	
Eureka Primary School	Broomy Farm Development 9/2014/0740	149,422 153,400	Funds available at South Derbyshire District Council towards the creation of a three classroom block
	Total to claim	302,822	
Etwall Primary School	Willington Road, Etwall 9/2016/1216	46,014	On 20 th July 2017 Cabinet approved a S106 allocation towards a two classroom block. This further allocation will contribute to that scheme
	Total (S106)	46,014	
Hallam Fields Junior School	Quarry Hill Road Ilkeston ERE/0614/0030	455,960	Funds are to be used towards a two classroom extension and

	Land at Field Road ERE/0516/0017	45,596	internal remodelling. This has been costed at £585,000. so would require a top up from SCA 2020/21 of £57,389 which can be repaid on receipt of further S106 and indexation payments
	Hallam Mills ERE/0115/0018	26,055	
	Total (S106)	527,611	
Clowne Infant	High Ash Farm 14/00057/OUTMAJ	9,098	Towards educational facilities within the school.
Somercotes Infant	Birchwood Lane AVA/2013/1074	11,550	Towards educational facilities within the school.
Tibshelf Community School	Spa Croft, Doehill Lane 17/00209/FUL	155,302	A scheme for additional classrooms has been costed at £1.4 million. On 11.7.2019 £50,000 was allocated from basic need. Previous S106 contributions totalling £326,347 and £35,878 were approved on 9.8.2016 and 11.1.2018 leaving a shortfall of £154,211 to be met from the 2020/21 SCA and repaid on receipt of further S106 and
	Mansfield Road, Tibshelf 13/00182/OUT	327,784	
	Masefield Avenue, Holmewood 17/00425/FUL 14/00065/OUTMJ 13/00182/OUTMJ	69,965 113,239 167,274	

			indexation payments.
	Total (S106)	833,564	
Queen Elizabeth's Grammar School	Luke Lane 16/00436/OUT	206,558	On 3.2.2020 members approved an expansion scheme for an additional 6 classrooms. This included a contribution from the rugby club that has now reduced by £250,000 and the scheme costs have increased leaving a shortfall of £575,534. Once these S106 contributions have been allocated the remaining balance of £286,461 is to be met from 2020/21 SCA and repaid on receipt of further S106 and indexation payments
	Land east of Les Ardennes 16/00832/OUT	82,516	
	Total S106	289,074	
Total S106		2,504,656	
Total SCA		498,061	
Total		3,002,717	

Report Sign Off and Version Control

Report Title	Children's Services Capital Programme 2020-21 – S106 allocations
Author	Jenny Webster
Meeting and Date	Cabinet – 9 th September 2021
Version	3
Key Decision (published)	No
Exempt item (notice of private meeting published)	No

Implications	Name and Comments	Date Approved
Finance		
Legal		
Human Resources		
Information Technology		
Equalities		
Corporate Objectives and priorities for change		
Consultation		
Other – please specify		

Author's Directorate Sign Off

	Date
Managing Executive Director/Executive Director	
DMT – if applicable	
CMT – if applicable	
Cabinet Member briefed	
Other – please specify	

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